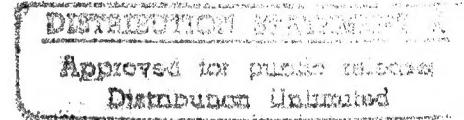


The Sixth
Clemson mini-Conference
on
Discrete Mathematics

Funded by the Office of Naval Research (ONR)

Conference Program and
Invited Talk View Graphs



October 3-4, 1991

Clemson University
Clemson, South Carolina

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**The Sixth
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Organizers: S.T. Hedetniemi Department of Computer Science
 R.C. Laskar Department of Mathematical Sciences
 R.D. Ringeisen Department of Mathematical Sciences

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The Sixth Clemson mini-Conference

on

Discrete Mathematics

Clemson, South Carolina
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Schedule of talks
(All talks given in Student Senate Chambers)

Thursday, October 3

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- 1:30 - 2:00 **Sign-in/Registration**
- 2:00 - 2:05 **Welcoming Remarks** by R.D. Ringeisen, S.T. Hedetniemi, and Dr. Bobby Wixson, Dean of College of Sciences
- 2:05 - 2:50 **Marc J. Lipman, Office of Naval Research**
Mathematical Science Division, Arlington, VA
- "Sphere-of-Influence Graphs"
- An introduction to a class of geometrically defined objects, sphere-of-influence graphs (SIGs), used by the computer vision community to capture the low-level perceptual structure of a scene, that is, for pattern recognition. The mathematics of SIGs isn't yet mature.
- 2:55 - 3:35 **Roger Entringer, University of New Mexico**
Dept. of Mathematics, Albuquerque, NM
- "Two Extremal Problems In Graph Theory"
- Two specific instances of the following general problems are addressed:
- (i) How many edges can a graph G of order n have if G must have a specific property?
- (ii) If G is to have order n and a given number of edges, how are the edges arranged if a specific property must be optimized?
- The instance of the second problem involves an attempt to shorten delivery time by the USPS.
- 3:35 - 4:00 **BREAK**

4:00 - 4:40

**Edward R. Scheinerman, Johns Hopkins University
Department of Mathematical Sciences, Baltimore, MD**

"Containment Orders and Planar Graphs"

We will explore interesting relationships between the worlds of partially ordered sets (especially *containment orders*) and planar graphs.

Given any graph $G = (V, E)$, let its *vertex-edge incidence order*, $P(G)$, be the partially ordered set whose ground set is $V \cup E$ together with relations $v < e$ exactly when $v \in V$, $e \in E$, and v is an end point of e . What graph properties of G can we deduce from poset properties of $P(G)$?

In this talk we will focus on order theoretic properties of $P(G)$ which turn out to be equivalent to G being planar. We will phrase these properties in terms of geometric containment orders, which we now define.

Given a family Σ of objects, we call a partially ordered set $P = (X, \leq)$ a Σ -order provided we can assign to each $x \in X$, an element $S_x \in \Sigma$, so that $x \leq y$ iff $S_x \subseteq S_y$. In particular, if Σ is the set of disks (circles with their interiors) in the plane, then Σ -orders are also known as *circle orders*.

We will discuss a number of results, all of which have the following flavor.

Theorem. A graph G is planar if and only if its vertex-edge incidence order, $P(G)$, is a circle order. \square

Some of the theorems to be presented include joint work with Graham Brightwell, Ann Trenk and Daniel Ullman.

4:45 - 5:25

**Jean R.S. Blair, University of Tennessee
Dept. of Computer Science, Knoxville, TN**

"On Finding Transmitter-Receiver Matchings"

The problem of finding a maximum transmitter-reciever matching (TRM) in communication networks is addressed. TRM remains NP-complete even for the networks whose topologies correspond to chordal graphs. We address the problem for a subclass of chordal graphs, namely those graphs whose clique graphs are acyclic. Using several interesting properties of these graphs, we devise a linear time algorithm to solve the problem.

7:30

Social, Jordan Room

Friday, October 4

8:30 - 9:10

**J. Chris Fisher, University of Regina, Canada
(Visiting Clemson University, Dept. of Math. Sci.)**

"The Jamison Method In Galois Geometries"

In a fundamental paper Robert E. Jamison showed, among other things, that any subset of the points of $AG(2,q)$ — the affine plane of order q — that intersects all lines contains at least $2q-1$ points. Here I shall discuss my recent work with Aiden Bruen in which we show that Jamison's method of proof can be applied to several other basic problems in finite geometries of a varied nature. These problems include the celebrated flock theorem and also the characterization of the elements of $GF(q)$ as a set of squares in $GF(q^2)$ with certain properties. This last result, due to A. Blokhuis, settled an important conjecture due to J.H. van Lint and the late J. MacWilliams.

9:15 - 9:55

**Fred S. Roberts, Rutgers University
Dept. of Mathematics, Center of Operations Research (RUTCOR), and
Center for Discrete Mathematics and Theoretical Computer Science (DIMACS)
New Brunswick, NJ**

"Elementary, Sub-Fibonacci, Regular, Van Lier and Other Interesting Sequences"

In the past five years, problems of the uniqueness of scales of measurement have been giving rise to a variety of interesting sequences of positive integers with fascinating combinatorial properties. Examples of such sequences are all non-decreasing sequences of positive integers x_1, x_2, \dots, x_n so that $x_1 = x_2 = 1$. Such a sequence is called *elementary* if all $k \leq n$, $x_k > 1$ implies that $x_k = x_i + x_j$ for some $i \neq j$. It is called *sub-Fibonacci* if $x_k \leq x_{k-1} + x_{k-2}$, $k = 3, 4, \dots$. It is called *regular* if $x_j \leq \sum_{i=1}^{j-1} x_i$, $j = 3, 4, \dots$. A regular sequence is called *Van Lier* if for all $j < k \leq n$, there is a subset A of $\{1, 2, \dots, n\}$ with j not in A and $x_k - x_j = \sum_{i \in A} x_i$. We discuss these and other sequences and some of their combinatorial properties.

9:55 - 10:20

BREAK

10:20 - 11:00

**Michael S. Jacobson, University of Louisville
Department of Mathematics, Louisville, KY**

"Generating k-element Subsets of an n-element Set"

In this talk, a generalization of the idea of De Bruijn graphs will be used to establish sequences which generate all k -element subsets of an n -element set. In the case when n is odd, by using a result of Good, these sequences are shown to exist. When n is even, the technique shown will not generate an appropriate sequence. In fact the generalized De Bruijn graph is disconnected, and by a unique application of Polya's Theorem, the number of components of this graph is calculated.

11:05 - 11:45

**E. Rodney Canfield, University of Georgia
Dept. of Computer Science, Athens, GA**

"Matchings in the Partition Lattice"

Let $[n]$ be the set $\{1, 2, \dots, n\}$. A *partition* of $[n]$ is a set of nonempty, pairwise disjoint subsets of $[n]$, called *blocks*, whose union is $[n]$. Partition π_1 is a *refinement* of partition π_2 , denoted $\pi_1 \leq \pi_2$, provided each block of π_1 is contained in a block of π_2 . Under this ordering the set of partitions P_n forms a lattice. The subcollection of partitions $P_{n,k} \subseteq P_n$ which have exactly k blocks has cardinality $S(n,k)$, the Stirling number of the second kind. The Stirling numbers are unimodal, raising the question of decomposing P_n into disjoint chains, $S(n, K_n)$ in number, $S(n, K_n)$ being $\max_k S(n, k)$. Our topic in this talk: for what k is it possible to find a *matching* of $P_{n,k}$ into $P_{n,k+1}$? That is, to find a one-to-one function \emptyset from $P_{n,k}$ into $P_{n, k+1}$ with the property that π and $\emptyset(\pi)$ are comparable under the refinement relation " \leq ".

LUNCH

1:15 - 1:55

**Ronald C. Read, University of Waterloo
Dept. of Combinatorics and Optimization, Ontario, Canada**

"Algorithms for Small Graphs"

The compilation of an "Atlas" of graph theory - a project that I am working on with R.J. Wilson - has called for the computation of many invariants (girth, connectivity, etc.) and properties (planarity, hamiltonicity, etc.) of large number of graphs; but the graphs themselves are quite small. Thus the usual concern about complexity of the algorithms is largely irrelevant, and the methods that will be used are often quite different from those that would be used for large graphs.

My talk describes some of this work. We shall see what graph theory algorithms look like through the wrong end of the telescope!

2:00 - 2:40

**Nathaniel Dean, Bellcore
Morristown, NJ**

"Characterization of Generalized Bicritical Graphs"

A recent theorem of Thomas and Yu states that every 4-connected projective planar graph is hamiltonian and, as a corollary, has a 2-factor. We extend this latter result by showing that the deletion of any vertex or two vertices of such a graph leaves a graph with a 2-factor. This result is in fact only an application of results we prove concerning f factors in graphs with removed elements and generalizes several notions in matching theory including bicritical graphs, i.e., where the deletion of any pair of vertices yields a graph with a perfect matching.

2:40 - 3:00

BREAK

3:00 - 3:40

Joseph Straight, SUNY at Fredonia
Dept. of Mathematics and Computer Science, Fredonia, NY

"Extremal Problems Involving Neighborhood Numbers and Other Parameters"

Given a simple graph $G = (V,E)$, a subset S of V is called a *neighborhood set* provided G is the union of the subgraphs induced by the closed neighborhoods of the vertices in S . The minimum and maximum cardinalities among all minimal neighborhood sets of G are denoted by $n(G)$ and $N(G)$, respectively; $n(G)$ is call the *neighborhood number* of G . It is known, for instance, that $\gamma(G) \leq n(G) \leq \alpha(G)$, where $\gamma(G)$ and $\alpha(G)$ are the (vertex) domination and covering numbers, respectively.

My colleague, Y.H. Harris Kwong, and I have been investigating the problem of finding the maximum neighborhood number $n(p)$ among all connected graphs of order p . Our work so far has lead us to conjecture that

$$n(p) \leq [9p/13]$$

a result that holds for $2 \leq p \leq 15$. I will report on this work and, as time permits, a number of other extremal problems, including some recent work of David K. Garnick, Kwong, and Felix Lazebnik on the maximum number of edges among all graphs of order p having girth at least 5.

3:45 - 4:25

Andrzej Ruciński, Emory University
Dept. of Mathematics and Computer Science, Atlanta, GA

"Random Graph Processes with Degree Restrictions"

Suppose that a process begins with n isolated vertices, to which edges are added randomly one by one so that the maximum degree of the induced graph is always bounded above by d . We prove that if n approaches infinity with d fixed, then with probability tending to 1, the final result of this process is a graph with $[nd/2]$ edges. For $d = 2$, the number of 1-cycles in this graph is shown to be asymptotically Poisson ($1 > 2$).

"Sphere-of-Influence Graphs"

**Marc J. Lipman, Office of Naval Research
Mathematical Science Division, Arlington, VA**

On Abstract
Sphere-of-Influence
Graphs

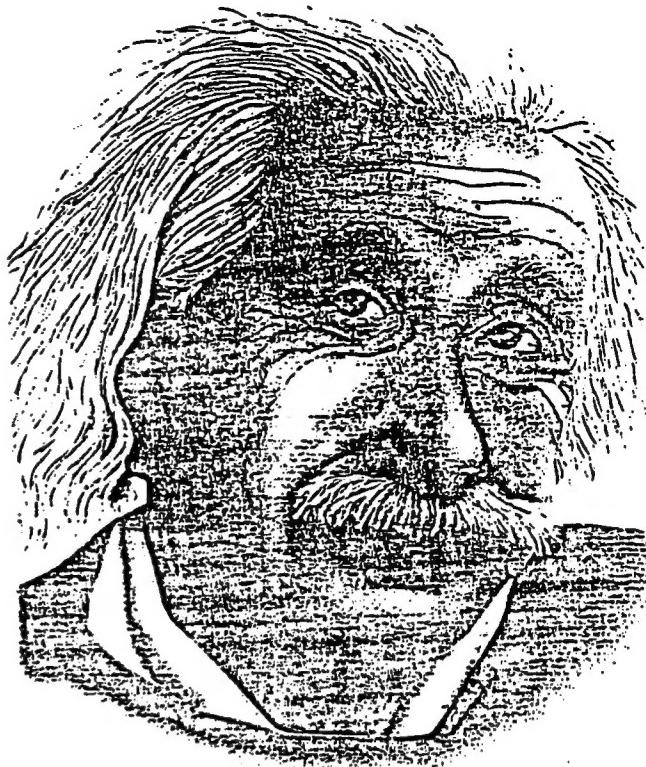
Frank Harary

Michael S. Jacobson

Marc J. Lipman

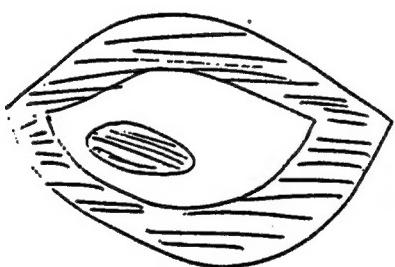
F. R. McMorris

1



"Perfection of means and confusion of goals
seem to characterize our age."

2



3



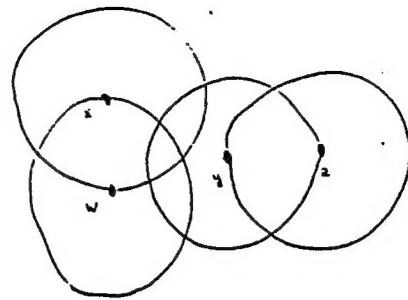
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Let S be a finite set of at least two points in the Euclidean plane. For each $x \in S$, let r_x be the smallest distance from x to any other point in S .

Let B_x be the open ball of radius r_x centered at x .
Let A_x be the closed ball of radius r_x centered at x .

The Sphere-of-Influence Graph of S , $G(S)$, has vertex set S , and for $x, y \in S$, x and y are adjacent in $G(S) \iff B_x \cap B_y \neq \emptyset$.

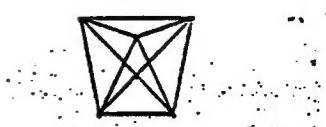
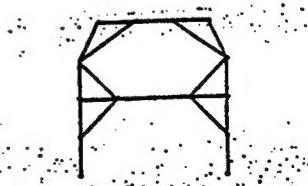
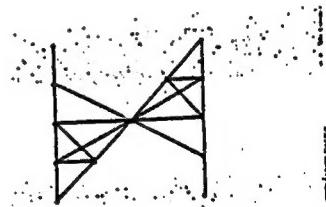
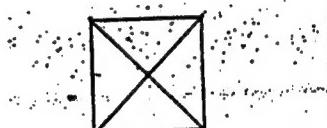
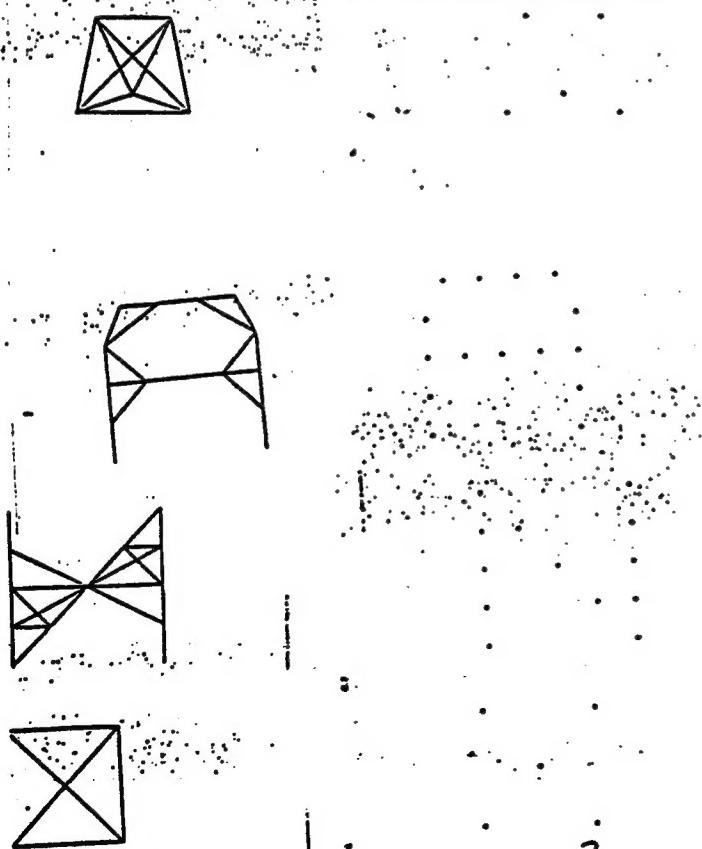
The Closed-Sphere-of-Influence Graph of S , $G^+(S)$, ...
 $\iff A_x \cap A_y \neq \emptyset$.



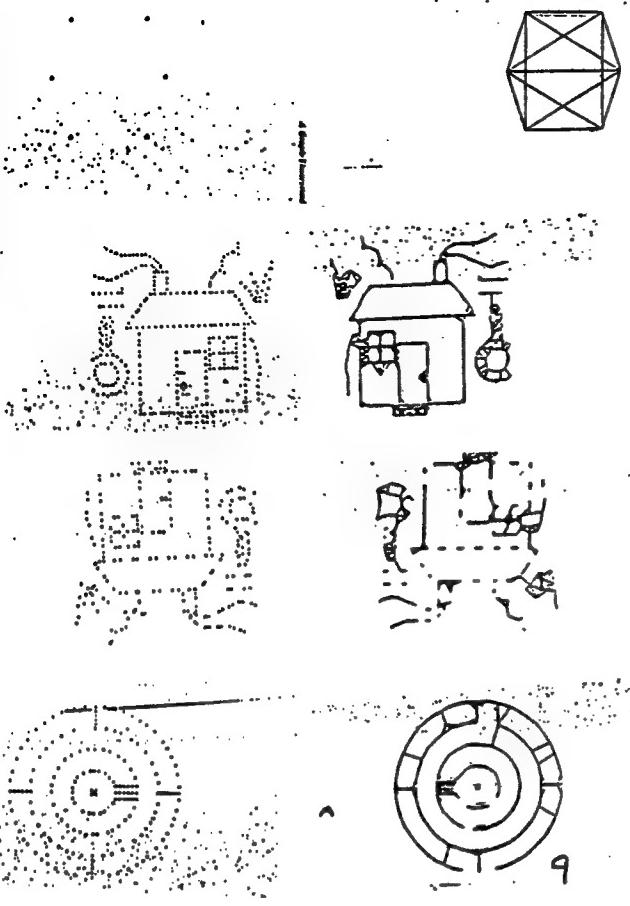
So Δ is a SIG.
" " " " CSIG.

5

6



8



Easy (important) result: The union of SIGs (CSIGs) is a SIG (SIG).



11

Thm: The graph $G(S)$ and $G^*(S)$ for a set S with $|S|=n$ can be computed in time $O(n \log n)$.

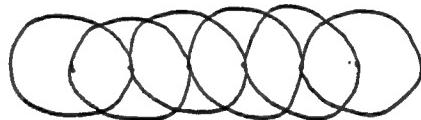
So what's the problem?

We don't know much about the graphs $G(S)$ and $G^*(S)$. Do they do what they appear to do?

Even more basic: Which graphs are SIGs?
Which graphs are CSIGs?

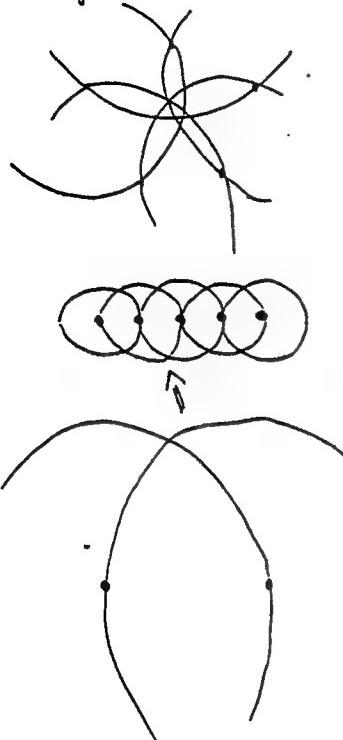
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Thm: Paths are SIGs.



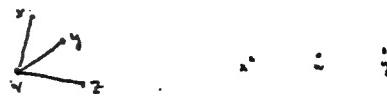
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Thm: Cycles are S1Gs.



Are there graphs which are not S1Gs?

$K_{1,3}$ is not a S1G.

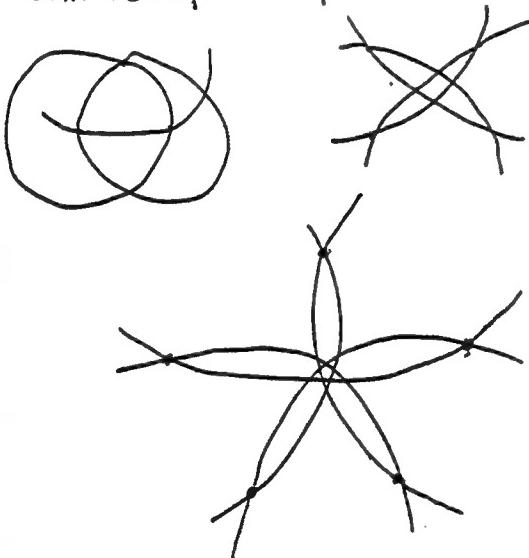


Thm [Erdős-Bateman]: If $|S|=n$, then $G(S)$ has at most $\lceil \frac{1}{2}n^2 \rceil$ edges.

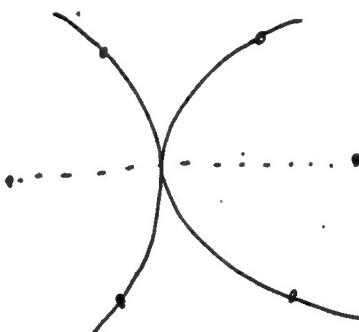
So S1Gs (and CS1Gs) aren't too dense.

13

Some Complete Graphs are S1Gs.



14



$G(S) \neq K_6$

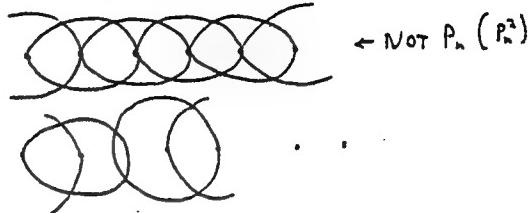
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16

Thm: The path on 3 vertices is not a CSIG.

[If $|S|=3$, then $G^*(S) \cong K_3$.]

Thm: Even paths are CSIGs. Odd ones aren't.



$$G(S) = K_1$$

17

Thm: The Δ and even cycles are CSIGs.
The others aren't.

18

★ The class of SIGs ($CSIG_s$)
is NOT closed under taking subgraphs
(or even taking induced subgraphs)!

→ There is no forbidden subgraph
characterization of $CSIG_s$ ($CSIG_s$).

Thm: Every tree is the induced subgraph
of a SIG.

Let us say that "x defines y" if x is a
nearest neighbor of y (that is: $r_y = d(x,y)$)
+ of course xy is then an edge in the SIG.

Theorem: If G is a CSIG without a Δ ,
then G has a 1-factor of "defining edges."

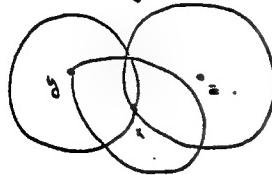
(a 1-factor is a set of edges meeting every
vertex EXACTLY once)



19

20

proof: If x defines y and z , then Δ .



Otherwise: suppose x_1 defines x_1 ,
 x_1 defines x_2 ,
⋮
 x_1 defines x_k .

$$\text{Then: } r_{x_1} \leq d(x_2, x_1) = r_{x_1}$$

$$r_{x_1} \leq d(x_3, x_1) = r_{x_2}$$

⋮

$$r_{x_1} \leq d(x_k, x_1) = r_{x_k}$$

$$\Rightarrow r_{x_1} = r_{x_2} = \dots = r_{x_k} = d(x_1, x_i).$$

21

If $k > 2$, then x_2 defines x_1 and x_3 !

Therefore, $k=2$ and x_1 and x_2 define each other (and no other points).

Therefore, the set of all "defining edges" pairs up the points as above — and that is the 1-factor.

* Note: The Theorem merely states that There is a 1-factor. The proof shows that the full set is the 1-factor.

COR: If G is a CSIG without a Δ , then G has an even number of points.

22

However, if G is a tree, we get a complete characterization:

Theorem: Suppose G is a tree. Then

$\Rightarrow G$ is a CSIG \Leftrightarrow
 G has a 1-factor.

$\Rightarrow G$ is a OSIG \Leftrightarrow
 G has a $\{P_2, P_1\}$ -factor.

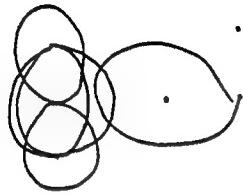
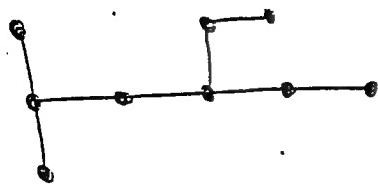


x defines both y and z !

23

24

Ex:



This can't work in general, since

every possible edge
between $= K_{n,n}$

has too many edges to be a SIG
but has a 1-factor and is Δ -free.

25

26

Question:

Since we are interested in
computer vision, we really care
about SIGs where the points
have to show up in pixels.

Which SIGs show up here?

Theorem: If G is a OSIG, then
 G has a representation in which
every point has integer coordinates.

Ex: $c_{0,0}$ $c_{m,n}$ $(130,0)$

C_5

$(0,-21)$ $(20,-24)$

27

28

Idea: Suppose G has a representation with no circles tangent:



Then $\exists \epsilon > 0$ so that if any circle is moved $\leq \epsilon$ no intersections are changed.

Move the circles one at a time to rational points.

Then "puff up" to integer points.

29

Then: move in "clumps".

If c_x and c_y are tangent at z ,
(so z divides them!) Then move all
3 circles together until z has
rational coordinates.

Then rotate  and move

along the xy -line to fix x and y .

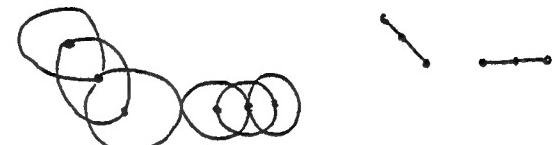
This works IF such a representation
exists.

31

Oops: Sometimes you have to have
tangencies: P_3 , P_{odd} for OSIGs.

Fix: Suppose only these, that is,

no "accidental" tangencies:



$$P_3 \cup P_{\text{odd}}$$



30

does!

in.

32

So:

1. We know a little about SICGs and CSICGs.
2. They seem to be useful for object separation in some contexts.
3. They may be useful for object identification in similar contexts.
4. The definitions generalize to three dimensions and different geometries.
5. Our ignorance exceeds our knowledge.

"Two Extremal Problems in Graph Theory"

Roger Entringer, University of New Mexico
Department of Mathematics, Albuquerque, NM

UNAVOIDABLE SUBGRAPHS OF SPARSE GRAPHS

C.A Barefoot
 New Mexico Institute of Mining & Technology
 Socorro, New Mexico 87801, USA

A.J. Depew*, L.H. Clark**, R.C. Entringer, A.A. Kooshesh***
 and L.A. Székely****
 University of New Mexico, Albuquerque, NM 87131, USA

* Probably in the Cayman Islands by now.

** Present address: Department of Mathematics
 Southern Illinois University
 Carbondale, IL 62901

*** Should complete PhD in Computer Science this year -
 needs a job.

**** Permanent address: Department of Computer Science
 Eötvös Loránd University
 H-1088 Budapest
 HUNGARY

Q. How many edges can a graph of order n have if it doesn't contain a hamilton cycle?

A. (Ore 1961) $\binom{n-1}{2} + 1$.

Q. What graphs have this many edges but don't contain a hamilton cycle?

A. K_{n-1} with a pendant vertex, $n \neq 5$.

Let $P(n)$ be a property enjoyed by K_n .

Generic Extremal Problem: Determine the maximum number of edges, $ex(n; P(n))$, a graph of order n can have if it doesn't satisfy property $P(n)$.

The graphs of order n that have $ex(n; P(n))$ edges but do not satisfy property $P(n)$ are called the *extremal graphs* for $P(n)$.

Q. How many edges can a graph of order n have if it doesn't contain any cycle?

A. $n - 1$.

Q. What graphs have this many edges but don't contain a cycle?

A. Trees.

Q. How many edges can a graph of order n have if it doesn't contain a subdivision of K_4 ?

A. $ex(n; K_4S) = 2n - 3$.

Q. How many edges can a graph of order n have if it doesn't contain a subdivision of K_5 ?

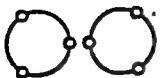
Conjecture (Dirac 1964) $ex(n; K_5S) = 3n - 6$.

Fix the graph F . We define the *subdivision threshold* of F to be the maximum number of edges, $ex(n; FS)$, a graph of order n can have without containing a subdivision of F as a subgraph.

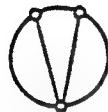
We denote by $EX(n; FS)$ the family of those graphs of order n that have $ex(n; FS)$ edges and do not contain a subdivision of F .

A result of Mader shows that for any graph F there is a constant, c_F , such that $ex(n; FS) \leq c_F n$.

Theorem (Mader 1967). If a graph has order n and size $\frac{\binom{n}{2}-1}{2} kn$ then it contains a subdivision of K_{1+1} .



Theorem. (Erdős and Pósa 1965) $\text{ex}(n; \text{FS}) = 3n - 6$. G is in $\text{EX}(n; \text{FS})$ iff $G = K_3 + \bar{K}_{n-3}$.



Theorem. (BCDES) $\text{ex}(n; \text{FS}) = \begin{cases} 2n - 2, & n \equiv 1 \pmod{3} \\ 2n - 3, & n \not\equiv 1 \pmod{3} \end{cases}$

G is in $\text{EX}(n; \text{FSR})$ iff every block of G , with at most one exception, B , is isomorphic to K_3 and $B = K_2 + \bar{K}_1$ or $B = K_{3,3}$ or $B = K_2 \times K_2$ or B is the nearly 3-regular graph of order 5.

Problem. Find all graphs with subdivision threshold less than $3n - 6$.

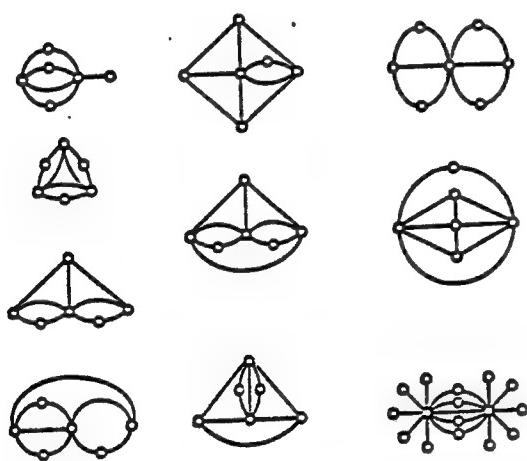
Properties of F when $\text{ex}(n; \text{FS}) < 3n - 6$:

- (i) $\Delta(F) \leq 6$.
- (ii) F has at most one vertex with degree ≥ 6 .
- (iii) F has at most two vertices with degrees ≥ 5 .
- (iv) F is planar.
- (v) If F is connected, then it has order ≤ 7 .
- (vi) If F is 2-connected, then it has order ≤ 6 .
- (vii) F is a subgraph of $K_3 + \bar{K}_{n-3}$.

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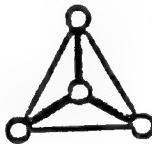
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Certain subgraphs of

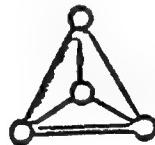


+ pendant vertices.

Candidates for graphs G satisfying $\text{ex}(n; \text{FS}) < 3n - 6$.



Theorem. (Thomassen 1974) $\text{ex}(n; \text{FSR}) = 2n - 3$. G is in $\text{EX}(n; \text{FSR})$ iff G is a (*2)-cockade where each member * of the cockade is K_3 or $K_{2,3}$.

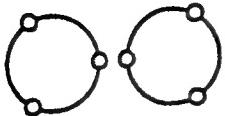


Theorem. (Krusenstjerna-Hafstrom and Toft 1980) $\text{ex}(n; \text{FSR}) = 2n - 3$. G is in $\text{EX}(n; \text{FSR})$ iff G is a (3,2)-cockade.

7

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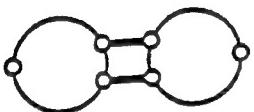
MINIMUM TRANSMISSION SPANNING TREES



w/Kevin Burns University of New Mexico
Albuquerque, NM 87131, USA

Theorem. (Erdős and Pósa 1965) $ex(n; FS) = 3n - 6$. G is in $EX(n; FS)$ iff $G = K_3 + \overline{K}_{n-3}$.

33447



83447 Squirrel, Idaho

Theorem. (BCDEKS) $ex(n; FSR) = 3n - 6$. G is in $EX(n; FSR)$ iff $G = K_3 + \overline{K}_{n-3}$.

9

33447 Del Ray Beach, Florida

10

The load, $L(v)$, of a vertex v of a tree T is the number of paths in T containing vertex v as an internal vertex. This has also been called the cutting number by Harary and Ostrand.



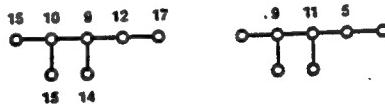
Suppose T has order n and that the branches of T at v have n_i edges, $1 \leq i \leq k$, (so that $\sum_{i=1}^k n_i = n - 1$) then

$$L(v) = \sum_{i=1}^k n_i n_j = \frac{1}{2} \left[(n-1)^2 - \sum_{i=1}^k n_i^2 \right].$$

The load, $L(T)$, of a tree T is the sum of the loads of the vertices.

11

The transmission, $\sigma(v)$, of a vertex v in a connected graph G is the sum of the distances from v to each of the remaining vertices of G .



The transmission of a graph G is defined by

$$\sigma(G) = \frac{1}{2} \sum_{v \in V(G)} \sigma(v)$$

Observation.

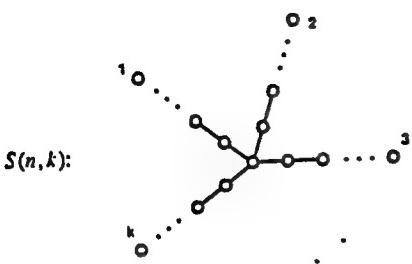
$$L(T) = \sigma(T) - \binom{n}{2}$$

(since a path joining u and v contributes 1 to the load of each of $d(u, v) - 1$ vertices.)

The transmission center of a graph is the set of vertices with minimum transmission and consists of one vertex or two adjacent vertices. The transmission center is the centroid (Zelinka).

12

Theorem. Of all trees of order n with exactly k end vertices, $S(n, k)$ has minimum transmission.

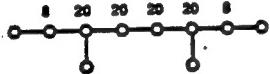


13

Theorem. Of all trees of order n with exactly k end vertices, $D(n, k)$ has maximum transmission.



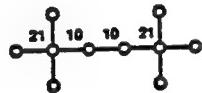
14



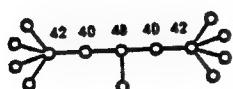
Question. What fraction of the vertices of a tree of order n can have maximum load? In particular, does this fraction tend to 0?

Problem. Given a connected graph find a spanning tree with minimum transmission. For example, find a spanning tree of Q_n with minimum transmission.

Theorem. Let G be a k -partite graph with smallest part H . The spanning tree of G with minimum transmission contains two adjacent vertices, u in H and v , where u is adjacent to all vertices of G not in H and v is adjacent to all vertices of G in H .

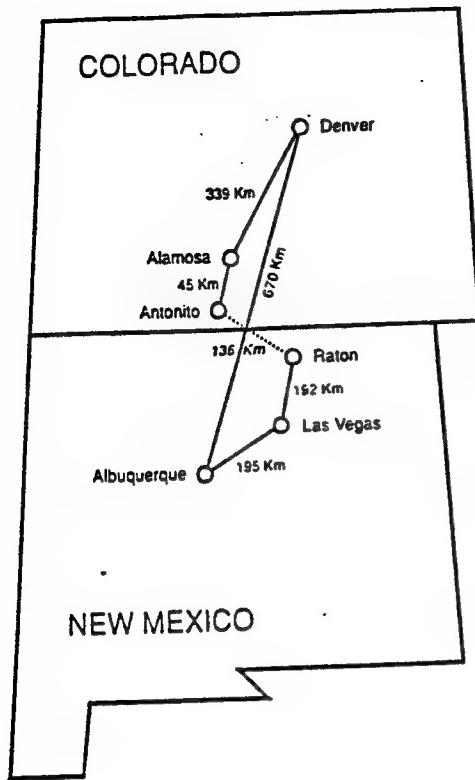


Question. What fraction of the vertices of a tree of order n can have a relatively maximum load?



15

16



"Containment Orders and Planar Graphs"

**Edward R. Scheinerman, Johns Hopkins University
Department of Mathematical Sciences, Baltimore, MD**

Containment Planar Graphs Orders

Edward Scheinerman
Johns Hopkins University

Overview

- ⇒ Circle Orders, and their relatives
- ⇒ Building Posets from Graphs
- ⇒ Graph Planarity \Leftrightarrow Poset Properties
 - "Triangle" Orders
 - Circle Orders
 - Point-Halfspace Orders
 - Non-Planar Comments
- ⇒ Planar Maps & Circle Orders
- ⇒ The Circle Order Problem

Definitions...

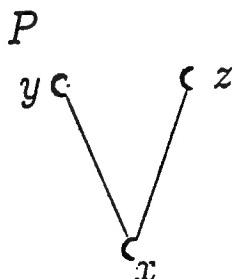
Let P be a finite poset.

We call P a

provided we can assign to each $x \in P$

a

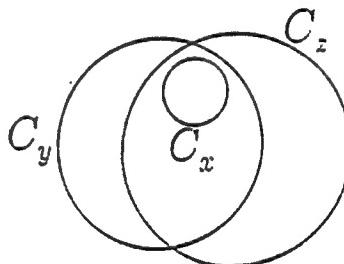
so that $x \leq y$ iff



circle order

circle C_x

$C_x \subseteq C_y$



(also: S_2 Lattice Orders via balls in \mathbb{R}^2)

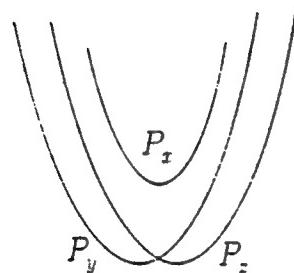
3

4

parabola order

parabola P_x (upwards, filled)

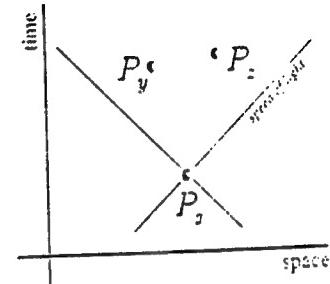
$$P_x \subseteq P_y$$



space-time order*

“event” P_x in space-time*

P_x precedes P_y



5

* two space coordinates, one time coordinate

RS2PD order*

real, symmetric, 2-by-2 matrix M_x

$M_y - M_x$ is positive

(semi) definite

$$M_x = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$M_y = \begin{bmatrix} 2 & 1 \\ 1 & 3 \end{bmatrix}$$

$$M_z = \begin{bmatrix} 5 & 2 \\ 2 & 1 \end{bmatrix}$$

* real, symmetric, two-by-two positive definite matrix order
(also, H2PD = complex Hermitian, two-by-two positive definite matrix order)

What's the connection?

Theorem. The following statements about a finite poset P are equivalent:

- P is a circle order
- P is a space*-time
- P is a parabola order
- P is a RS2PD order
- two space coordinates

Theorem. The following statements about a finite poset P are equivalent:

- P is a sphere order
- P is a space*-time
- P is a H2PD order
- three space coordinates

But! Both statements are false for infinite posets.

[Englert & Scheinermann]

8

Why it works

(Q/L Orders)

Q/L order on \mathbb{R}^{n+1}

$$\underbrace{x \leq y}_{\in \mathbb{R}^{n+1}}$$

$$\Leftrightarrow \begin{cases} Q(y-x) \geq 0 \\ L(y-x) \geq 0 \end{cases}$$

a quadratic form
a linear form

Theorem. The only possible Q/L orders on \mathbb{R}^{n+1} are:

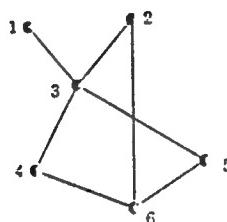
- anti-chain
- disjoint union of chains
- space*-time order (balls in \mathbb{R}^n)
- * n space coordinates

[Scheinerman]

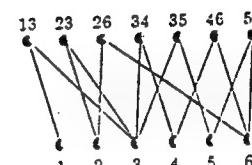
Posets from Graphs



G



P(G)



What can you deduce about *graph* properties of G from *order* properties of P(G)?

Planarity

Graph Theory World



?

Partially Ordered Sets

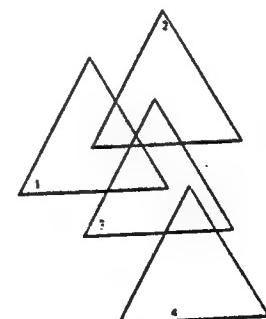
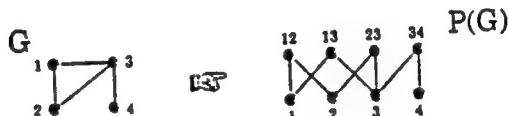
11

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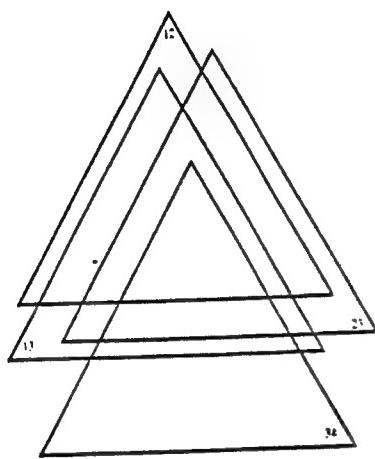
Theorem: A graph G is planar iff P(G) is a triangle* order. [Schnyder]

*equilateral triangles with bottom side parallel to the x-axis



12

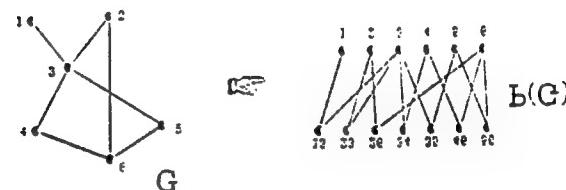
Theorem: A graph G is planar iff $P(G)$ is a circle order. [Scheinerman]



(Actual Statement: G is planar iff $\dim P(G) \leq 3$)

Key Ideas in the Proof

#1 O.K. to work with the dual.



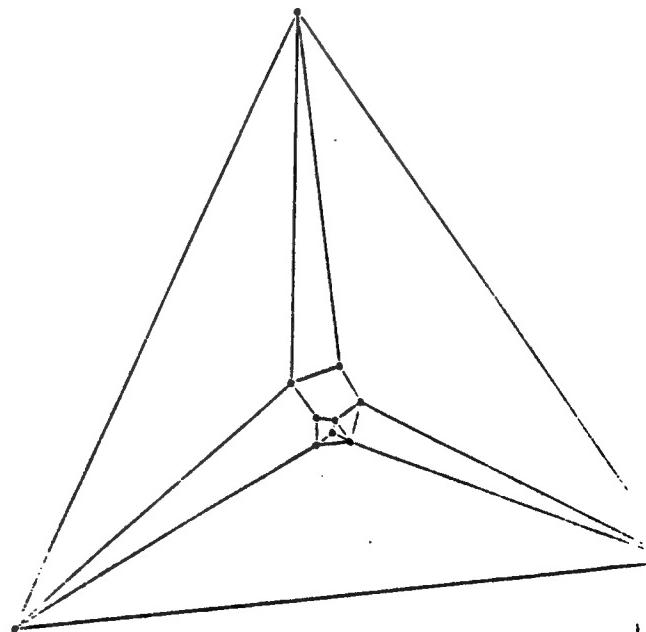
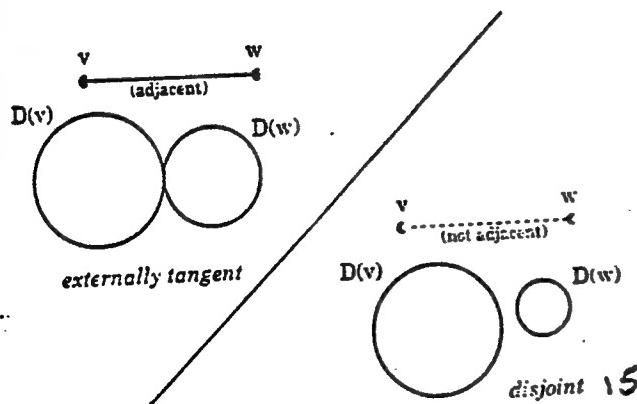
Key Ideas in the Proof (continued)

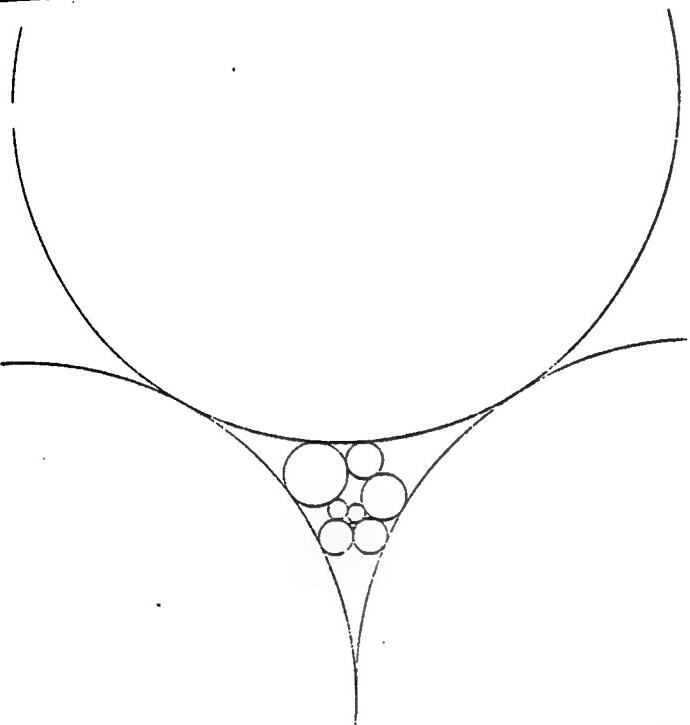
#2 Thurston's Theorem.

Every planar graph has a representation by disks in the plane...

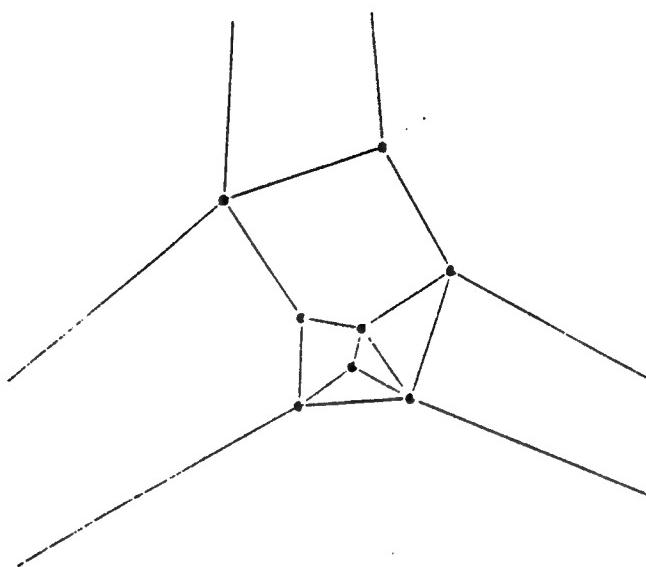


such that...



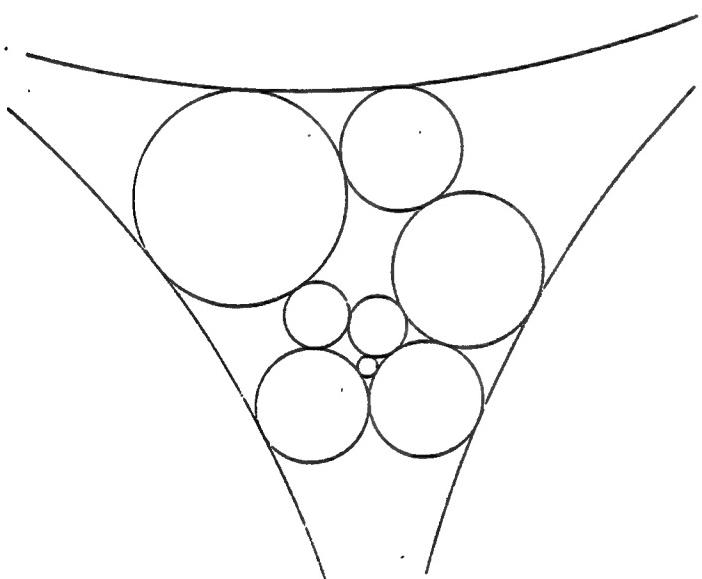


17

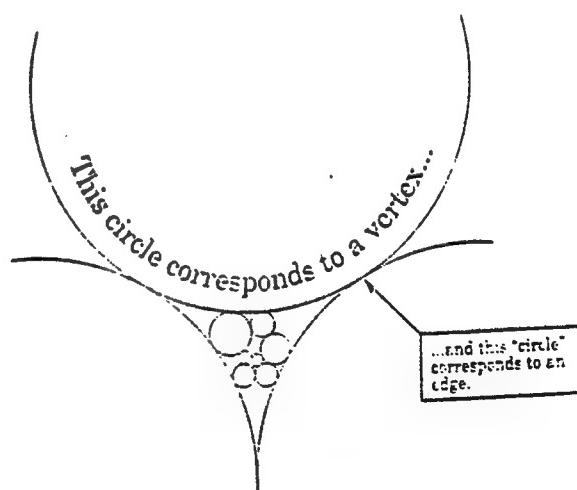


G planar $\Rightarrow P(G)$ is a circle order

- Form Thurston circles for G . These will be the circles for $V(G)$.
- Points of tangency will be the circles (of radius 0) for $E(G)$.
- Notice: Every edge circle is contained in exactly its endpoints' circles.

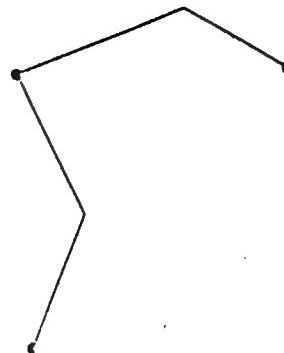
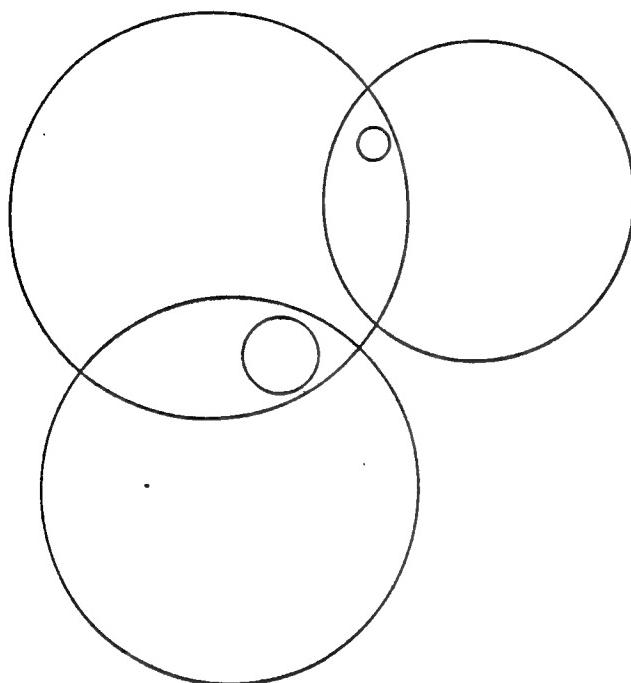


19



G planar $\Leftrightarrow P(G)$ is a circle order

Draw the dual of $P(G)$ as a circle order...

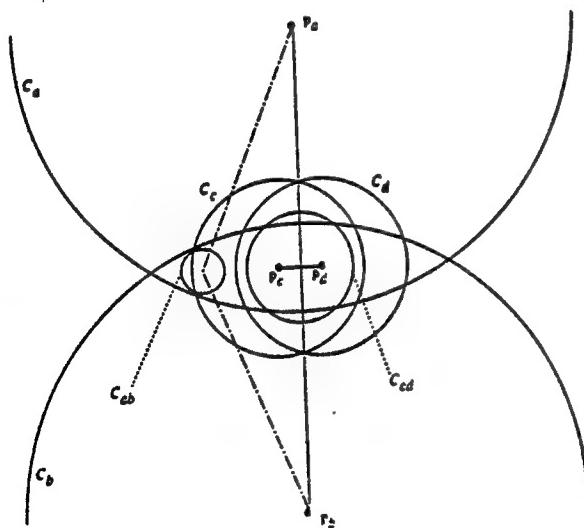


...and this will give
an embedding of G
in the plane!

21

22

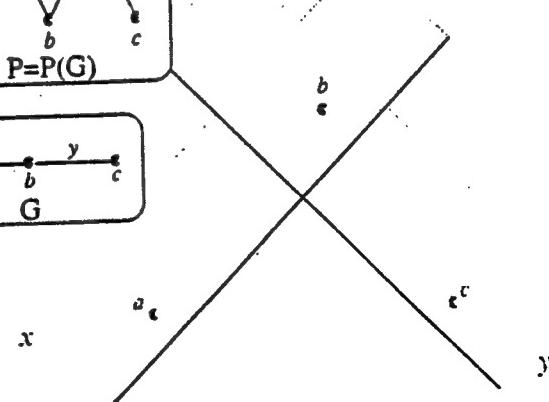
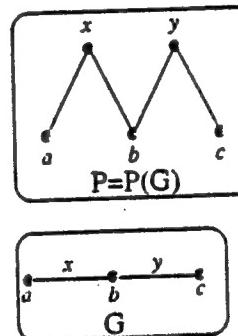
Why 2-step paths might be needed



Circle/Sphere Orders at their extreme...

Point-Halfspace Orders

A "bipartite" poset is called a
point-halfspace order if...



Theorem. Let G be a graph. $P(G)$ is a point-halfspace order in R^3 if and only if G is planar or K_5 .

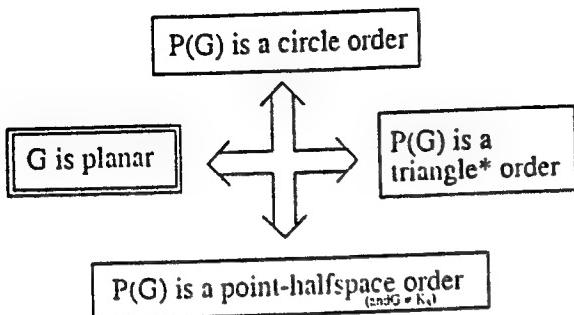
(Scheinerman, Trink & Ulman)

23

24

Summary

For any graph G ...



What about non-planar graphs?

Theorem. If G is any graph, then $P(G)$ is a sphere order.

[Scheinerman]

Corollary. Let G be a graph. The least d such that G embeds in \mathbb{R}^d equals the least d such that $P(G)$ is representable by balls in \mathbb{R}^d .

[Scheinerman]

Theorem. If G is any graph, then $P(G)$ is a point-halfspace order in \mathbb{R}^4 .

[Scheinerman, Trenk & Ullman]

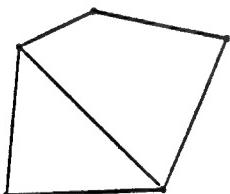
25 Note: $P(G)$ can have arbitrarily high poset dimension.

*Equilateral triangles with bottom parallel to x-axis. (Equivalent to $\dim P \leq 3$.)

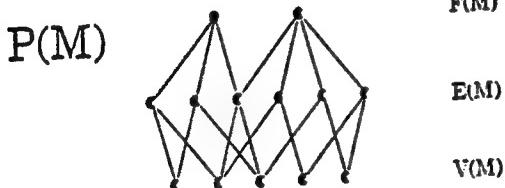
25

26

Planar Maps (bounded faces only)



faces
edges
vertices

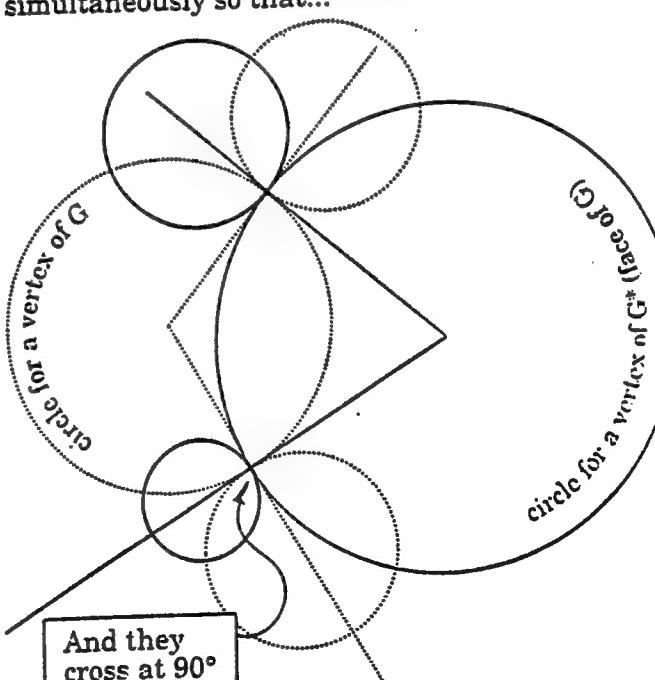


Theorem. For any planar map, $\dim P(M) \leq 3$. (Brightwell & Trotter)

...but is $P(M)$ a circle order?

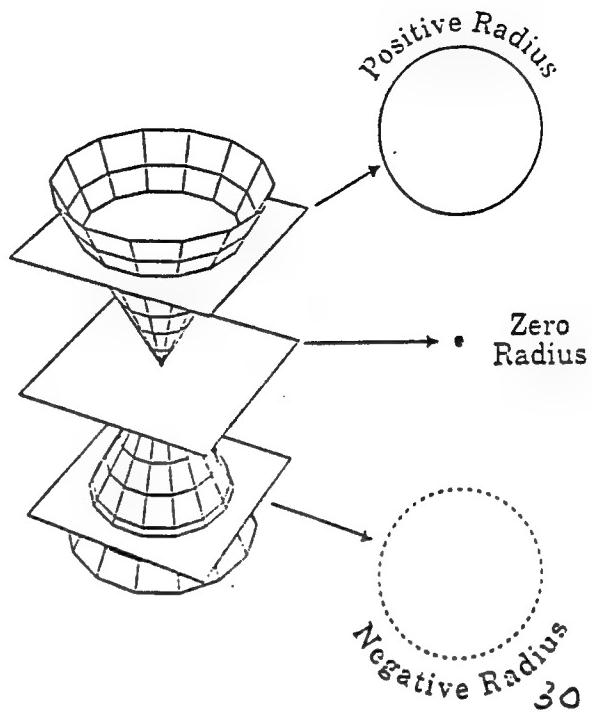
27

And they cross at 90°



28

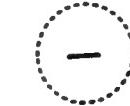
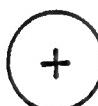
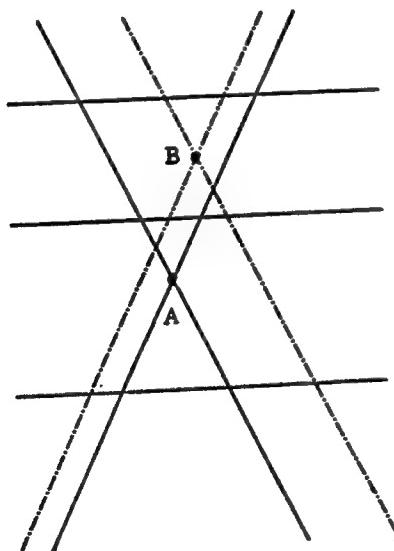
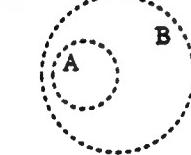
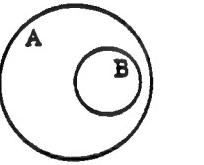
Adjusting Time & Circles of Negative Radius



29

Containment of Positive and Negative Circles

$$A \supset B$$

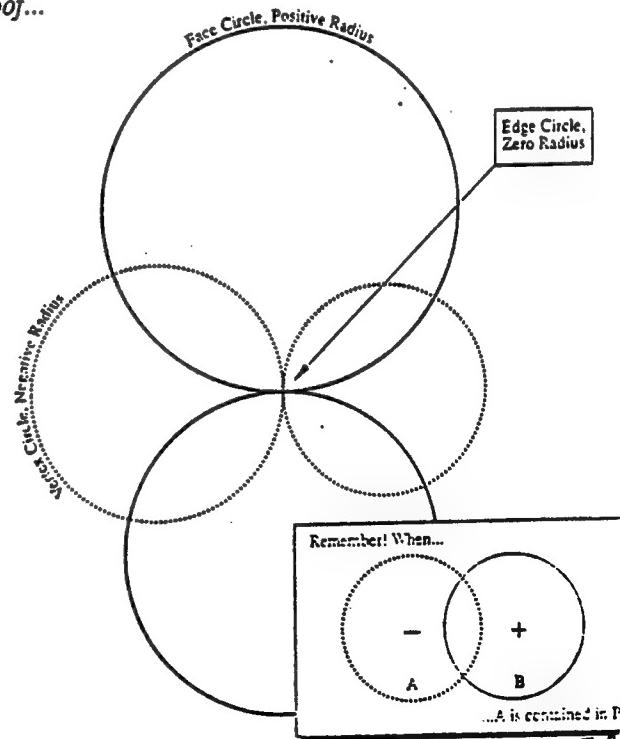


31

Main Theorem

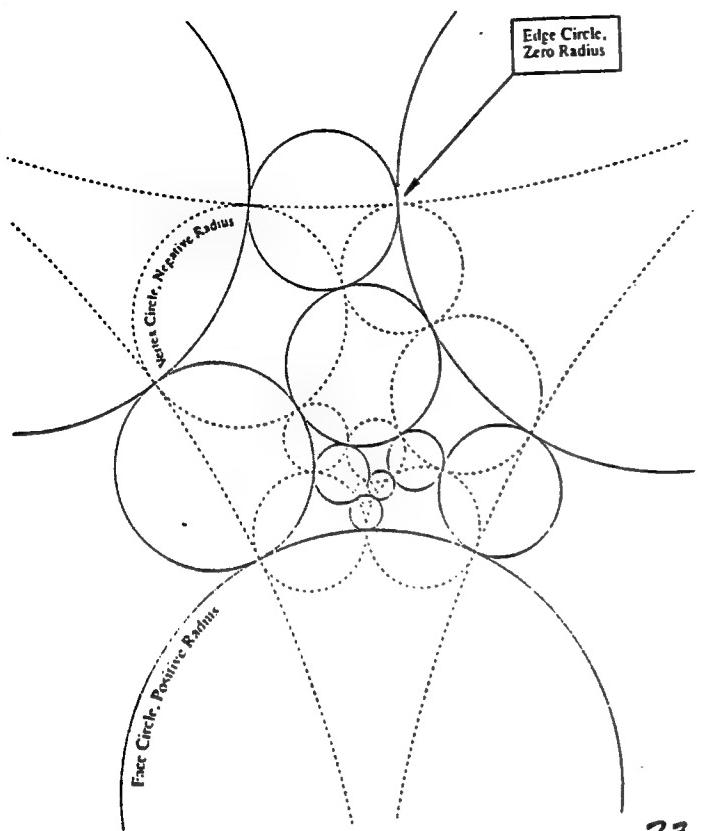
Theorem. If M is a 3-connected planar map then $P(M)$ is a circle order. (Brightwell & Scheinerman)

Proof...

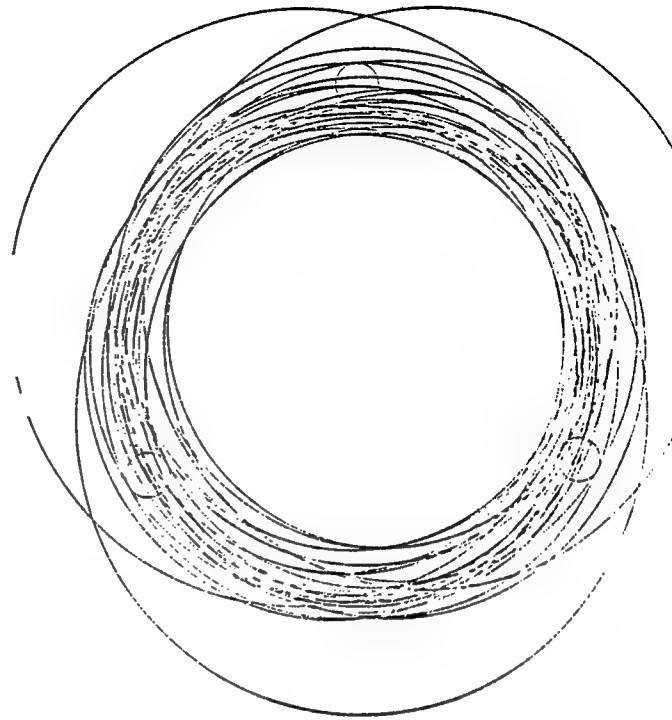


32

Why $P(M)$ is a circle order



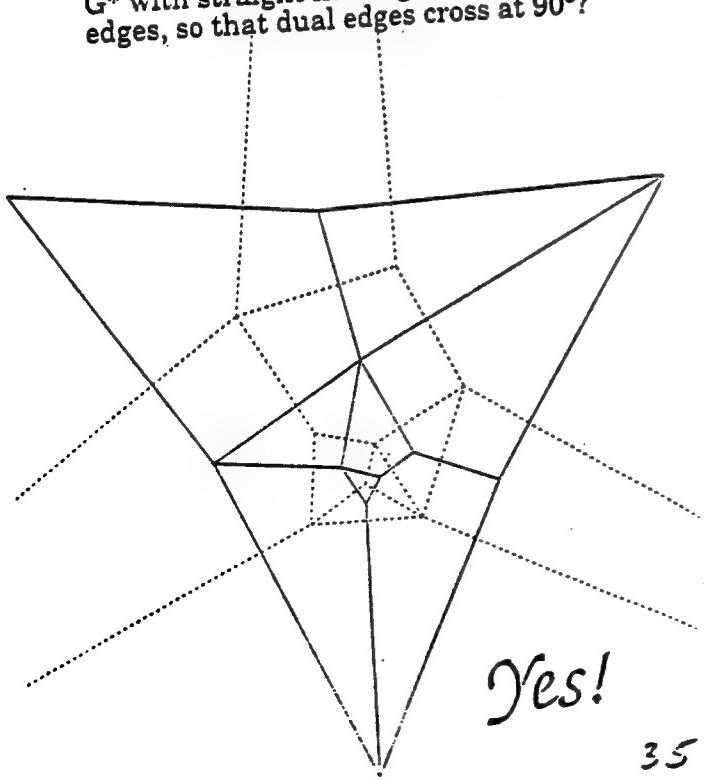
33



34

A Conjecture of Tutte...

Let G be a 3-connected planar graph.
Can one properly draw G and its dual
 G^* with straight line segments for
edges, so that dual edges cross at 90° ?

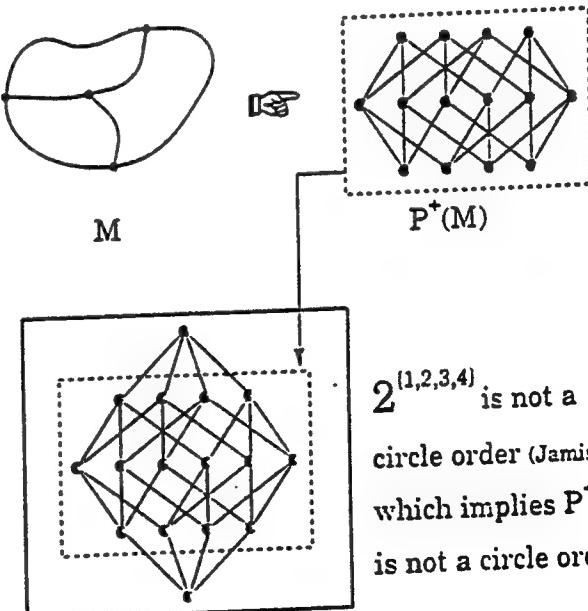


Yes!

35

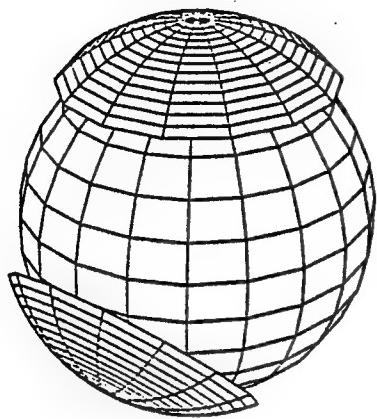
What about the
unbounded faces?

For example...



but... 36

Theorem. If M is a 3-connected planar map, then $P^+(M)$ is a "cap order".
(Brightwell & Scheinerman)



Note: $P^+(M)$ is the face lattice of a convex polyhedra in \mathbb{R}^3 .

"On Finding Transmitter-Receiver Matchings"

Jean R.S. Blair, University of Tennessee
Department of Computer Science, Knoxville, TN

On Finding Transmitter - Receiver Matchings

Jean F.S. Blair[†]
University of Tennessee

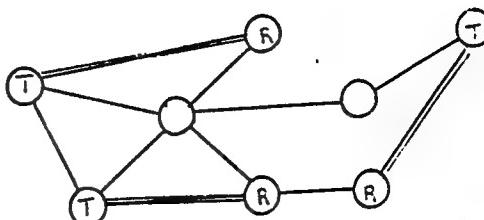
and

S.S. Ravi[‡]
SUNY at Albany

[†] Portions of this research were performed at the Mathematics Sciences Section of Oak Ridge National Laboratory which was partially supported by the Applied Mathematical Sciences Research Program, Office of Energy Research, U.S. Department of Energy under contract DE-AC05-84OR21400 with Martin Marietta Energy Systems, Inc.

[‡] Supported in part by NSF Grants DCI-8603318 and CCR-8905296.

Transmitter - Receiver Matching



Given: a communication network with bidirectional links

Goal: to simultaneously test as many links as possible

Constraints:

- (1) a transmitting site sends signals along all of the links emanating from it
- (2) a site cannot be both a transmitter and a receiver at the same time
- (3) two or more signals reaching a site at the same time interfere

Known Results for different graph structures:

NP-Complete for :

- Graphs with max. degree 3 [Stockmayer, Vainman]
- Bipartite Graphs [Even, Goldreich, Moran, Ta'ayag]
- Chordal Graphs [this paper]

Linear Time Algorithms for :

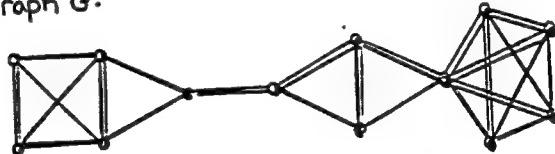
- Trees [Farley, Proskurowski]
- 2-Trees [Colbourn, Proskurowski]
- AC-Graphs [this paper]

Quadratic Time Algorithm for :

- Interval Graphs [Spinrad]

AC Graphs

Graph G:



Clique Graph G_c :

- one node for each maximal clique in G
- an edge between 2 nodes iff the corresponding cliques intersect



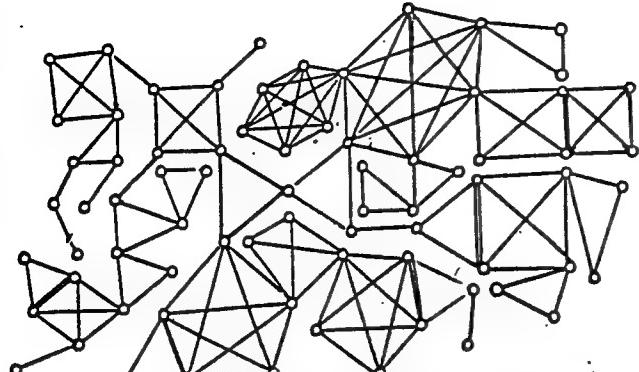
AC Graphs

- graphs whose clique graphs are acyclic

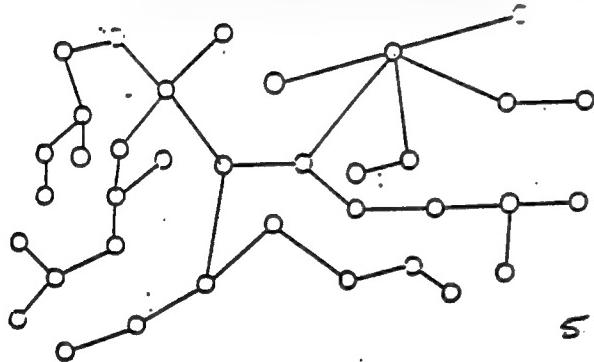
Terminology

- leaf node of G_c , leaf clique of G, parent clique, simplicial node of G

An AC Graph



The corresponding clique graph



5

Useful Properties of AC Graphs

Lemma 2.1 -- Any node of an AC Graph, G, belongs to at most two cliques.

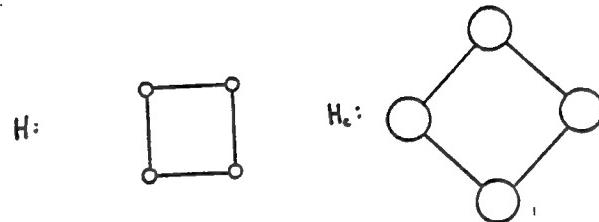
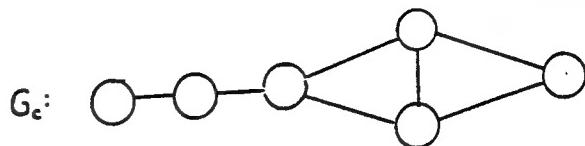
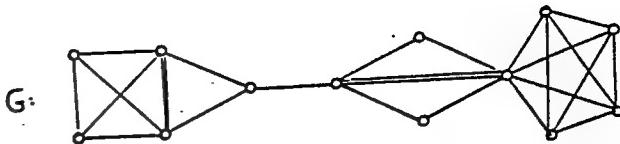
Lemma 2.2 -- Any induced subgraph of an AC Graph is also an AC Graph.

Lemma 2.3 -- Every leaf clique contains at least one simplicial node.

Lemma 2.4 -- Every AC Graph is chordal.

7

Some graphs that are not AC graphs.



6

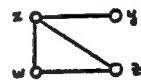
Conflicts

TR-pairs : $\{x, y\}$ and $\{w, z\}$ conflict iff at least one of the edges $\{x, z\}$ and $\{y, w\}$ is in G



Edges : $\{x, y\}$ and $\{w, z\}$ conflict iff every orientation of the edges results in a c

Lemma 2.5 -- Two distinct edges $\{x, y\}$ and $\{w, z\}$ conflict iff the subgraph of G induced on $\{x, y, w, z\}$ contains a 3-cycle



8

Orientability

A matching M is orientable iff there exists an orientation of M with no conflicts.

M -- a matching

V_M -- the nodes that comprise M

G_M -- the subgraph of G induced on V_M

Lemma 2.6 -- M is orientable iff G_M does not contain a cycle that uses an edge in M .



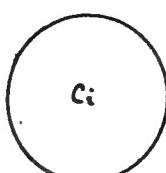
Lemma 2.7 -- If no pair of edges in M conflict, then M is orientable.

(Both results require that G be chordal).

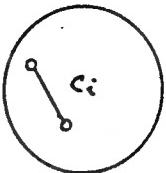
9

Nodes in a Clique and Orientable Matchings

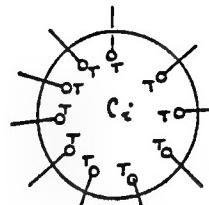
Lemma 3.1 -- Let $I = C_i \cap V_M$ for clique C_i and orientable matching M . Exactly one of following is true:



(a) $I = \emptyset$



(b) for $x, y \in I$ and $\{x, y\} \in M$ and $I - \{x, y\} = \emptyset$



(c) nodes in I single endpoints pairs in M are in valid orientation labels are sarr

Lemma 3.3 -- If for $x, y \in C_i \setminus \{x, y\} \in M$ then at most one node from each $C_i \cap C_j \neq \emptyset$ can be in

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Sketch of the Algorithm

With each clique C_i store PossiblePair(C_i)
(initial value is TRUE)

{Find a maximum cardinality orientable matching}

Until all nodes have been removed from G

- (1) choose a leaf clique C_l
- (2) if PossiblePair(C_l)
 - choose a "good" pair from C_l
 - remove from G all nodes that would conflict with the pair
 - set PossiblePair(C_l) to FALSE, if necessary

else remove C_l from G

Orient the edges

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Choosing a "good pair"

Lemma 3.1(b) \Rightarrow no matter what pair, no other nodes in C_l can belong to V_M

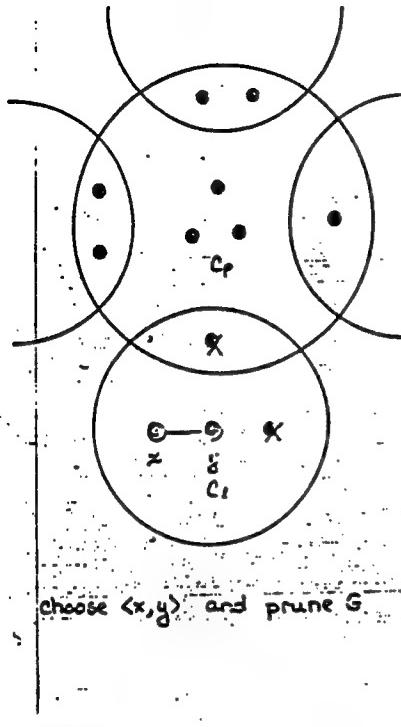
- Lemma 3.1 \Rightarrow if choose any node from $C_l \cap C_p$ then no pairs come from C_p
- \Rightarrow if choose two nodes from $C_l \cap C_p$ then no other nodes come from C_p

Stay away from C_p as much as possible

Lemma 2.3 \Rightarrow at least one simplicial node in C_l

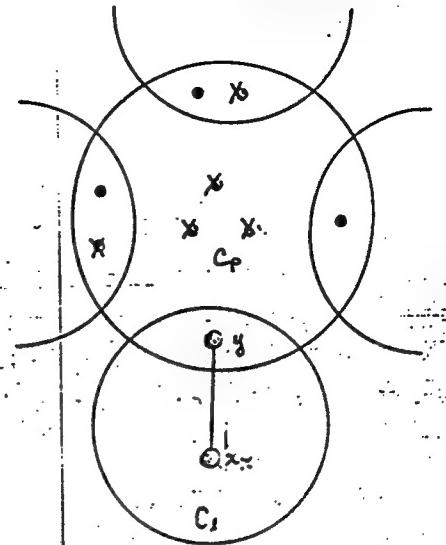
12

Example -- PossiblePair(C_p) = TRUE
 C_p contains ≥ 1 simplicial node



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Example -- PossiblePair(C_1) = TRUE
 C_1 contains one simplicial node



14

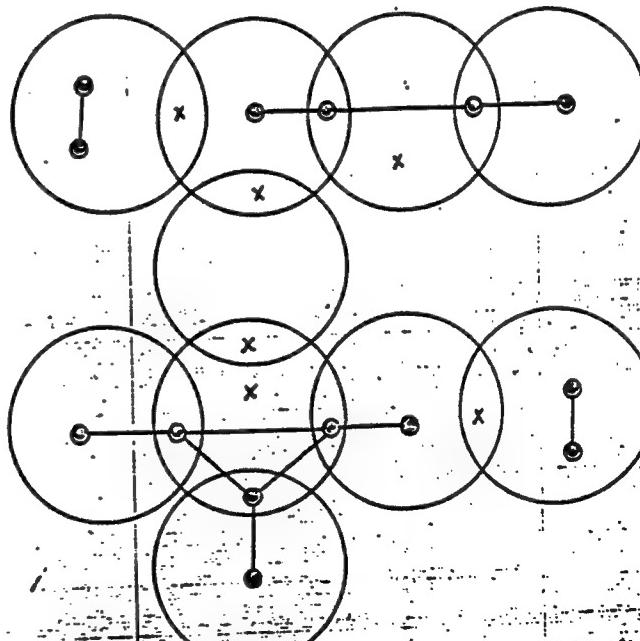
AlgTRM

1. Construct $G_c(V_c, E_c)$ (the clique graph of G)
2. $M \leftarrow \emptyset$
3. for each clique C_i do $\text{PossiblePair}(C_i) \leftarrow \text{true}$
4. while G_c contains two or more nodes do
 - a. $c_l \leftarrow$ a leaf node of G_c
 - b. $C_l \leftarrow$ the corresponding leaf clique of G
 - c. $C_p \leftarrow$ the clique that intersects C_l
 - d. if $\text{PossiblePair}(C_l)$ then
 - i. $x \leftarrow$ a simplicial node in C_l
 - ii. if $C_p - \{x\}$ has a simplicial node, then
 - y \leftarrow a simplicial node in $C_p - \{x\}$
 - $P \leftarrow \emptyset$
 - else
 - y \leftarrow a node in $C_p - \{x\}$
 - if $\text{PossiblePair}(C_p)$ then
 - PossiblePair(C_p) \leftarrow false
 - $P \leftarrow \{ \text{all nodes in } C_p \text{ except one from each } C_i \cap C_p, i \neq l \text{ and } i \neq p \}$
 - else $P \leftarrow \emptyset$
 - iii. Add $\{x, y\}$ to M
 - iv. Delete $C_l \cup P$ from G and c_l from G_c
 - v. if $|C_p - P| = 1$ then Delete c_p from G_c
 - e. else
 - vi. Delete the simplicial nodes of C_l from G
 - vii. Delete c_l from G_c
 5. if G is not empty then
 - a. $x \leftarrow$ a simplicial node in G 's remaining clique
 - b. $y \leftarrow$ a simplicial node distinct from x in G
 - c. Add $\{x, y\}$ to M
 6. Orient the edges of M using AlgOrient

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Orientation Step

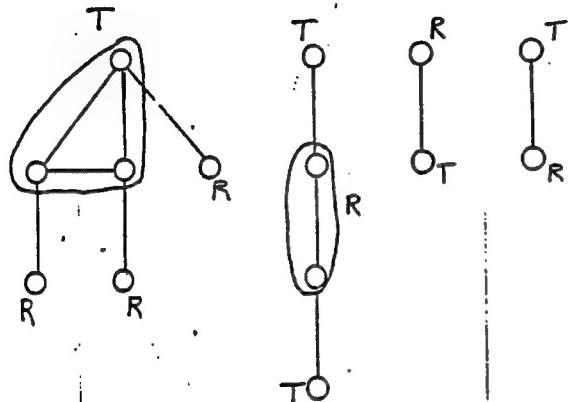
Given the set M , and G_M



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AlgOrient

- (1) Find the connected components of G_M
 (a) shrink non-matching portions of each component
- (2) Perform Breadth-First-Search on each component labeling nodes on adjacent levels opposite.



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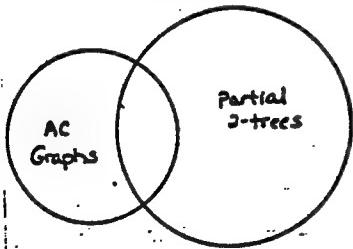
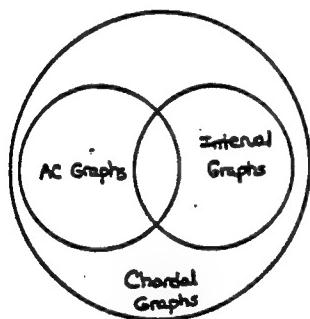
Linear Time of AlgTRM

1. finding the set of maximal cliques -- standard techniques
2. constructing the clique graph -- use $\Theta(|C| + |V|)$ space to construct adjacency lists in $\Theta(|V| + |E|)$ time
5. for each leaf clique choose a pair and prune G and G_C
 - each clique is "the leaf clique" at most once
 - each clique is pruned as a parent clique at most once
 - time required to process a leaf clique (or a parent clique) is proportional to the number of nodes in the clique
 - since G is an AC-graph, each node of G belongs to at most two cliques
6. orient the edges in M -- similar to 2-coloring a bipartite graph

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Final Remarks

TRM for Chordal Graphs is NP-Complete



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Determining if G is an AC-graph

Let G be a chordal graph.

Let G_C be its clique graph.

Property: If there is a cycle in G_C then there is a node in G that belongs to at least 3 cliques.

Lemma 21: Any node of an AC graph G belongs to at most 2 cliques.

- | |
|--|
| (1) check for chordality of G
(2) find maximal cliques of G
(3) check to see if any node in G belongs to more than 2 cliques |
|--|

$\Theta(|V| + |E|)$ time

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"The Jamison Method in Galois Geometries"

J. Chris Fisher, University of Regina, Canada
Visiting Clemson University, Department of Mathematical Sciences

JAMISON'S METHOD

Joint work with AIDEN BRUEN

$$\Pi = AG(2, q) \left\{ \begin{array}{l} \text{Affine plane} \\ \text{over } GF(q) \end{array} \right.$$

Definition.

" S' BLOCKS THE LINES OF Π ":

For every line $\ell \in \Pi$ There
is a $P \in S'$ with $P \in \ell$.

THEOREM (JAMISON, 1977)
A BLOCKING SET OF Π
MUST CONTAIN AT LEAST
 $2q - 1$ points.

PROOF
suppose to the contrary that $|S'| \leq 2q - 2$

Step 1

REPHRASE THE THEOREM AS A
RELATIONSHIP INVOLVING SETS OF
POINTS IN Π .

<u>original</u>	<u>dual</u>
S contains $2q - 2$ points	S^* has $2q - 3$ affine lines $\cup \{\text{loo}\}$
Every $\ell \in \Pi$ contains at least one $P \in S$	Every $P \in \Pi \setminus \{\text{loo}\}$ lies on at least one $\ell \in S^*$

i.e.

S^* has $2q - 3$ affine lines that
Cover the nonzero points of Π .

Cf. Projective planes: (2)
 $|S'| = q + 1$ (since each pair
of lines meet)

Or (when S' contains no line)

$$|S'| = q + \sqrt{q} + 1$$

Facts needed for the proof:

① points: $(x, y) \leftrightarrow z = x + iy \in GF(q^2)$
where $i \in GF(q^2) \setminus GF(q)$
elements of $GF(q^2)$

② field automorphism of $GF(q^2)$ of
period 2: $\bar{z} = z^q$ (recall $z^{q^2} = z$)

③ line: $\bar{z} + az + b = 0$ ($= z^q + az + b$)

STEP 2

FORMULATE THE THEOREM IN
TERMS OF POLYNOMIALS OVER $GF(q^2)$

Let S'^* consist of the lines

$$l_i = z^q + a_i z + b_i = 0 \quad b_i \neq 0 \quad i=1, \dots, 2q-3$$

Assumption:

$$\Pi \setminus \{\text{loo}\} \subseteq S'^*$$

so z^{q^2-1} divides $\prod_{i=1}^{2q-3} l_i$

Or

$$\prod_{i=1}^{2q-3} (z^q + a_i z + b_i) = 0 \pmod{z^{q^2-1}}$$

STEP 3 CALCULATE

(5)

$$(z^q + a_1 z + b_1)(z^q + a_2 z + b_2) \cdots (z^q + a_{g-1} z + b_{g-1}) \\ = (z^q)^{g-1} + \dots + (b_1, b_2, \dots, b_{g-1}) \equiv 0 \pmod{z^{g-1}-1}$$

key observation:

The coefficient of $z^{g-1} = -\pi b_i \neq 0$

Try g z^q 's and $g-3$ other factors
 $\Rightarrow z^{g+?}$ Exponent is too big

Try $g-1$ z^q 's and $g-2$ other factors.
 $\Rightarrow z^{g-1} \times () z^{g-2} = () z^{g-2}$
 Exponent is too small

$$\Rightarrow C_{g-1} = 0$$

which is a contradiction \otimes

Defn.

A flock is a set of conics that partition all but two points of a sphere.

The flock is linear if the planes of the conics all contain a common line.

THE FLOCK THEOREM (1973)

J.A. THAS (for q even)
 W.F. ORR (for q odd)

Proof

Step 1. Rephrase.

Project the sphere (from one of the uncovered points) onto π , sending the other uncovered point to O .

The conics project to circles

$$(z-a)(z-a) = r$$

Then for the $g-1$ circles to be disjoint they must have center O (i.e. $a=0$)

The Flock Theorem.

GIVEN AN ELLIPTIC QUADRIC IN $PG(3,q)$ AND A SET OF $q-1$ DISJOINT CONICS PARTITIONING ALL BUT TWO OF ITS POINTS, THEN THE $q-1$ PLANES OF THOSE CONICS MUST CONTAIN A COMMON LINE THAT MISSES THE QUADRIC.

Blokhuis's Theorem.

FOR q ODD, IF A q -ELEMENT SUBSET OF $GF(q^2)$ CONTAINING 0 AND 1 HAS THE PROPERTY THAT THE DIFFERENCE OF ANY TWO OF ITS ELEMENTS IS A SQUARE OF $GF(q^2)$, THEN IT IS $GF(q)$.

(7)

Step 2. Reformulate

$$\text{Set } C_j = z^{g+1} - a_j z^g - a_j^q z - r_j$$

Claim: $\prod_{j=1}^{g-1} C_j = z^{g-1} - 1$ iff all $a_j = 0$

$$\prod_{j=1}^{g-1} C_j = z^{g-1} - 1 \text{ iff all } a_j = 0$$

Step 3. Calculate.

$$\prod_{j=1}^{g-1} C_j = \prod_{j=1}^{g-1} (z^{g+1} - a_j z^g) + \begin{cases} \text{Terms of degree } & \\ \text{at most } & \\ (g+1)(g-2)+1 & \end{cases}$$

Equate terms of degree $> (g+1)(g-2)+1$

$$\prod_{j=1}^{g-1} [z^g(z-a_j)] = z^{g-1} \text{ iff all } a_j = 0$$

$$\text{i.e. } \prod_{j=1}^{g-1} (z-a_j) = z^{g-1} \text{ iff all } a_j = 0$$

But $GF(q^2)[z]$ is a unique factorization domain \otimes

"Elementary, Sub-Fibonacci, Regular, Van Lier and Other Interesting Sequences"

Fred S. Roberts, Rutgers University

Dept. of Mathematics, Center of Operations Research (RUTCOR), and
Center for Discrete Mathematics and Theoretical Computer Science (DIMACS)
New Brunswick, NJ

ELEMENTARY, SUB-FIBONACCI, REGULAR, VAN LIER,
AND OTHER INTERESTING SEQUENCES

BY

FRED S. ROBERTS

DEPARTMENT OF MATHEMATICS
CENTER FOR OPERATIONS RESEARCH
AND
CENTER FOR DISCRETE MATHEMATICS AND THEORETICAL
COMPUTER SCIENCE
RUTGERS UNIVERSITY
NEW BRUNSWICK, NJ USA

- 2 -
MEASUREMENT THEORY:

THE THEORY OF MEASUREMENT IS CONCERNED WITH
UNDERSTANDING THE CONDITIONS UNDER WHICH
MEASUREMENT PROCESSES TAKE PLACE, WHAT KINDS OF
SCALES OF MEASUREMENT ONE GETS, AND WHAT KINDS OF
STATEMENTS WE CAN MAKE USING SCALES OF
MEASUREMENT.

MEASUREMENT THEORY AND COMBINATORICS:

IN THE PAST FEW YEARS, PROBLEMS OF UNIQUENESS
OF SCALES OF MEASUREMENT HAVE BEEN GIVING RISE TO
A VARIETY OF INTERESTING SEQUENCES OF POSITIVE
INTEGERS WITH FASCINATING COMBINATORIAL PROPERTIES.

THIS TALK:

IN THIS TALK, I MENTION SUCH SEQUENCES AND
DISCUSS THEIR COMBINATORIAL PROPERTIES. BECAUSE OF
THE SHORTNESS OF TIME, I CANNOT DESCRIBE THE
MEASUREMENT THEORY MOTIVATION EXCEPT IN ONE CASE
AND I SHALL CONCENTRATE ON JUST ONE COMBINATORIAL
PROBLEM: COUNTING THE NUMBER OF SEQUENCES OF
DIFFERENT KINDS.

- 3 -

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THE FIBONACCI SEQUENCE x_1, x_2, \dots

$$x_1 = x_2 = 1$$

$$x_k = x_{k-1} + x_{k-2}, k = 3, 4, \dots$$

 x_1, x_2, \dots IS THE SEQUENCE 1, 1, 2, 3, 5, 8, 13, ...ELEMENTARY SEQUENCES

MOTIVATION: "EXTENSIVE" MEASUREMENT

TERM INTRODUCED BY FISHERN AND ROBERTS (1989)

VARIATION ON THE FIBONACCI SEQUENCE

 x_1, x_2, \dots

POSITIVE, NONDECREASING INTEGER SEQUENCE

$$x_1 = x_2 = 1$$

$$x_k > 1 \implies x_k = x_i + x_j, \text{ SOME } i \neq j.$$

EXAMPLES: 1, 1, 2, 3, 5

1, 1, 1, 2, 2, 4, 6

 \mathcal{E}_N = COLLECTION OF ALL ELEMENTARY SEQUENCES OF LENGTH N

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THEOREM (FISHERN AND ROBERTS 1990):

$$|\mathcal{E}_N| = \alpha^{N^2(1+o(1))/2}$$

WHERE

$$\alpha = (1+\sqrt{5})/2 = 1.61803 \quad (\text{THE GOLDEN SECTION})$$

AND $o(1)$ IS A FUNCTION OF N THAT APPROACHES 0 AS N APPROACHES ∞ .COROLLARY: THE SAME ESTIMATE HOLDS FOR $|\mathcal{E}'_N|$, WHERE \mathcal{E}'_N IS THE SUBSET OF \mathcal{E}_N WHOSE ELEMENTS STRICTLY INCREASE FROM $k = 2$ ON.OPEN QUESTION: FIND A SIMILAR RESULT FOR $|\mathcal{E}_N|$.

THE FOLLOWING IS KNOWN:

THEOREM (FISHERN AND ROBERTS 1990): $|\mathcal{E}_N|/|\mathcal{E}'_N| \rightarrow 0$ AND HENCE $|\mathcal{E}_N|/|\mathcal{E}_N| \rightarrow 0$ AS N $\rightarrow \infty$.SOME COUNTS:

N:	3	4	5	6	7	8	9	10	11
$ \mathcal{E}_N $	2	4	10	31	120	578	3422	24304	205744
$ \mathcal{E}'_N $	2	4	10	31	127	711	3631	16349	106759
$ \mathcal{E}_N $	1	1	2	6	27	177	1052	23008	413358

SO $|\mathcal{E}_N|$ DOES NOT EXCEED $|\mathcal{E}'_N|$ UNTIL N = 11.SUB-FIBONACCI SEQUENCES

TERM INTRODUCED BY FISHERN AND ROBERTS (1989)

 x_1, x_2, \dots

POSITIVE, NONDECREASING INTEGER SEQUENCE

$$x_1 = x_2 = 1$$

$$x_k \leq x_{k-1} + x_{k-2}$$

 \mathcal{S}_N = COLLECTION OF SUB-FIBONACCI SEQUENCES OF LENGTH N.NOTE: $\mathcal{E}_N \subseteq \mathcal{S}_N$ FOR ALL N.BY ENUMERATION, $\mathcal{E}_N = \mathcal{S}_N$ FOR $N \leq 6$.

THE SMALLEST SUB-FIBONACCI SEQUENCE WHICH IS NOT ELEMENTARY HAS LENGTH 7: 1, 1, 2, 2, 4, 4, 7

THIS IS NOT ELEMENTARY BECAUSE 7 IS NOT THE SUM OF ANY TWO PRECEDING TERMS. (\mathcal{E}_7 HAS SEVEN SEQUENCES NOT IN \mathcal{E}_6 .)

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REGULAR SEQUENCESMOTIVATION: "SUBJECTIVE PROBABILITY" MEASUREMENT
INTRODUCED BY: FISHERN AND ODLYZKO 1989 x_1, x_2, \dots

POSITIVE, NONDECREASING INTEGER SEQUENCE

$$x_1 = x_2 = 1$$

$$x_j \leq \sum_{i=1}^{j-1} x_i, \quad j = 3, 4, \dots$$

EXAMPLES:

$$N = 2: 1, 1$$

$$N = 3: 1, 1, 1; 1, 1, 2$$

$$N = 4: 1, 1, 1, 1; 1, 1, 1, 2; 1, 1, 1, 3; 1, 1, 2, 2; 1, 1, 2, 3; 1, 1, 2, 4$$

 \mathcal{R}_N = THE COLLECTION OF REGULAR SEQUENCES OF LENGTH N.THEOREM (FISHERN AND ODLYZKO 1989):

$$|\mathcal{R}_N| = 2^{N^2(1+o(1))/2}$$

SOME COUNTS:

N:	2	3	4	5	6	7	8
$ \mathcal{R}_N $	1	2	6	27	192	2250	47097

THE FOLLOWING THEOREM WILL BE USEFUL LATER.

THEOREM (FISHBURN AND ODLYZKO 1989): SUPPOSE x_1, x_2, \dots IS A POSITIVE, NONDECREASING INTEGER SEQUENCE WITH $x_1 = x_2 = 1$. THEN x_1, x_2, \dots IS A REGULAR SEQUENCE IF AND ONLY IF FOR EVERY j , THERE IS A SET $S \subseteq \{1, 2, \dots, N\} - j$ SUCH THAT

$$(+) \quad x_j = \sum_{i \in S} x_i$$

FOR EXAMPLE, IN 1, 1, 2, 3:
2 IS $1 + 1$ AND 3 IS $1 + 2$.

IT IS CLEAR THAT CONDITION (+) IMPLIES REGULARITY SINCE IT IMPLIES THAT $x_j \leq \sum_{i=1}^{j-1} x_i$. THE CONVERSE IS HARDER.

IT IS EASY TO SEE THAT EVERY INITIAL SUBSEQUENCE OF THE FIBONACCI SEQUENCE IS VAN LIER.

THE FOLLOWING RESULT IS QUITE A BIT HARDER:

THEOREM (FISHBURN, ROBERTS, & MARCUS-ROBERTS 1990): $\mathbb{X}_N \subseteq \mathbb{X}_n$, I.E., EVERY SUB-FIBONACCI SEQUENCE IS VAN LIER.

NOT EVERY REGULAR SEQUENCE IS VAN LIER. THE SMALLEST REGULAR SEQUENCE WHICH IS NOT VAN LIER IS 1, 1, 2, 4, 5.

8 - 2 IS NOT A SUM OF TERMS FROM {1, 1, 4}.

\mathbb{X} = THE COLLECTION OF VAN LIER SEQUENCES OF LENGTH N .

OPEN QUESTION: FIND AN ASYMPTOTIC FORMULA FOR $|\mathbb{X}_N|$.

CONJECTURE (FISHBURN AND ROBERTS 1990): $|\mathbb{X}_N|/|\mathbb{S}_N| \rightarrow 0$ AS $N \rightarrow \infty$.

WE HAVE SHOWN THAT $|\mathbb{X}_N|/|\mathbb{S}_N| \leq \lambda$ FOR SOME CONSTANT $\lambda < 1$ ($\lambda = 0.9$ SUFFICES).

MOTIVATION: "SUBJECTIVE PROBABILITY" MEASUREMENT TERM INTRODUCED BY VAN LIER (1986), FISHBURN AND ROBERTS (1989), FISHBURN, ROBERTS, AND MARCUS-ROBERTS (1990)

x_1, x_2, \dots

POSITIVE, NONDECREASING INTEGER SEQUENCE

$$x_1 = x_2 = 1$$

$$x_j \leq \sum_{i=1}^{j-1} x_i \quad j = 3, 4, \dots \quad (\text{REGULAR SEQUENCE})$$

AND

$\forall j < k \leq n, \exists A \subseteq \{1, 2, \dots, N\}$ S.T. $j \notin A$ AND

$$x_k - x_j = \sum_{i \in A} x_i$$

EXAMPLE: 1, 1, 2, 4

$$4 - 2 = 1 + 1$$

$$4 - 1 = 1 + 2$$

$$2 - 1 = 1$$

EXAMPLE: 1, 1, 2, 3, 5, 8 (= $F_1, F_2, F_3, F_4, F_5, F_6$)

$$\begin{aligned} 8 - 5 &= 3, \quad 8 - 3 = 5, \quad 8 - 2 = 1 + 3, \quad 8 - 1 = 2 + 5, \\ 5 - 3 &= 2, \quad \text{ETC.} \end{aligned}$$

SOME COUNTS

N	2	3	4	5	6	7	8
$ \mathbb{X}_N $	1	2	6	26	164	1529	21439

ASIDE: DISTINCTION BETWEEN REGULAR AND VAN LIER SEQUENCES

A BASIC STRUCTURAL PROPERTY THAT SEPARATES VAN LIER SEQUENCES FROM REGULAR SEQUENCES IS CALLED A GAP.

SUPPOSE x_1, x_2, \dots, x_N IS A REGULAR SEQUENCE. WE SAY THAT IT HAS A GAP AT x_j IF

$$x_{j+1} > \sum_{i=1}^{j-1} x_i + 1.$$

FOR INSTANCE, CONSIDER 1, 1, 2, 3, 8.

THERE IS A GAP AT $x_4 = 3$ BECAUSE $6 > (1 + 1 + 2) + 1$.

THEOREM (FISHBURN, ROBERTS, AND MARCUS-ROBERTS 1990): EVERY REGULAR SEQUENCE WITHOUT GAPS IS A VAN LIER SEQUENCE.

THEOREM (FISHER, ROBERTS AND MARCUS-ROBERTS 1960): SUPPOSE x_1, x_2, \dots, x_N IS A VAN LIER SEQUENCE. THEN

- (1) EVERY ONE-TERM EXTENSION OF x_1, x_2, \dots, x_N TO A REGULAR SEQUENCE IS VAN LIER IF AND ONLY IF x_1, x_2, \dots, x_N HAS NO GAPS.
- (2) IF x_1, x_2, \dots, x_N HAS A GAP AT x_j AND

$$y = x_j + t + \sum_{i=j+2}^N x_i$$

WITH

$$\sum_{i=1}^{j-1} x_i < t < x_{j+1},$$

THEN x_1, x_2, \dots, x_N, y IS A ONE-TERM REGULAR EXTENSION WHICH IS NOT VAN LIER.

EXAMPLE: 1, 1, 2, 3, 6 IS VAN LIER AND THERE IS A GAP AT $x_3 = 2$. THEN $\sum_{i=3}^N x_i = 0$. TAKE $t = 3$ AND $y = x_3 + t + \sum_{i=5}^N x_i = 3 + 3 + 0 = 6$. THE SEQUENCE 1, 1, 2, 3, 6, 6 IS REGULAR BUT NOT VAN LIER. (NOTE THAT 6 - 3 IS NOT A SUM OF OTHER TERMS.)

NOTATION: $A - B$ MEANS THAT NOT $A > B$ AND NOT $B > A$, I.E., A AND B ARE JUDGED SUBJECTIVELY EQUALLY LIKELY.

EXAMPLE 1: SUPPOSE $N = 4$ AND $>$ IS DEFINED BY THE FOLLOWING:

$$\begin{aligned} \{1\} &- \{1,2\} \\ \{4\} &- \{1,3\} \\ \{2,3\} &- \{1,4\} \end{aligned}$$

AN AGREEING PROBABILITY MEASURE IS GIVEN BY

$$P(\{1\}) = 1/10, P(\{2\}) = 2/10, P(\{3\}) = 3/10, P(\{4\}) = 4/10,$$

WITH THE REST OF P DEFINED BY FINITE ADDITIVITY. THIS UNIQUELY AGREES BECAUSE IT IS THE UNIQUE SOLUTION TO THE SYSTEM OF EQUATIONS

$$\begin{aligned} P(\{3\}) &= P(\{1\}) + P(\{2\}) \\ P(\{4\}) &= P(\{1\}) + P(\{3\}) \\ P(\{2\}) + P(\{3\}) &= P(\{1\}) + P(\{4\}) \end{aligned}$$

ASIDE: CONNECTION TO MEASUREMENT THEORY

ONE OF THE MOST INTERESTING PROBLEMS IN THE THEORY OF MEASUREMENT CONCERN'S SUBJECTIVE JUDGEMENTS ABOUT PROBABILITIES. LET \mathcal{A}_N BE THE SET OF ELEMENTS OF THE FINITE BOOLEAN ALGEBRA CONSISTING OF ALL SUBSETS OF $\{1, 2, \dots, N\}$. SET $\{1\}$ IS CALLED AN ATOM OF \mathcal{A}_N .

LET $>$ BE A BINARY RELATION ON \mathcal{A}_N WITH $A > B$ INTERPRETED TO MEAN THAT A IS JUDGED SUBJECTIVELY MORE PROBABLE THAN B. WE SAY A (FINITELY ADDITIVE) PROBABILITY MEASURE P ON \mathcal{A}_N AGREES WITH $>$ IF

$$A > B \text{ IFF } P(A) > P(B)$$

FOR ALL A, B IN \mathcal{A}_N . IT IS A VERY OLD QUESTION OF MEASUREMENT THEORY TO UNDERSTAND CONDITIONS ON THE BINARY RELATION $(\mathcal{A}_N, >)$ UNDER WHICH IT AGREES WITH SOME PROBABILITY MEASURE. THE MEASURE IS SAID TO AGREE UNIQUELY IF IT IS THE ONLY AGREEING PROBABILITY MEASURE.

EXAMPLE 2: SUPPOSE $N = 3$ AND P IS DEFINED BY

$$\{3\} - \{1,2\}.$$

THEN ONE AGREEING PROBABILITY MEASURE IS GIVEN BY

$$P(\{1\}) = 1/6, P(\{2\}) = 2/6, P(\{3\}) = 3/6.$$

BUT THIS IS NOT UNIQUE, SINCE A SECOND AGREEING PROBABILITY MEASURE IS GIVEN BY

$$P(\{1\}) = 2/10, P(\{2\}) = 3/10, P(\{3\}) = 5/10.$$

EXAMPLE 3: SUPPOSE $N = 2$ AND P IS DEFINED BY

$$\{1\} > \{2\}.$$

THEN THERE ARE INFINITELY MANY AGREEING PROBABILITY MEASURES P, WITH

$$P(\{1\}) = a, P(\{2\}) = 1-a,$$

FOR ANY a WITH $1 > a > 1/2$.

LET US TAKE THE UNIQUE SOLUTION (*) IN THE FIRST EXAMPLE. WE CAN TRANSLATE THIS INTO A SEQUENCE OF POSITIVE INTEGERS 1, 2, 3, 4 (WITH NO COMMON DIVISOR) BY MULTIPLYING BY THE DENOMINATOR 10. CONVERSELY, ANY FINITE SEQUENCE OF POSITIVE INTEGERS CAN BE BROUGHT OF AS A SEQUENCE OF PROBABILITIES BY NORMALIZING, I.E., BY DIVIDING EACH ELEMENT BY THE SUM OF ELEMENTS IN THE SEQUENCE. LET US CALL A NONDECREASING SEQUENCE OF POSITIVE INTEGERS WITH NO COMMON DIVISOR WHICH ARISES FROM A BINARY RELATION \succ BY FINDING A UNIQUELY AGREEING PROBABILITY MEASURE A UNIQUE PROBABILITY SEQUENCE. THUS, 1, 2, 3, 4 IS A UNIQUE PROBABILITY SEQUENCE WHILE 1, 2, 3 IS NOT.

FISHBURN AND ODLYZKO [1989] PROVE THAT ALL REGULAR SEQUENCES ARE UNIQUE PROBABILITY SEQUENCES. HOWEVER, NOT ALL UNIQUE PROBABILITY SEQUENCES ARE REGULAR. FOR INSTANCE, 1, 2, 3, 4 IS NOT REGULAR: WE DO NOT HAVE $x_2 = 1$.

THEOREM (FISHBURN AND ODLYZKO 1989): A NONDECREASING SEQUENCE x_1, x_2, \dots, x_N OF POSITIVE INTEGERS WITH NO COMMON DIVISOR IS A UNIQUE PROBABILITY SEQUENCE IF AND ONLY IF IT IS THE SOLUTION TO $N-1$ LINEARLY INDEPENDENT EQUATIONS OF THE FORM

$$\sum_{i \in S} x_i = \sum_{j \in T} x_j,$$

WHERE $S, T \subseteq \{1, 2, \dots, N\}$ AND $S \cap T = \emptyset$.

FOR INSTANCE, THE SEQUENCE 1, 2, 3, 4 IS A UNIQUE PROBABILITY SEQUENCE BECAUSE IT IS THE SOLUTION TO THE $4-1=3$ LINEARLY INDEPENDENT EQUATIONS

$$\begin{aligned}x_3 &= x_1 + x_2 \\x_4 &= x_1 + x_3 \\x_2 + x_3 &= x_1 + x_4\end{aligned}$$

ALSO, 1, 2, 2, 3 IS A UNIQUE PROBABILITY SEQUENCE SINCE IT IS THE SOLUTION TO THE $4-1$ LINEARLY INDEPENDENT EQUATIONS

$$x_1 = x_2$$

THIS CORRESPONDS TO THE SUBJECTIVE PROBABILITY CONSTRAINTS

$$\begin{aligned}P(\{2\}) &= P(\{3\}) \\P(\{1,2\}) &= P(\{4\}) \\P(\{1,4\}) &= P(\{2,3\})\end{aligned}$$

THIS SEQUENCE 1, 2, 2, 3 IS AGAIN IRREGULAR SINCE $x_2 \neq 1$. IT TURNS OUT THAT 1, 2, 3, 4 AND 1, 2, 2, 3 ARE THE ONLY IRREGULAR UNIQUE PROBABILITY SEQUENCES OF LENGTH 4.

HOWEVER, THERE ARE 75 IRREGULAR UNIQUE PROBABILITY SEQUENCES OF LENGTH 5, INCLUDING 1, 1, 3, 3, 5 AND 2, 2, 2, 3, 3. THE FORMER IS INTERESTING. IT IS A UNIQUE PROBABILITY SEQUENCE SINCE IT IS THE SOLUTION TO THE $5-1=4$ LINEARLY INDEPENDENT EQUATIONS

$$\begin{aligned}x_1 &= x_2 \\x_3 &= x_4 \\x_1 + x_2 + x_3 &= x_5 \\x_3 + x_4 &= x_1 + x_5\end{aligned}$$

IT IS NOT REGULAR SINCE 3 IS NOT LESS THAN OR EQUAL TO THE SUM OF THE PREVIOUS TERMS IN THE SEQUENCE, 1 + 1.

LET \mathcal{S}_N BE THE COLLECTION OF UNIQUE PROBABILITY SEQUENCES OF LENGTH N. RECALL THAT \mathcal{R}_N IS THE COLLECTION OF REGULAR SEQUENCES OF LENGTH N.

THEOREM (FISHBURN AND ODLYZKO 1989): $|\mathcal{S}_N|/|\mathcal{R}_N| \rightarrow 0$ AS $N \rightarrow \infty$.

WE DO NOT KNOW MUCH ABOUT $|\mathcal{S}_N|$. HOWEVER, WE HAVE THE FOLLOWING UPPER BOUND:

THEOREM (FISHBURN AND ODLYZKO): $|\mathcal{S}_N| \leq 3^{N^2(1+o(1))}$.

WHERE DO REGULAR AND VAN LIER SEQUENCES ARISE?

THE PROBLEM OF FINDING CONDITIONS UNDER WHICH THERE IS A FINITELY ADDITIVE PROBABILITY MEASURE WHICH AGREES WITH A GIVEN BINARY RELATION "SUBJECTIVELY MORE PROBABLE THAN" (\prec_N, \succ) IS AN OLD PROBLEM. SOME NECESSARY CONDITIONS WERE STATED BY BRUNO DE FINETTI IN 1931. DEFINE $A \geq B$ TO MEAN THAT EITHER $A \succ B$ OR $A = B$.

DE FINETTI AXIOMS

AXIOM A1: \geq IS TRANSITIVE AND COMPLETE ($A \geq B$ OR $B \geq A$ FOR ALL A, B IN \mathcal{A}_N)

AXIOM A2: $\{1, 2, \dots, N\} \geq \emptyset$

AXIOM A3: $A \geq \emptyset$

AXIOM A4: IF $(A \cup B) \cap C = \emptyset$, THEN

$$A \geq B \text{ IFF } A \cup C \geq B \cup C$$

IT IS EASY TO SEE THAT THESE FOUR AXIOMS ARE NECESSARY FOR THE EXISTENCE OF AN AGREEING PROBABILITY MEASURE. IT WAS SHOWN BY KRAFT, PRATT, AND SEIDENBERG IN 1959 THAT THEY ARE NOT SUFFICIENT.

VARIOUS CONDITIONS CAN BE ADDED TO THESE AXIOMS TO GIVE SUFFICIENT CONDITIONS. ONE SIMPLE CONDITION WAS ADDED BY KRAFT, PRATT, AND SEIDENBERG [1959]. IT SAYS THAT IF $A_1, A_2, \dots, A_M, B_1, B_2, \dots, B_N$ ARE CHOSEN FROM \mathcal{A}_N , IF EVERY ATOM IS INCLUDED IN AS MANY A_j AS B_j , AND IF $A_j \geq B_j$ FOR $j = 1, 2, \dots, M-1$, THEN $B_M \geq A_N$.

THEOREM (FISHEBURN AND ROBERTS 1969): A NONDECREASING SEQUENCE OF POSITIVE INTEGERS WITH NO COMMON DIVISOR DEFINES A REGULAR SEQUENCE IF AND ONLY IF IT IS A UNIQUE PROBABILITY SEQUENCE AGREEING WITH A BINARY RELATION (\mathcal{A}_N, \geq) WHICH SATISFIES AXIOM U1.

ANOTHER AXIOM IS DUE TO VAN LIER [1959].

AXIOM U2: FOR EVERY $i, j \in \{1, 2, \dots, N\}$, IF $\{i\} > \{j\}$, THERE IS $C \in \mathcal{A}_N$ SUCH THAT $\{i\} - \{j\} \cup C$.

THEOREM (VAN LIER 1959): GIVEN (\mathcal{A}_N, \geq) , THE DE FINETTI AXIOMS PLUS AXIOM U2 IMPLY THAT THERE IS AN AGREEING PROBABILITY MEASURE AND IT IS UNIQUE.

THEOREM (FISHEBURN AND ROBERTS 1969): A REGULAR SEQUENCE IS A VAN LIER SEQUENCE IF AND ONLY IF IT IS A UNIQUE PROBABILITY SEQUENCE AGREEING WITH A BINARY RELATION (\mathcal{A}_N, \geq) WHICH SATISFIES AXIOM U2.

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THE NEXT QUESTION IS: UNDER WHAT CONDITIONS IS THERE A UNIQUELY AGREEING PROBABILITY MEASURE. STARTING WITH THE DE FINETTI AXIOMS? RATHER COMPLICATED CONDITIONS FOR UNIQUE AGREEMENT WERE GIVEN BY LUCE [1967] AND BY ROBERTS [1973]. THE FOLLOWING MUCH SIMPLER AXIOM WAS GIVEN BY FISHEBURN AND ROBERTS [1969].

AXIOM U1: SUPPOSE X IS AN ATOM SUCH THAT $X > Y > \emptyset$ FOR SOME ATOM Y . THEN THERE IS AN EVENT $A(X)$ IN \mathcal{A}_N SO THAT $X \cdot A(X)$ AND $X > Y$ FOR EVERY ATOM Y IN $A(X)$.

(PUT ANOTHER WAY, THE CONCLUSION OF THIS AXIOM SAYS THAT THERE ARE ATOMS Y_1, Y_2, \dots, Y_K SO THAT $X \cdot Y_1 \cup Y_2 \cup \dots \cup Y_K$ AND $X > Y_i$, $i = 1, 2, \dots, K$.)

THIS IS RELATED TO THE FISHEBURN-ODLYZKO CHARACTERIZATION OF REGULAR SEQUENCES AS NONDECREASING SEQUENCES OF POSITIVE INTEGERS SUCH THAT $x_1 = x_2 = 1$ AND SUCH THAT EACH x_j IS A SUM OF OTHER x_i , $i \neq j$.

THEOREM (FISHEBURN AND ROBERTS 1969): GIVEN (\mathcal{A}_N, \geq) , THE DE FINETTI AXIOMS PLUS AXIOM U1 IMPLY THAT THERE IS AN AGREEING PROBABILITY MEASURE AND IT IS UNIQUE.

TYPE A1 TWO-SIDED GENERALIZED FIBONACCI SEQUENCES

MOTIVATION: "DIFFERENCE" MEASUREMENT INTRODUCED BY FISHEBURN, MARCUS-ROBERTS, AND ROBERTS (1968) AND FISHEBURN, ODLYZKO, AND ROBERTS (1969).

THIS IS A SEQUENCE OF POSITIVE INTEGERS WHICH, IN CONTRAST TO ALL THE TYPES OF SEQUENCES SO FAR, MAY BE DECREASING.

START WITH A PAIR OF ADJACENT 1'S. CONSTRUCT THE SEQUENCE INSIDE-OUT BY ADDING ONE TERM AT A TIME WHOSE VALUE IS A SUM OF ONE OR MORE CONTIGUOUS TERMS IMMEDIATELY ADJACENT TO THE NEW TERM.

EXAMPLE: 3, 4, 1, 1, 2, 4

THIS IS BUILT UP AS:

- 1, 1, 2
- 4, 1, 1, 2
- 5, 4, 1, 1, 2
- 5, 4, 1, 1, 2, 4

a_N = THE COLLECTION OF (TYPE A) TWO-SIDED GENERALIZED FIBONACCI SEQUENCES OF LENGTH N.

THEOREM (FISHERN, MARCUS-ROBERTS, AND ROBERTS 1958)
AND FISHERN, ODLYZKO, AND ROBERTS 1959:

$$|a_N| = N^{N(1+o(1))}$$

IN FACT, FISHERN, ODLYZKO AND ROBERTS SHOW THAT

$$a_N = \frac{K}{2} \left[\frac{e^{2\pi i}}{\pi} \frac{e^{2\pi i(N-1)}}{N^{1/4}} \right]$$

WHERE

$$K = e^{-1} - \int_0^1 [\exp(\frac{1}{1-y})/(1-y)] dy = 0.146495...$$

TYPE B TWO-SIDED GENERALIZED FIBONACCI SEQUENCES

MOTIVATION: "DIFFERENCE" MEASUREMENT

SAME AS TYPE A TWO-SIDED GENERALIZED FIBONACCI SEQUENCES WITH THE NEW TERM BEING A SUM OF ONE OR MORE CONTIGUOUS TERMS, BUT NOT NECESSARILY OF TERMS IMMEDIATELY ADJACENT TO THE NEW TERM.

EXAMPLE: 6, 1, 1, 2, 4

THIS IS BUILT UP AS:

- 1, 1, 2
- 1, 1, 2, 4
- 6, 1, 1, 2, 4

THIS IS NOT ATTAINABLE IF WE INSIST THAT EACH NEW TERM IS A SUM OF TERMS IMMEDIATELY ADJACENT TO THE NEW ONE.

β_N = THE COLLECTION OF TYPE B TWO-SIDED GENERALIZED FIBONACCI SEQUENCES OF LENGTH N.

THEOREM (FISHERN, MARCUS-ROBERTS, AND ROBERTS 1958)
AND FISHERN, ODLYZKO, AND ROBERTS 1959:

$$|\beta_N| = N^{2N(1+o(1))}$$

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TYPE C TWO-SIDED GENERALIZED FIBONACCI SEQUENCES

MOTIVATION: "DIFFERENCE" MEASUREMENT

SAME AS TYPE A TWO-SIDED GENERALIZED FIBONACCI SEQUENCES WITH THE NEW TERM BEING A SUM OF ONE OR MORE PREVIOUS TERMS, BUT NOT NECESSARILY OF CONTIGUOUS TERMS AND NOT NECESSARILY OF TERMS IMMEDIATELY ADJACENT TO THE NEW TERM.

EXAMPLE: 3, 1, 1, 2, 6

THIS IS BUILT UP AS:

- 1, 1, 2
- 3, 1, 1, 2
- 3, 1, 1, 2, 6

IT CANNOT BE BUILT UP BY ADDING CONTIGUOUS TERMS EACH TIME, SINCE 6 CAN ONLY BE OBTAINED AS 3 + 1 + 2.

γ_N = THE COLLECTION OF TYPE C TWO-SIDED GENERALIZED FIBONACCI SEQUENCES OF LENGTH N.

THEOREM (FISHERN, MARCUS-ROBERTS, & ROBERTS 1958)

$$|\gamma_N| = 2^{(N^2/2)(1+o(1))}$$

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THE FOLLOWING COUNTS ARE KNOWN:

N	2	3	4	5	6
$ \alpha_N $	1	3	14	85	626
$ \beta_N $	1	3	16	172	2633
$ \gamma_N $	1	3	16	185	

ALL OTHER VALUES ARE STILL OPEN.

BIREGULAR SEQUENCES

MOTIVATION: "CONJOINT" MEASUREMENT INTRODUCED BY FISHBURN AND ROBERTS (1988)

THESE ARE TWO-BLOCK SEQUENCES OF POSITIVE INTEGERS
 $x_1, x_2, \dots, x_M / y_1, y_2, \dots, y_N$

THEY ARE BUILT UP BY STARTING WITH 1 IN EACH BLOCK AND ADDING ONE TERM AT A TIME (TO EITHER BLOCK) THAT IS ADJACENT TO THE TERMS ALREADY SPECIFIED FOR THE BLOCK AND WHOSE VALUE EQUALS A SUM OF TERMS ALREADY SPECIFIED FOR THE OTHER BLOCK.

EXAMPLE: 2, 3, 1, 1, 7 / 6, 1, 2, 10

BUILT UP AS:

1 / 1
1, 1 / 1
1, 1 / 1, 2
3, 1, 1 / 1, 2
2, 3, 1, 1 / 1, 2
2, 3, 1, 1 / 6, 1, 2
2, 3, 1, 1, 7 / 6, 1, 2
2, 3, 1, 1, 7 / 6, 1, 2, 10

$G_B(M,N)$ = THE COLLECTION OF BIREGULAR SEQUENCES OF LENGTHS M AND N.

$$\text{THEOREM (FISHERY AND ROBERTS 1988): } |G_R^{(M,N)}| = (2^N - 1)^{M(1+o(1))}$$

INTERVAL-RESTRICTED BIREGULAR SEQUENCES

NOTIVATION: "CONJOINT" MEASUREMENT

SAME AS BIREGULAR SEQUENCES BUT ADD THE REQUIREMENT THAT EACH TERM IS A SUM OF CONTIGUOUS TERMS ALREADY SPECIFIED IN THE OTHER BLOCK.

NOTE THAT THE LAST EXAMPLE WORKS UP UNTIL THE LAST STEP. IN THE LAST STEP, 10 CANNOT BE ADDED. HOWEVER, 14 COULD BE, GIVING US

2, 3, 1, 1, 7 / 6, 1, 2, 14

$C_B(M,N)$ = THE COLLECTION OF INTERVAL-RESTRICTED BIREGULAR SEQUENCES OF LENGTHS M AND N.

THEOREM (FISHBURN AND ROBERTS 1950):

$$|C_B(M,N)| = \binom{N+1}{2}^{M(1+o(1))}.$$

NOTE THAT $|G_B(M,1)| = |C_B(M,1)| = 1$ FOR ALL M .

ONE CAN ALSO SEE THAT $|G_B(M,2)| = |C_B(M,2)|$ FOR ALL M. THE FOLLOWING VALUES ARE KNOWN:

M	2	3	4	5	6	7	8
$ G_2(M,2) $	5	19	69	243	841	2859	9573

$|G_B(9,2)|$ IS ALREADY NOT KNOWN.

"Generating k-element Subsets of an n-element Set"

Michael S. Jacobson, University of Louisville
Department of Mathematics, Louisville, KY

Generating k-element subsets
of an n-element set
with DeBruijn Graphs

M. S. Jacobson,
E. Kubicka,
G. Kubicki,
Department of Mathematics
University of Louisville
Louisville, KY 40292
and
A. Schwenk
Department of Mathematics and Statistics
Western Michigan University
Kalamazoo, Michigan 49008

Abstract

This tour is brought to you

by

the following problem:

Find an efficient* way to
generate all k-element
subsets of an n-element set.!!

*What does efficient mean?

Generate all subsets of an n-element set.

Binary representation of $0 - (2^n - 1)$
yields a "computer understandable"
way to generate these sets.

BUT

An excessive amount of work
for the computer to go from

$$2^{n-1} - 1 = 011\dots1 \text{ to } 2^{n-1} = 100\dots0$$

Is there a sequence which proceeds from
subset to subset without many elements
being exchanged?

3

Proceed thru the subsets with exactly one
"bit" changing, either 0 to 1, or 1 to 0.

One element difference from subset to
subset.

GRAY CODES

0	0	0	0
0	0	0	1
0	0	1	1
0	0	1	0
0	1	1	0
0	1	0	0
1	1	0	0
1	0	0	0
1	0	0	1
1	0	1	1
1	0	1	0
1	1	1	0
1	1	1	1
1	1	0	1
0	1	0	1
0	1	1	1

4

Utilize the power of the computer!!

Can we generate all binary sequences
of length n by a "shift" ??

```

001011101
010111010
101110101
011101010
111010101
110101011
101010111

```

Does there exist a sequence of length 2^n so that each sequence of length n occurs as a consecutive subsequence exactly once (wrapping allowed) ??

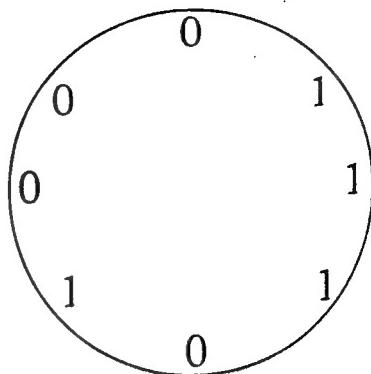
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Efficient way to store all subsets of an n element set.

Efficient way to generate all subsets.

Efficient way to generate all subsets many times.

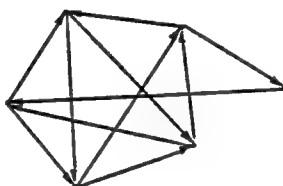
Efficient way to generate a random subset.



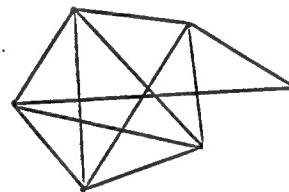
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Combinatorial Tools

Directed Graph



Graph



Connected

Eulerian Digraph (Graph)

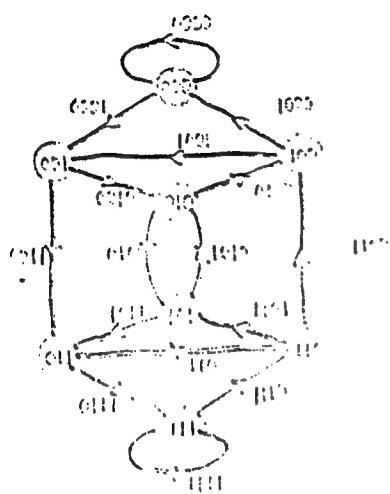
Starting at any point, trace thru the digraph (graph) traversing each edge exactly once.

Euler (1736) Good (1946) If D is a connected digraph with $\text{id}(x) = \text{od}(x)$ for all vertices x then D is Eulerian.

7

8

Do there exist circular sequences
of length 2^n with each n sequence
occurring exactly once?



... and now a message from the sponsor

"But we only want the k element
subsets!!??"

Generate all the subsets, and use only the
ones you need.

9

Can we use shift registers ??

10

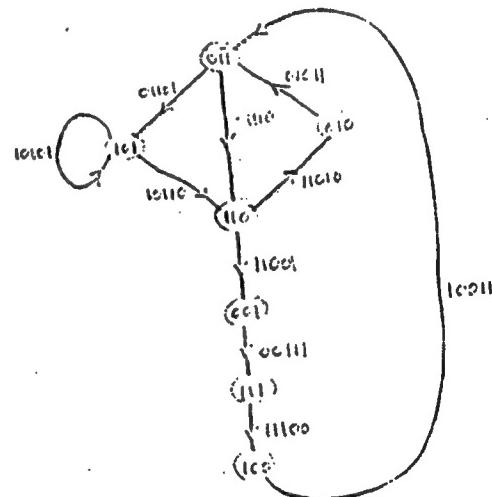
Double Shift Registers

110100101000
010010100011
001010001101
101000110100
100011010001

... ?

Does there exist a circular sequence of order
 $2^{\binom{n}{k}}$ so that by double shifting all k element
subsets are generated?

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For each vertex x in this digraph, either

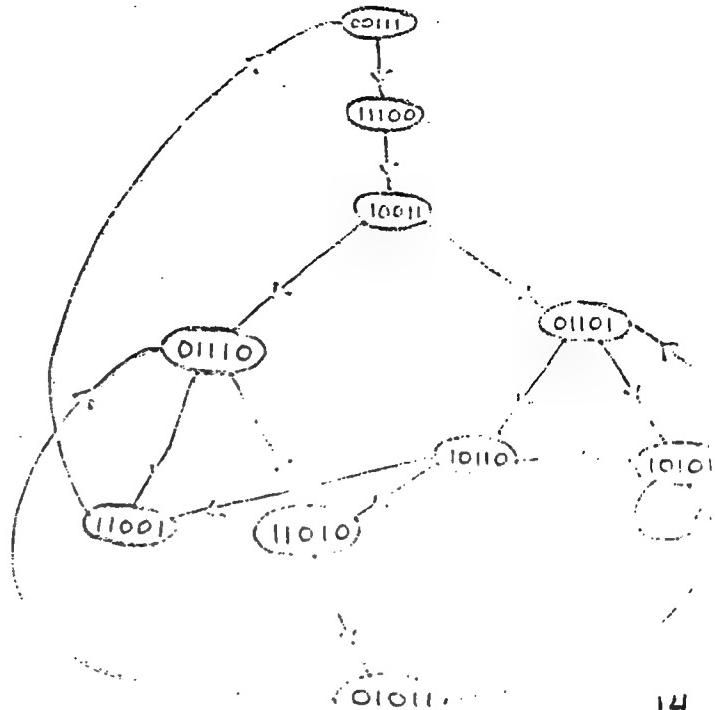
$\text{id}(x) = \text{od}(x) = 2$ (# 1's is $k-1$) or

$\text{id}(x) = \text{od}(x) = 1$ (#1's is k or $k-2$).

Euler (1736) Good (1946) If D is a connected digraph with $\text{id}(x) = \text{od}(x)$ for all vertices x then D is Eulerian.

Good's Thm says Eulerian provided it is connected ...

Consider a different graph ...



Hamiltonian cycle \longleftrightarrow Eulerian circuit

Connected \longleftrightarrow Connected

n odd

$a_1 a_2 a_3 \dots a_i a_{i+1} \dots a_n$
 $a_3 a_4 a_5 \dots a_{i+3} \dots a_2$

\dots

$a_i a_{i+1} \dots a_n a_1 \dots a_{i-1}$
 $a_{i+1} a_{i+2} \dots a_{i-1} a_{i+1} a_i$

\dots

$a_1 a_2 a_3 \dots a_{i+1} a_i \dots a_n$

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Hence, for n odd, both graphs are connected and the graphs are Eulerian and Hamiltonian, respectively.

n even

1 1 0 0 0 0 0 0 0
0 0 0 0 0 0 0 0 1 1
0 0 0 0 0 0 1 1 0 0
0 0 0 0 1 1 0 0 0 0
0 0 1 1 0 0 0 0 0 0
1 1 0 0 0 0 0 0 0 0

16

Cycle thru from component to component

Count the components:

Polya's Thm (Burnside) Let G be a group of permutations acting on A , and let S be the equivalence relation on A induced by G

$$\#E.C. = \frac{1}{|G|} \sum_{\pi \in G} \text{Inv}(\pi)$$

$$G = \mathbb{Z}_{\frac{n}{2}}$$

A = "family" of k element subsets

with $(10 = 01)$,

Equivalence Classes = Components of G .

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A final message from our sponsor ...

" We need the k - element subsets
of an n - element set !! "

When n is odd, find the cycle, and generate
the sets...

When n is even, find the k element subsets
of an $n+1$ element set,
throw out the subsets with $n+1$.

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Example

3-element subsets of an 8-element set.

$$a_1 a_2 \quad a_3 a_4 \quad a_5 a_6 \quad a_7 a_8$$

One pair = 11 and one pair = 01

or

Three pairs = 01

$$|A| = (12 + 4) = 16.$$

$$\# \text{ Components} = \frac{1}{4} (16 + 0 + 0 + 0) = 4$$

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What's really the story ??

Good's result is an existence Theorem.

How do you find the Eulerian Circuit ??

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For DeBruijn Sequences ...

Fredrickson has given a "linear" (in n)
algorithm to generate the sequence.

UPDATE!!

Hochberg, Hurlbert and Isaak have also
discovered the idea of multiple shifting.

For these generalized DeBruijn Sequences,

worst case $O(n^k)$

average case $O(\log n)$

Best Possible ??

Carla Savage uses this idea to generate
"new" Grey Codes...

The idea "works" to generate the $n!$

permutations of an n set.

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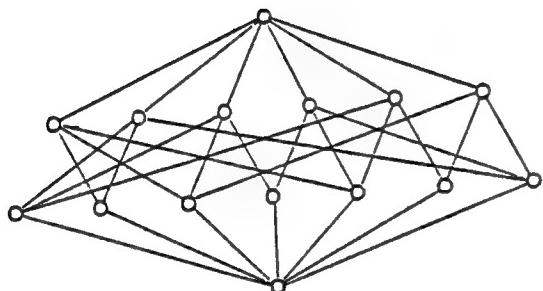
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"Matchings in the Partition Lattice"

E. Rodney Canfield, University of Georgia
Department of Computer Science, Athens, GA

Matchings in the
Partition Lattice

Rod Canfield
Univ. of Georgia



$$\begin{aligned} \emptyset & - 1 - 1,2 - 1,2,3 \\ & 2 - 2,3 \\ & 3 - 1,3 \end{aligned}$$

$$\begin{aligned} \emptyset & - 1 - 1,2 - 1,2,3 - 1,2,3,4 \\ & 2 - 2,3 - 2,3,4 \\ & 3 - 1,3 - 1,3,4 \\ & 4 - 1,4 - 1,2,4 \\ & \quad 2,4 \\ & \quad 3,4 \end{aligned}$$

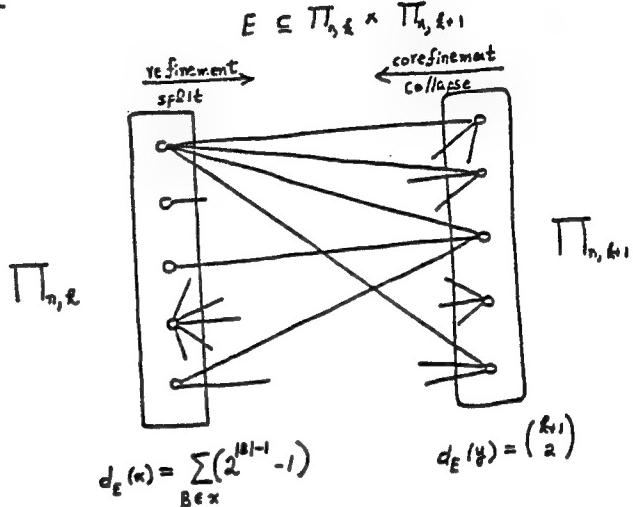
Chain decompositions in the Boolean lattice B_n , $n=3,4$, based on the recursion

$$\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}.$$

$$[n] = \{1, 2, \dots, n\}$$

$$\begin{array}{l} \text{partition} \quad \pi = \{B\} \quad \Pi_n \\ B_i \cap B_j = \emptyset \quad \cup B_i = [n] \\ \pi_1 \leq \pi_2 \end{array}$$

$$\left\{ \{1,5\}, \{2\}, \{3,8\}, \{4,7\}, \{6\} \right\} \leq \left\{ \{1,4,5,7\}, \{2\}, \{3,6,8\} \right\}$$



$$|\Pi_{n,k}| = S(n, k)$$

$$S(n+1, k) = \underline{k} S(n, k) + S(n, k-1)$$

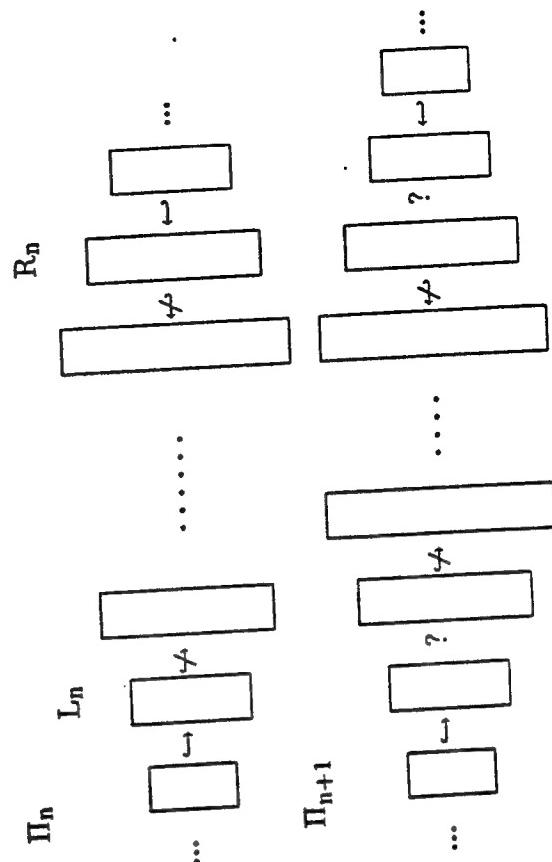
$$\begin{aligned} & \left\{ \{1\}, \{2,3\} \right\} \\ & \left\{ \{1,4\}, \{2,3\} \right\} \quad \left\{ \{1\}, \{2,3,4\} \right\} \quad \left\{ \{1\}, \{2,3\} \right\} \end{aligned}$$

$$\text{Log concave: } S(n, k)^2 \geq S(n, k-1) S(n, k+1)$$

∴ unimodal

1
1 1
1 3 1
1 7 6 1
1 15 25 10 1
1 31 90 65 15 1
1 63 301 350 140 21 1
1 127 966 1701 1050 266 28 1
1 255 3025 7770 6951 2646 462 36 1
1 511 9330 34105 42525 22827 5860 750 45 1

Stirling numbers of the second kind



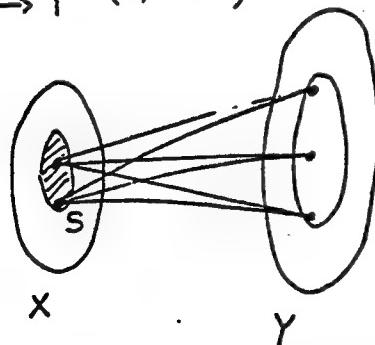
Construct chains using partition recursion.

Claim \exists sharp threshold

L_n s.t. $\Pi_{n,k} \subset \Pi_{n,k+1} \Leftrightarrow$

$k < L_n$.

First, recall Phillip Hall Criteria
 $X \hookrightarrow Y \Leftrightarrow \forall S, |S| \leq d_E(S)$



$$E \subseteq X \times Y$$

$$\text{LMPH } d_E(x) \geq d_E(y) \Rightarrow x \hookrightarrow y.$$

Proof that $L_{n+1} = L_n + \left[\begin{smallmatrix} 0 \\ 1 \end{smallmatrix} \right]$.

$$S \subseteq \Pi_{n+1,k} \quad k < L_n$$

$$|S| = \sum_{j=0}^k |S_j|,$$

$$S_0 \subseteq \Pi_{n,k+1}$$

$$S_j \subseteq \Pi_{n,k}$$

$$d_E(S) \geq \sum_{j=0}^k d_E(S_j)$$

$$\geq \sum_{j=0}^k |S_j|$$

$$= |S|.$$

That's half of the proof.

Claim $\exists R_n$ s.t. $\Pi_{n,k} \hookrightarrow \Pi_{n,k+1}$
 $\Leftrightarrow k > R_n$.

Proof Let $A \subseteq \Pi_{n,k} \cup \Pi_{n,k+1}$ be an antichain, w/ $k > R_n + 1$. Want to show $|A| \leq S(n, k-1)$.

For each B , $\emptyset \subseteq B \subseteq [n]$, let A_B be those partitions π of $[n] - B$ s.t. $\pi \cup \{B \cup \{n+1\}\} \in A$.

$$A_B \subseteq \Pi_{n-|B|, k-1} \cup \Pi_{n-|B|, k-2}$$

and A_B is an antichain.

Hence, $|A_B| \leq S(n, k-2)$, and

$$\begin{aligned} |A| &= \sum_B |A_B| \\ &\leq S(n, k-2) + \sum_{\emptyset \neq B \subseteq [n]} S(n-|B|, k-2) \\ &= S(n, k-2) + (k-1) S(n, k-1) \\ &= S(n+1, k-1) \end{aligned}$$

Theorem There exist monotone increasing sequences L_n and R_n such that $\Pi_{n,k} \hookrightarrow \Pi_{n,k+1} \Leftrightarrow k < L_n$

$$\Pi_{n,k} \hookrightarrow \Pi_{n,k+1} \Leftrightarrow k > R_n.$$

As n increases by 1, each of L_n, R_n grows by at most 1.

Next, want to give bounds for L_n and R_n . What can we find using only LMPH?

Fact 1 For $k \leq n \log_2 / \log n$ and $n \geq 5$, $\Pi_{n,k} \hookrightarrow \Pi_{n,k+1}$.

Hence, $L_n > n \log_2 / \log n$, $n \geq 5$.

$$\begin{aligned} \text{Proof. } d_E(x) &= \sum_{B \in x} (2^{|B|-1} - 1) \\ &\geq k(2^{\frac{n}{2}-1} - 1) \\ &\geq k(\frac{n}{2} - 1) \\ &\geq \frac{1}{2}k(n \log_2 / \log n + 1), \quad n \geq 6 \\ &\geq \binom{k+1}{2} \\ &= d_E(y) \end{aligned}$$

Fact 2 Fix $\delta > 0$. If n is sufficiently large and $k = (1+\delta)n \log^4 / \log n$, then $\Pi_{n,k} \hookrightarrow \Pi_{n,k+1}$. Hence,
 $R_n < (1+\delta)n \log^4 / \log n$.

Proof. Curiously, we throw away edges. Take $\delta \leq \frac{1}{2}$; define
 $b = \left\lfloor \left(1 - \frac{\delta}{2}\right) \frac{\log n}{\log 4} \right\rfloor$.

Let $E = (y, x) \in \Pi_{n,k+1} \times \Pi_{n,k}$ s.t. x is obtained from y by splitting a block of size $\leq 2b$.

Say $x \in \Pi_{n,k}$; since
 $k(b+1) \geq (1 + \frac{\delta}{4})n$, at least
 $\left(1 - \left(1 + \frac{\delta}{4}\right)^{-1}\right)k = \frac{8\delta}{9}k$ blocks of

x are of size $\leq b$. If $\delta k \geq \sqrt{5}$,

$$d_E(x) \geq \frac{1}{45} \delta^2 k^2.$$

On the other hand,

$$\begin{aligned} d_E(y) &\leq k 2^{2b} \\ &\leq k n^{1-\frac{1}{2}}. \end{aligned}$$

How far from the truth might these two bounds be?

$$\text{Then } \frac{L_n}{n/\log n} \rightarrow \log 2$$

$$\frac{R_n}{n/\log n} \rightarrow \log 4$$

Hence, if

$$\frac{\delta^2}{45}(1+\delta) \log 4 / \log n \geq n^{-\delta/2},$$

$$d_E(x) \approx d_E(y). \quad \blacksquare$$

Further research

1. For what n do we have

$$|\{L_n, K_n, R_n\}| \geq 2$$

(Let n_0 = smallest)

2. $\max\{|A| : A \in \Pi_n, \text{antichain}\} \sim ?$

3. other

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g, h polynomials

$$Z = X_1 + \dots + X_k + Y_1 + \dots + Y_{k-l} \quad \text{random var.}$$

$$P\{X=j\} = \frac{c_j n^j}{g(n)} \quad h_j = E(X) \quad \sigma_j^2 = E(X^2) - E(X)^2$$

$$\text{If } l h_j + (k-l) h_k = n$$

$$\text{then } [x^n] g(x)^l h(x)^{k-l} = \frac{g(n)^l h(n)^{k-l}}{n!} P\{Z=n\}$$

$$\approx \frac{g(n)^l h(n)^{k-l}}{n!} \frac{1}{\sigma \sqrt{2\pi}}$$

by CLT, with $\sigma^2 = l \sigma_j^2 + (k-l) \sigma_k^2$.

$A \subseteq \Pi_{n,k} : l$ blocks of size $1 \dots m$, and
 $(k-l)$ of size $m+1 \dots 2m$

$$|A| = \frac{n!}{l! (k-l)!} [x^n] g(x)^l h(x)^{k-l}$$

$$\text{w/ } g(x) = \sum_1^m x^j / j!$$

$$\text{refine}(A) \subseteq C_1 \cup C_2$$

$l+2, k-l-1$
 $l+1, k-1$

Given sequence (n, k) w/ $n \rightarrow \infty$,

$k \sim \beta n / \log n$, $\beta > \log 2$:

exhibit n, l, m s.t.

- (1) estimation procedure works
- (2) same r usable for A, C_1, C_2
- (3) all three σ^2 's are \sim

Find

$$\frac{|C_1| + |C_2|}{|A|} \sim \frac{g(n)}{l+2} + \frac{g(n)^2}{(l+2)(l+1)} \frac{(k-l)}{h(n)}$$

$$\rightarrow 0.$$

Say $k \leq (1-\delta)n \log 4 / \log n$

Let $m = \lfloor (1+\delta) \log n / \log 4 \rfloor$.

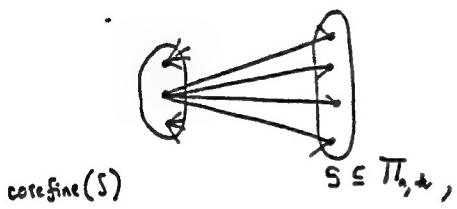
$$S = \{ \pi \in \Pi_{n,k} : \dots \}$$

blocksizes (π) $\in \{n, 2n, 3n, 3n+1, \dots\}$

$\geq n^{1-\delta/2}$ blocks of size $2m$
 $\geq n^{1-\delta/2}$ blocks of size $23m$ }

$$\frac{1}{2} \binom{2m}{m} n^{1-\delta/2} \text{ and } \binom{7m}{m} \text{ are}$$

both $\Omega(n^2)$. Hence,



and $\Pi_{n,k} \not\hookrightarrow \Pi_{n,k-1}$.

Knowledge about Π_n

1928 Sperner: The subset lattice is Sperner

1967 Rota: Is Π_n Sperner?

1967 Harper: Asymptotic normality, $K_n \sim n/\log n$

1968 Lieb: Log concavity

1969 Mullin: K_n is the critical part

1969 Graham, Harper: $\Pi_{11,5} \hookrightarrow \Pi_{12,4}$ via Philip Hall quotient reduction

1971 Dilworth, Greene: geometric \neq Sperner ($> 60,000$ elements)

1971 Kleitman, Edelberg, Lubell: Antichains are symmetric

1974 Harper: Π_n is LYM for $n \leq 19$

1974 Spencer: Π_n is not LYM for $n \geq 20$

1977 Pudlák, Tuza: Every lattice can be embedded in Π_n for some n

1977 Canfield: Π_n is not Sperner, $n_0 \leq (?) 6.5 \times 10^{24}$

1979 Shearer: Π_n is not Sperner, $n_0 \leq 3.7 \times 10^6$

1980 Canfield: $K_n = \dots$

1980 Shearer: Maximum antichains intersect $\Pi_{n,k}$ for $k \geq (1-\delta)n \log 4 / \log n$

1984 Jiang, Kleitman: Π_n is not Sperner, $n_0 \leq 3.4 \times 10^4$

1985 Harper: $a_n \geq (?) (1 - 3\sqrt{3}/5)^{-1/2} S(n, K_n)$

1990 Kung: $\Pi_{n,k} \hookrightarrow \Pi_{n,k-1}$ for $k > n/2$

1991 Canfield: L_n and R_n

"Algorithms for Small Graphs"

Ronald C. Read, University of Waterloo
Department of Combinatorics and Optimization, Ontario, Canada

Algorithms for small graphs

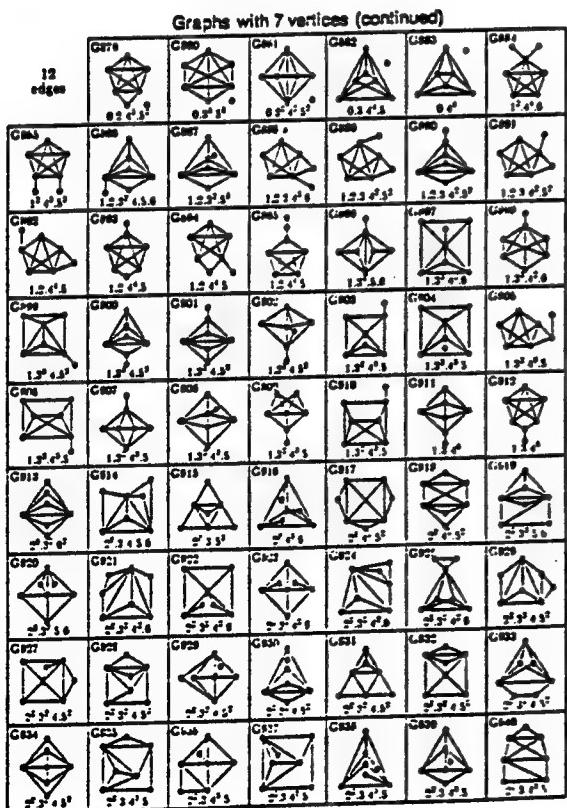
Ron Read

UNIVERSITY OF WATERLOO

ATLAS OF GRAPHS

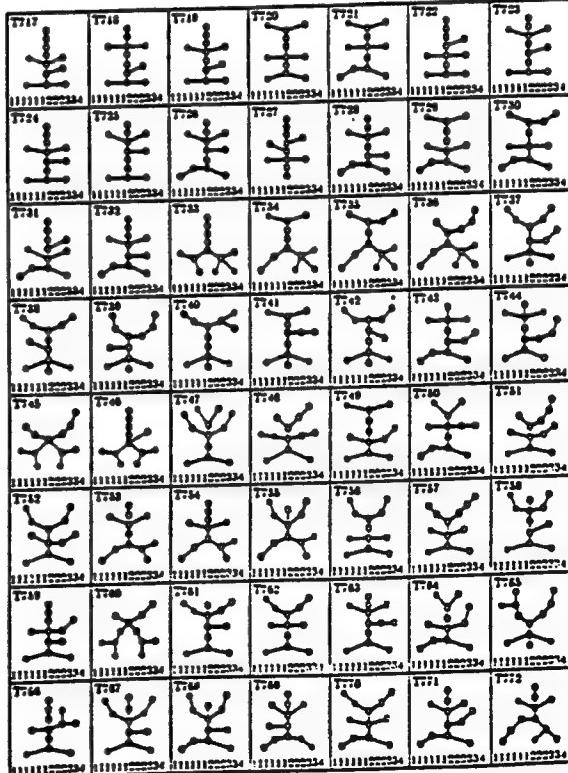
Graphs ≤ 7	1252	Digraphs ≤ 4
Trees ≤ 12	987	Tournaments ≤ 7 5
Line graphs ≤ 8	367	Eulerian digraphs 55
Identity trees ≤ 14	1261	2-reg. digraphs ≤ 7 1
Homeo. irrecl. trees ≤ 16	568	Acyclic digraphs 55
Unicyclic graphs ≤ 5	253	Self-comp. digraphs 55
2-conn. planar	≤ 7 639	Signed graphs ≤ 5
3-conn. planar	≤ 8 301	SPECIAL GRAPHS
Cubic graphs	≤ 14 621	Platonic
Quartic "	≤ 11 250	Archimedean
5-reg. "	≤ 10 64	Snarks
Eulerian graphs	≤ 5 216	Symmetric graphs
Self-comp. "	≤ 9 49	Counter-examples
Outerplanar "	≤ 9 372	etc.

- 17 -

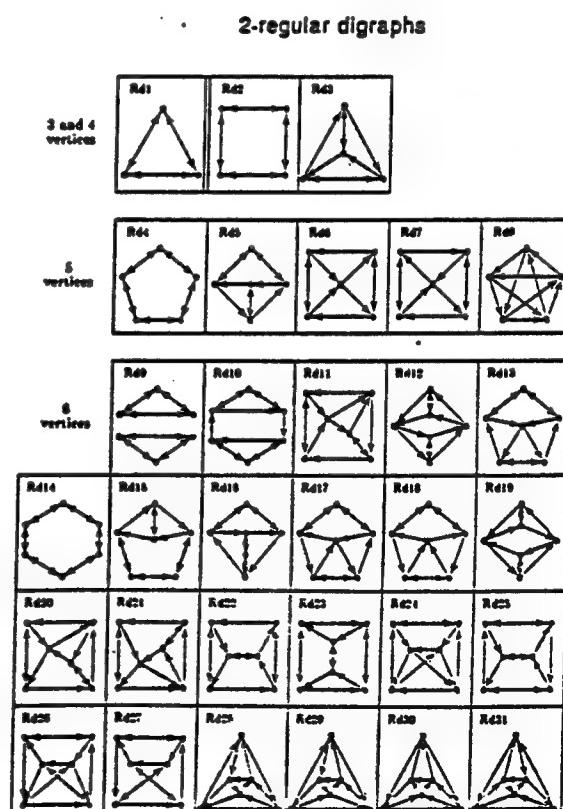
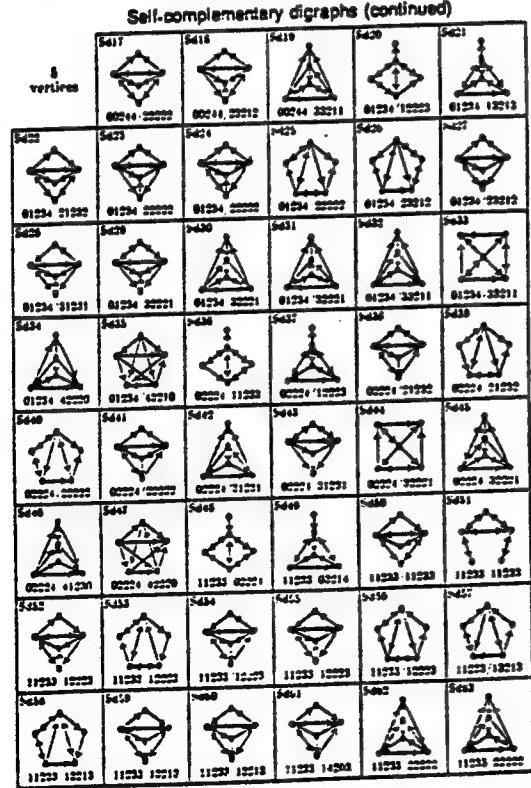
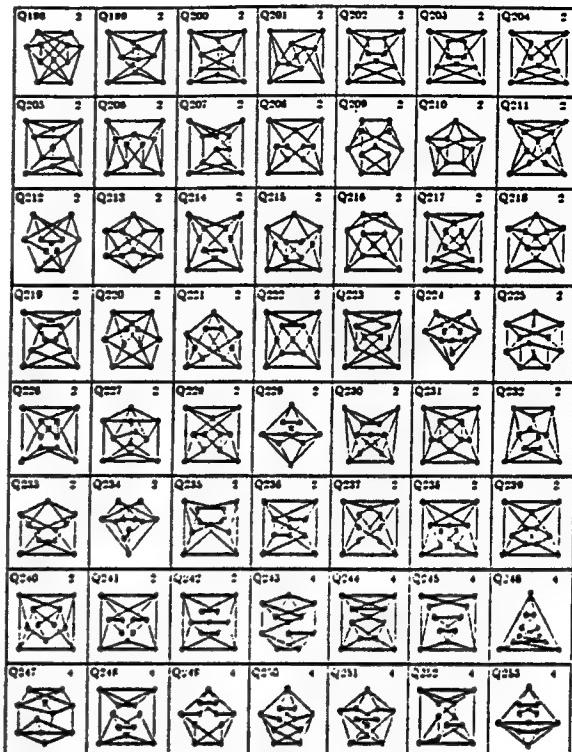
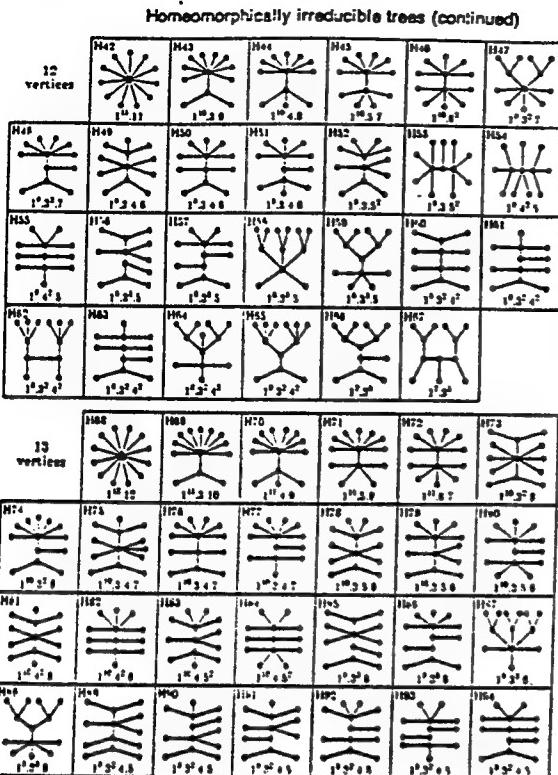


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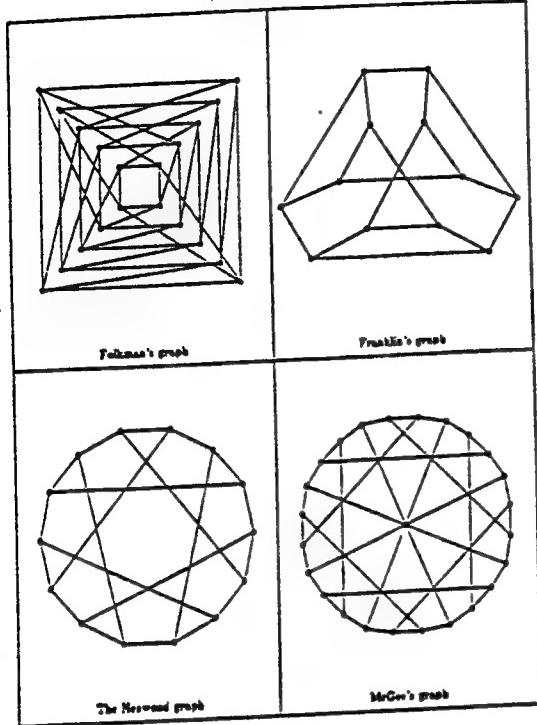
Træs with 12 vertices (continued)



3

5
4-regular graphs with 11 vertices (continued)

Special graphs. 6

Parameters and Properties.

p = no. of vertices q = no. of edges.
 k = no. of components
 Degree sequence
 g = girth ($-i$ -irth number)
 Circumference
 Diameter
 κ = vertex connectivity
 λ = edge connectivity
 Order of automorphism group
 Eulerian?
 Hamiltonian?
 Planar?
 Bipartite?
 Tree?
 Chromatic number χ
 Edge chromatic number χ'
 Chromatic polynomial
 Characteristic polynomial
 Spectrum

Characteristic polynomialAdjacency matrix A

$$\phi(x) = |xI - A|.$$

Newton's method.Let $q_j = \text{trace } A^j$

$$\text{and } \phi(x) = \sum_{k=0}^n p_k x^k.$$

$$\text{Then } p_k = - \sum_{j=0}^{k-1} p_j q_{k-j}.$$

Example:

$$\begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$



$$q_1 = 0, q_2 = 8, q_3 = 6, q_4 = 28$$

$$p_0 = 1, p_1 = 0, p_2 = -4$$

$$p_3 = -2, p_4 = 1$$

$$\phi(x) = x^4 - 4x^2 - 2x + 1$$

SPECTRUM

= set of eigenvalues of the adjacency matrix A .

= set of roots of $\phi(x) = 0$

Roots lie between $-(n-1)$ and $n-1$.

All roots are real.

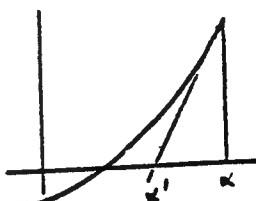
Find integer roots first. Then use Newton's formula, starting with the largest As each root is found, take out the linear factor.

Newton's formula

If x is an approximate root, then

$$x' = x - \frac{\phi(x)}{\phi'(x)}$$

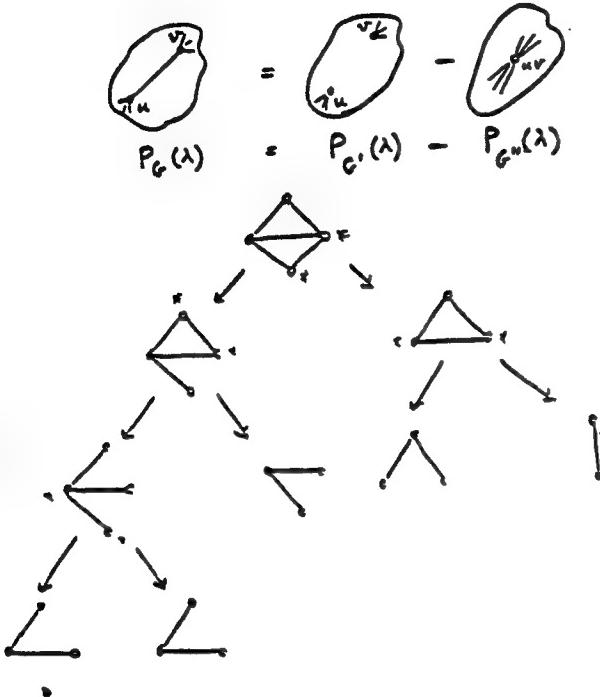
is a better one (under appropriate conditions)



Chromatic Polynomial

$P_G(\lambda)$ = number of ways of coloring
G with λ colors available.

Chromatic reduction:



If $k=1$ and $p=q=1$, G is a tree.
 $\chi_t(t)$ is the least integer λ such that
 $P_\ell(\lambda) > 0$.

Edge-chromatic number $\chi'(G)$

VIZING'S THEOREM: $\chi'(G) = \Delta$ or $\Delta+1$.

METHOD Try to color the edges of G in Δ colors. If successful, $\chi'(G) = \Delta$. Otherwise $\chi'(G) = \Delta+1$.

Start by allocating colors to the edges at a vertex of degree Δ .

Thereafter: For every edge not yet colored.
There will be a set of allocable colors.
Choose an edge, give it an allocable color.

Continue, backtracking when an edge cannot be colored.

Stop when only 2 edges are left.

This works even if G is not connected.



Properties.

If $P_G(2) > 0$, G is bipartite.

The lowest power of λ with non-zero coefficient is k — the number of components.

If g is the girth of G, the coefficients (in absolute magnitude) are

$$1, q, \binom{q}{2}, \binom{q}{3}, \dots, \binom{q}{g-1}$$

and the next coefficient is less than $\binom{q}{g-1}$ by the number of cycles of length g .

DIAMETER

Diameter = greatest distance between two vertices.

$$= \max_{u,v} \left\{ \min_{\text{all w.r.t paths}} (\text{pathlength}) \right\}$$

Method Find all (u,v) -distances using an algorithm based on a theorem of Warshall.

For $k=1$ to p

do: for $i=1$ to p and $\neq k$

for $j=1$ to p and $\neq k$

$$d(i,j) \leftarrow \min(d(i,j), d(i,k)+1)$$

(All $d(i,j)$ initially = ∞)

CIRCUMFERENCE

Circumference = length of a longest cycle

NP-complete (includes the Hamilton cycle problem)

Method: Start at some vertex ∞ . Try to construct a Hamilton cycle; but keep track of the longest cycle found.

If this is p . circumference is p
- graph is Hamiltonian

If it is $p-1$ circumference is $p-1$
- graph is not Hamiltonian

Otherwise. Repeat with the graph $G-\infty$.

Short cuts. Eliminate vertices of degree < 2 .
A vertex of degree 2, if included, implies inclusion of its incident edges.



The $q > 3p - 6$ criterion is obscured by the presence of vertices of degree 2.

Method Delete all vertices of degree 1.
"Smooth out" vertices of degree 2.
(continue if possible)

If G is disconnected, keep components with > 5 vertices. (For $p=7$ there will be only one at most)
(Assume G connected).

Perform the preliminary tests.

If planarity/nonplanarity is still not determined, perform a planarity test.

Which one?

Planarity

Hopcroft & Tarjan 1974 (linear).

Too elaborate!

Preliminaries

Theorem If $q > 3p - 6$ G is nonplanar.

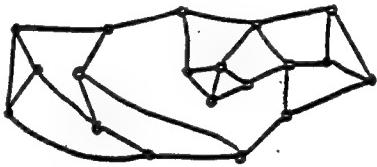
If	$q-p = -1$	G is a tree
	$q-p = 0$	G is unicursal
	$q-p = 1$	G has two unic. cyc.
	$q-p = 2$	$G \leq 3$ "

-all these must be planar.

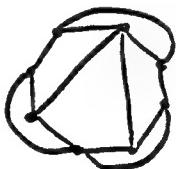
The case of $K_{3,3}$ ($p=6, q=9$) shows that $q-p=3$ does not imply planarity.

If $p \leq 4$, or $p=5$ and $G \neq K_5$
then G is planar.

The Fisher/Wing algorithm



Special case when G is Hamiltonian



Easy to compute whether the clerks are compatible.

What if the graph is not Hamiltonian?

ASK

Connectivity (κ and λ)

Information from the chromatic polynomial.

1. If the coefficient of λ is zero.
— G is not connected.
2. If $P_G(\lambda)$ is divisible by $(\lambda-1)^2$
Then G has a cut vertex

Otherwise G is at least 2-connected, but there seems to be no short cut to finding the exact value of κ .

The value of λ can be found by using the max-flow-min-cut theorem; but no obvious short cuts.

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Number of automorphisms

21

For graphs with $p \leq 10$ this number was computed and recorded when the graph was generated.

For regular graphs

Example. 4-regular (quartic) graphs, $p=11$.

These were generated by extracting from its 10-vertex catalog these graphs with degree sequence

4 4 4 4 4 3 3 3 3

and joining the four vertices of degree 3 to a new vertex of degree 4.

This gives each required graph at least once.

Now eliminate duplicates

How?

22
Classify vertices by convenient criteria.

e.g. number of triangles a vertex is on.
(cube of adjacency matrix).

Number of 2-paths between vertices
(square of adjacency matrix).

Run through permutations of vertices which permute sets of equivalent vertices.

"Characterization of Generalized Bicritical Graphs"

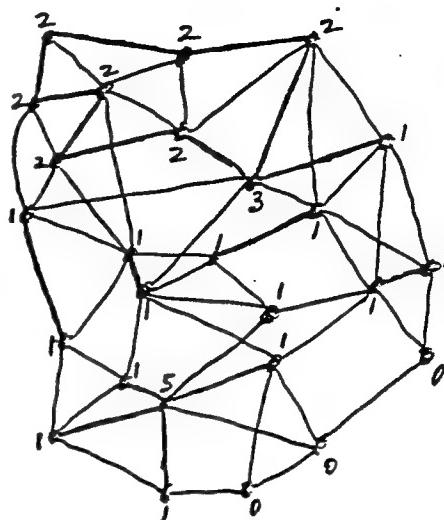
**Nathaniel Dean, Bellcore
Morristown, NJ**

Characterization of Generalized Bicritical Graphs

Nate Dean

Bellcore
Morristown, NJ 07960

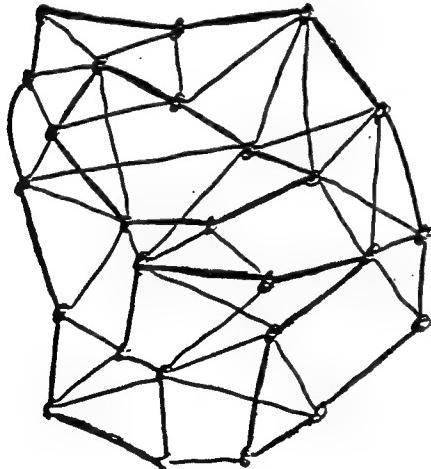
Definitions



f-Factor

2

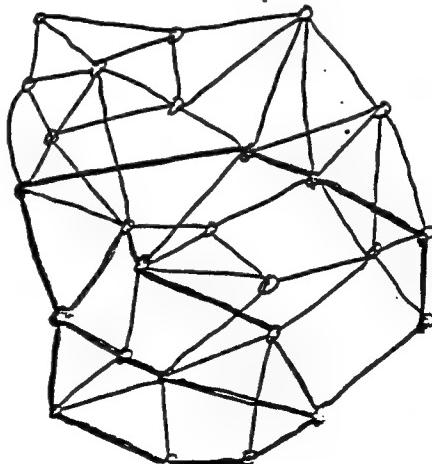
Definitions



2-factor

3

Definitions



4-connectivity

4

Applications

- Lower bound for TSP
- Degree sequences
 - Construct graphs
 - Prove theorems
- Easy proof of Tutte's "4-conn., planar \Rightarrow Ham." theorem?

5.

I-Factor Theorems

Menger - 1927

Konig & Egervary - 1931

Hall - 1935

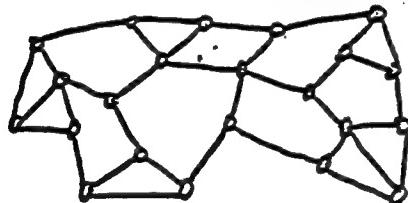
A bipartite graph $G = (V_1, V_2; E)$ with $|V_1| = |V_2|$ has a 1-factor
 $\Leftrightarrow |N(S)| \geq |S| \quad \forall S \subseteq V_1$.

Tutte - 1947

G has a 1-factor
 $\Leftrightarrow c_0(G-S) \leq |S| \quad \forall S \subseteq V(G)$.

6.

Bicritical Graphs



Even Halin Graphs



Wheels

7

Special Graphs

• Elementary Graph:

Has a p.m., and the edges contained in a p.m. form a connected subgraph.

• Bicritical Graph:

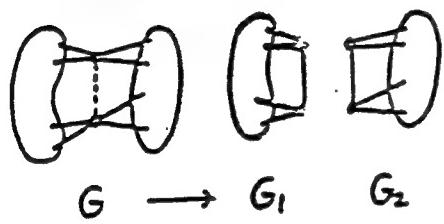
$G - u - v$ has a p.m. for all vertices u, v .



8

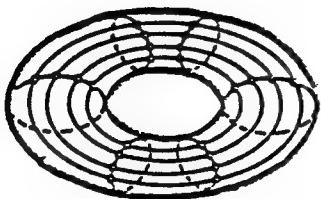
What is a bicritical graph?

- Lovász: G is bicritical iff $S \subseteq V(G)$ and $|S| \geq 2 \Rightarrow c_0(G-S) \leq |S|-2$.
- Bicritical \Rightarrow 2-connected with $\delta \geq 3$.
- G is bicritical iff each split graph G_i of G is bicritical.



9

- Corollary (Nishizeki-1978)
Every even, 4-connected, projective-planar graph has a perfect matching.
- \exists even, 4-connected, toroidal graphs which are not bicritical. Example: $C_{2m} \times C_{2n}$.

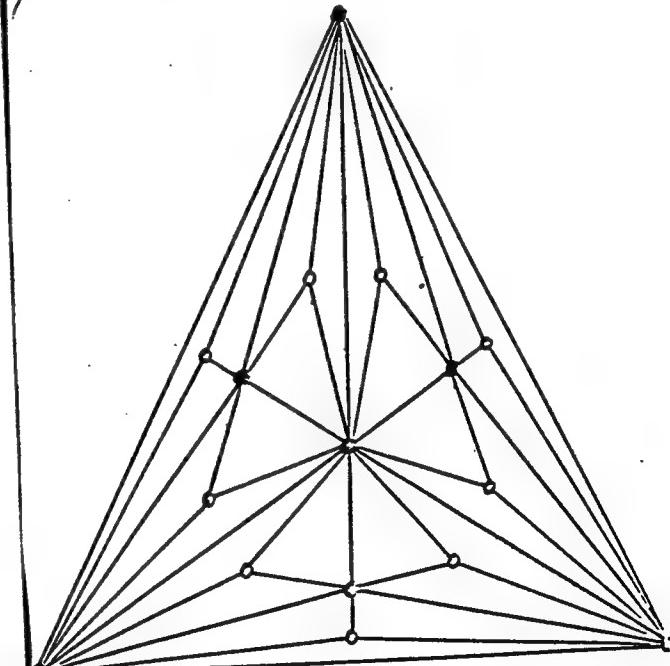


11

Examples - Lovász and Plummer

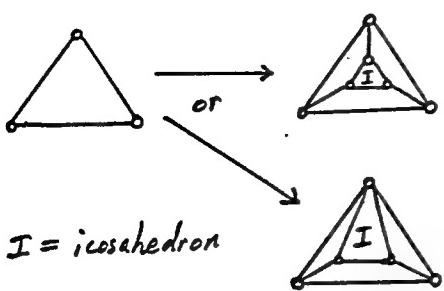
- Every even Halin graph is bicritical.
- G is connected, even, vertex-transitive $\Rightarrow G$ is elementary bipartite or bicritical.
- G is cyclically $(k+1)$ -edge connected, even, k -regular $\Rightarrow G$ is elementary bipartite or bicritical.

10



$c_0(G-S) > |S| \Rightarrow$ no p.m.

12



3-connected
planar
min degree = 5
 $|V(G)|$ even

} $\not\Rightarrow$ p.m.

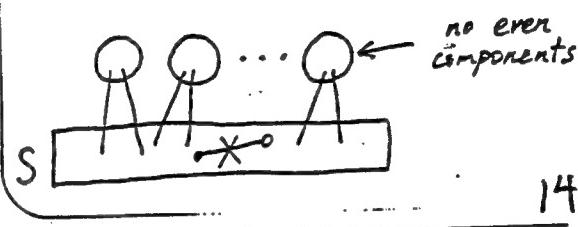
13

Characterization

Let G be 4-connected and embeddable in the torus or the Klein bottle. Then G is bicritical iff G is even and

- (1) G is projective-planar or
- (2) G has no set $S \subseteq V(G)$ \ni

$G-S$ has no even components and contracting each component of $G-S$ yields a graph G' with $|S| = |V(G') - S|$ and with $G' - E[G[S]]$ 4-regular.



14

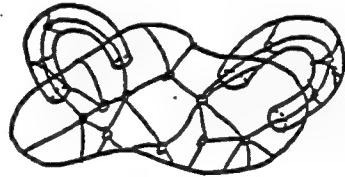
Matching Extendability

- n -extendable: $p \geq 2n+2$, G has a p.m., and every matching of size n is contained in a p.m.
- Matching extendability $\mu(\Sigma)$ of a surface Σ : smallest integer $n \geq 0$ no graph embeddable in Σ is n -extendable.
- Plummer: $\mu(\Sigma) = ?$ for orientable Σ .
- Answer: $\Sigma \neq$ sphere \Rightarrow

$$\mu(\Sigma) = 2 + \lfloor \sqrt{4 - 2X} \rfloor$$

15

Telecommunications



- Irregularly shaped surface
- Nodes communicate along ≤ 1 edge
- Can matching be extended to one where every node communicates?
- To what extent does the surface obstruct the extension?

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Sufficient Conditions for Hamiltonian Cycle

Whitney (1931)

4-connected plane triangulation

Tutte (1956)

4-connected, planar

Duke (1972)

- 1) 2-conn., toroidal, $\delta \geq 6$.
- 2) 2-conn., toroidal, $\delta \geq 4$, triangle-free

Thomas & Yu (1991)

4-conn., projective planar

17

Conjectures

Grunbaum (1970) & Nash-Williams (1973)

4-conn., toroidal \Rightarrow Ham.

Molluzzo (1979) — Negami & Ota

6-conn., toroidal \Rightarrow Ham.-conn.

Grunbaum (1970) — Thomas & Yu

4-conn., projective planar \Rightarrow Ham.

Dean (1990)

4-conn., proj. planar \Rightarrow Ham.-conn.

5-conn., toroidal \Rightarrow Ham.-conn.?

18

Tutte's f-Factor Theorem

$$\begin{aligned} \delta(f, S, T) &\triangleq \\ &\sum_{x \in S} f(x) + \sum_{x \in T} d_G(x) - e(S, T) \\ &- \sum_{x \in T} f(x) = h(f, S, T) \end{aligned}$$

where

$h(f, S, T) \triangleq$ number of components C of $G - S - T \ni$

$\sum_{x \in V(C)} f(x) + e(V(C), T)$ is odd.

G has an f -factor iff $\delta(f, S, T) \geq 0$
& disjoint $S, T \subseteq V(G)$.

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f-Bicritical Graphs

Let $f: V(G) \rightarrow \mathbb{Z}$.

G is f -bicritical if $\forall u, v \in V(G)$,

$G - uv$ has an $f_{u,v}$ -factor where

$f_{u,v}(x) = f(x) - 1$ if $x \in \{u, v\}$ and

$f_{u,v}(x) = f(x)$ otherwise.

Note:

- G is f -bicritical \Rightarrow every edge lies in an f -factor.
- G is f -bicritical $\Leftrightarrow G$ is bicritical
(i.e., $\forall u, v \in V(G)$, $G - u - v$ has a p.m.)

Theorem. $\delta(f, S, T) \geq 2$, $\forall S, T \subseteq V(G)$
with $S \cap T = \emptyset$ and $S \cup T \neq \emptyset \Rightarrow f$ -bicritical.

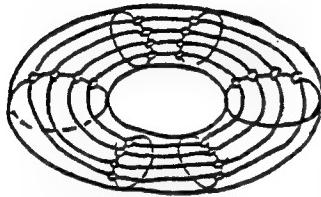
20

CONJECTURE

Grunbaum - 1970

Nash-Williams - 1973

Every 4-connected, toroidal graph is hamiltonian.



21

Graph Minors Family \mathcal{Z}_k

- (1) Closed under minors
- (2) Every bipartite member B satisfies $|E(B)| \leq 2|V(B)| - k$.

Examples

- $\mathcal{Z}_0 \supseteq \{\text{toroidal graphs}\}$
- $\mathcal{Z}_0 \supseteq \{\text{Klein bottle graphs}\}$
- $\mathcal{Z}_2 \supseteq \{\text{projective planar graphs}\}$
- $\mathcal{Z}_4 \supseteq \{\text{planar graphs}\}$

$$\mathcal{Z}_0 \supseteq \mathcal{Z}_1 \supseteq \mathcal{Z}_2 \supseteq \mathcal{Z}_3 \supseteq \mathcal{Z}_4$$

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Surfaces

- Sphere
- Torus, ...
- Projective plane,
Klein bottle, ...

(1) G is bipartite and embeddable in the P.P. or the K.B. or torus
 $\Rightarrow |E(G)| \leq 2|V(G)|$.

(2) $G \rightarrow \Sigma$ are closed under minors.

22

2-Factors in 4-Conn. G

$G \in \mathcal{Z}_0 \Rightarrow G$ has a 2-factor.

$G \in \mathcal{Z}_1 \Rightarrow$

(1) G is 2-bicritical.

(2) $G - u$ has a 2-factor, $\forall u \in V(G)$.

(3) $G - u - v$ has a 2-factor, $\forall u, v \in V(G)$.

24

Sample Theorem (with K. Ota)

G is 4-connected and embeddable in the torus or the Klein bottle
 $\Rightarrow G$ has a 2-factor.

Best possible: $K_{4,n}$, $n \geq 5$

- 4-connected
- not embeddable in torus
- not embeddable in Klein bottle
- no 2-factor

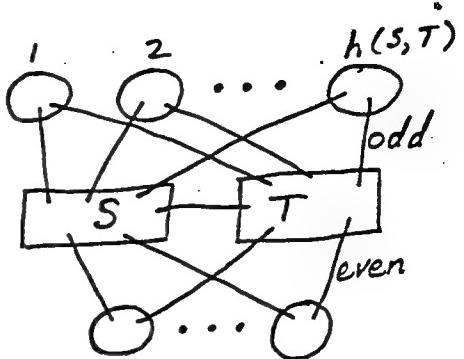
-25

Proof Strategy

- Reduce G to the essentials
- Case $|S \cup T| \leq 3$
- Case $|S \cup T| \geq 4$
- Collect info on odd components
 - definitions & claims
- Substitute into formula for $\delta(S, T)$ to show ≥ 0

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Tutte's 2-Factor Theorem



$$\delta(S, T) \triangleq$$

$$2|S| + \sum_{x \in T} d_G(x) - e(S, T) - 2|T| - h(S, T)$$

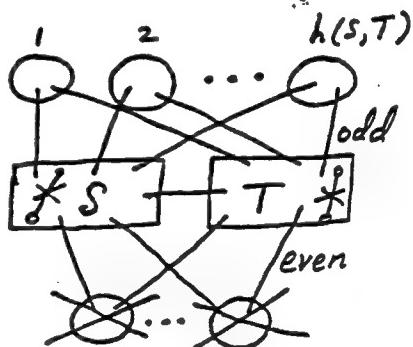
Theorem.

G has a 2-factor \Leftrightarrow
 $\delta(S, T) \geq 0$ & disjoint $S, T \subseteq V(G)$.

Note: $\delta(\emptyset, \emptyset) = 0$.

26

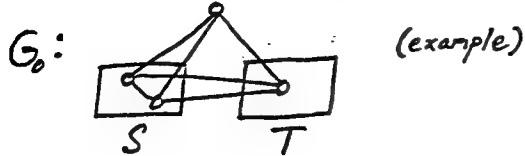
Reductions $G \rightarrow G_0$



Contract each odd component.

28

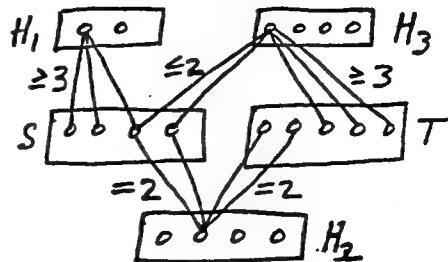
Case $|SUT| \leq 3$



- $h(S, T) \leq 1$ since G is 4-conn.
- $\delta(S, T) \geq 2|S| + 4|T| - e(S, T) - 2|T| - 1$
 $= 2|SUT| - e(S, T) - 1$
 $\geq \begin{cases} 4-2-1, & \text{if } |SUT|=2 \text{ or } 3 \\ 2-0-1, & \text{if } |SUT|=1 \end{cases}$
 ≥ 1

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Case $|SUT| \geq 4$



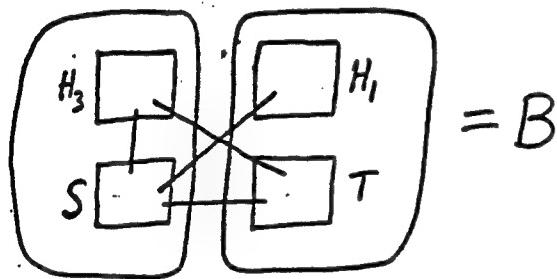
$$h(S, T) = |H_1| + |H_2| + |H_3|$$

Claim.

$$\forall u \in H_2 \exists x \in T \ni e_G(x, C(u)) \geq 2.$$

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Bipartite Inequality



$$|E(B)| \geq e_G(S, T) + 3|H_1| + 3|H_3| + |H_2'|$$

$$|V(B)| = |H_1| + |H_3| + |S| + |T|$$

$$|E(B)| \leq 2|V(B)|$$

$$e_G(S, T) \leq 2|S| + 2|T| - |H_1| - |H_2'| - |H_3|$$

$$\dots \Rightarrow \delta(S, T) \geq 0.$$

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Connectivity for 2-Factors

$t(\Sigma)$ = smallest integer $k \ni$ every k -connected graph embeddable in Σ has a 2-factor.

$$\chi(S_k) = 2-2k$$

$$\chi(N_k) = 2-k$$

Duke (1972)

$$t(\Sigma) \leq 3 + \sqrt{9-3\chi}, \text{ if } \Sigma \neq S_0.$$

New Results:

$$t(N_1) = 4 < 5$$

$$t(S_1) = t(N_2) = 4 < 6$$

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"Extremal Problems Involving Neighborhood Numbers and Other Parameters"

Joseph Straight, SUNY at Fredonia
Department of Mathematics and Computer Science, Fredonia, NY

**Extremal Problems Involving Neighborhood Numbers
and Other Graph Parameters**

H. Joseph Straight

Department of Mathematics and Computer Science
State University of New York College at Fredonia
Fredonia, New York 14063, USA

ABSTRACT

Given a simple graph $G = (V, E)$, a subset S of V is called a neighborhood set provided S is the union of the subgraphs induced by the closed neighborhoods of the vertices in S . The minimum cardinality among all minimal neighborhood sets of G is denoted by $n(G)$ and is called the neighborhood number of G . It is known, for instance, that $\gamma(G) \leq n(G) \leq \alpha(G)$ for any G without isolated vertices, where $\gamma(G)$ and $\alpha(G)$ are the (vertex) domination and covering numbers, respectively.

My colleague, Y.H. Harris Kwong, and I have been investigating the problem of finding the maximum neighborhood number $n(p)$ among all connected graphs of order p . Our work so far has lead us to conjecture that

$$n(p) \leq \lfloor 0p/13 \rfloor$$

a result that holds for $2 \leq p \leq 15$. I will report on this work and, as time permits, some recent work of David K. Garnick, Kwong, and Felix Lazebnik on the maximum number of edges among all graphs of order p having girth at least 5.

$$\gamma(G) \leq n(G) \leq \alpha(G)$$

Observe that if G is the disjoint union of graphs G_1 and G_2 , then

$$\gamma(G) = \gamma(G_1) + \gamma(G_2), \quad n(G) = n(G_1) + n(G_2), \quad \alpha(G) = \alpha(G_1) + \alpha(G_2)$$

We thus assume henceforth that $G = (V, E)$ is connected. Now, if u and v are nonadjacent vertices of G , then

$$\gamma(G + uv) \leq \gamma(G) \quad \text{and} \quad \alpha(G + uv) \geq \alpha(G)$$

However,

$$n(G) - 1 \leq n(G + uv) \leq n(G) + 1$$

For example, consider the graph $G = (\{u, v, w, x, y\}, \{uv, vw, wx, xy, uy\})$. Note that G is a 5-cycle, $n(G + uv) = 2$, $n(G) = 3$, and $n(G - uv) = 2$.

This leads us to consider the following extremal problem: find the maximum neighborhood number $n(p)$ among all connected graphs of order p .

Given a simple graph $G = (V, E)$, the set $N(v) = \{w \in V \mid vw \in E\}$ is called the neighborhood of v and $N[v] = N(v) \cup \{v\}$ is its closed neighborhood. A subset S of V is called a neighborhood set provided G is the union of the subgraphs induced by the closed neighborhoods of the vertices in S . The minimum cardinality among all minimal neighborhood sets of G is denoted by $n(G)$ and is called the neighborhood number of G and was introduced by E. Sampathkumar and P.S. Neeralagi [The neighborhood number of a graph, *Indian J. Pure Appl. Math.* 16 (1985), 126–132].

Two related parameters are the (vertex) domination and covering numbers. A subset S of V is a dominating set provided every vertex not in S is adjacent to a vertex in S ; it is a covering set provided every edge of G has at least one of its incident vertices in S . Let $\gamma(G)$ denote the minimum cardinality of a dominating set and let $\alpha(G)$ denote the minimum cardinality of a covering set; if G has no isolated vertices, then any covering set is also a neighborhood set and any neighborhood set is also a dominating set. Thus, in any graph G without isolated vertices,

$$\gamma(G) \leq n(G) \leq \alpha(G)$$

It is natural to wonder whether these parameters are independent, in some sense. It is easy to see that $\gamma(G) = 1$ if and only if $n(G) = 1$; the complete graph K_p of order p has $\gamma(K_p) = n(K_p) = 1$ and $\alpha(K_p) = p - 1$. It was also observed in the above mentioned paper that $n(G) = \alpha(G)$ if G is connected and has girth at least 4. However, Jayara Kwong, and Straight [Neighborhood sets in graphs, *Indian J. Pure Appl. Math.*, to appear], gave, for positive integers r, s and t with $2 \leq r \leq s \leq t$, an example of a graph G having $\gamma(G) = r$, $n(G) = s$, and $\alpha(G) = t$.

To begin, we find that $n(2) = n(3) = 1$ and $n(4) = 2$. Next, $n(5) = 3$, with the unique extremal graph being the 5-cycle. At this point we make the following observation: let G be formed from the disjoint union of G_1 and G_2 by adding a single edge that joins a vertex of G_1 with a vertex of G_2 . Then $n(G) \geq n(G_1) + n(G_2)$. As a consequence, let r be a rational number between 0 and 1 and suppose there exists a graph G_r of order p having $n(G_r) = rp$. Then there exist infinitely many values of p for which $n(p) \geq r$. Since we have a graph of order 5 with neighborhood number 3, we tentatively conjecture that $n(p) \leq 3p/5$ (for all $p > 1$).

Two more useful observations. The first is that

$$n(p+1) \leq n(p)+1$$

Secondly, suppose G_1 is a connected graph of order p and G_2 is the complete graph of order 2. Form the graph G as above. Then G has order $p+2$ and $n(G) = n(G_1) + 1$. This we find that

$$n(p) = n(p+1) \rightarrow n(p+2) = n(p+1) + 1$$

Continuing, we find that $n(6) = 3$ and $n(7) = 4$. But now consider the following graph of order 8:

$$J = (\{s, t, u, v, w, x, y, z\}, \{st, sw, sz, tu, tz, uv, ux, vw, vy, wz, xy, ys\})$$

It is not difficult to show that $n(J) = 5$. It follows that $n(8) = 5$, and we are forced to revise our tentative conjecture, namely, we now conjecture that $n(p) \leq 5p/8$.

Let p be fixed and suppose the value of $n(p)$ is known. As a consequence of the observations made on the preceding page, if one claims that $n(p+1) = n(p)+1$, then one must give an example of a graph G of order $p+1$ having $n(G) = n(p)+1$. On the other hand, if one claims that $n(p+1) = n(p)$, then one must prove that every connected graph of order $p+1$ has neighborhood number at most $n(p)$.

We can show that every connected graph of order 9 has neighborhood number at most 5. This, together with $n(8) = 8$, gives us that $n(9) = 8$. Joining two disjoint 5-cycles with an edge yields a connected graph of order 10 having neighborhood number 6; hence, $n(10) = 6$. At this point in our investigation we were able to find a graph of order 11 with neighborhood number 7. This disproves our tentative conjecture that $n(p) \leq 5p/8!$ However, if one writes down a table of values of $n(p)$ for $2 \leq p \leq 11$ the following revised conjecture strongly suggests itself.

Conjecture. For $p \geq 2$,

$$n(p) = n(p+1) \rightarrow n(p+2) = n(p)+1 \text{ and } n(p+3) = n(p+4) = n(p)+2$$

(Unfortunately?) Harris Kwoong found a graph H of order 12 having neighborhood number 8, so the conjecture is false for $p = 8$. The graph H can be used to construct a graph of order 13 with neighborhood number 9. Then it can be shown that $n(14) = 9$, also, so that $n(15) = 10$. This is the state of the problem at this point in time. By the way, I still believe that the above conjecture is true for p sufficiently large, say $p \geq 13$.

4

This paper investigates the values of $f(v)$, the maximum number of edges in a graph of order v and girth at least 3. For small values of v we also enumerate the set of extremal graphs. This problem has been mentioned several times by P. Erdős, who conjectured that $f(v) = (1/2 + o(1))^{1/2} v^{3/2}$.

Given graphs G_1, G_2, \dots, G_k , let $\text{en}(v; G_1, G_2, \dots, G_k)$ denote the greatest size of a graph of order v which contains no subgraph isomorphic to some G_i , $1 \leq i \leq k$. One of the main classes of problems in extremal graph theory, known as Turán-type problems, is for given v, G_1, G_2, \dots, G_k to determine explicitly the function $\text{en}(v; G_1, G_2, \dots, G_k)$, or to find its asymptotic behavior for large values of v . Thus, the problem we consider in this paper, that of finding the maximum size of a graph of girth at least 3, can be stated as finding the value of $\text{en}(v; G_3, G_3)$.

It is well known that $\text{en}(v; G_3) = \lfloor v^2/4 \rfloor$, and the extremal graph is the complete bipartite graph $K_{\lfloor v/2 \rfloor, \lceil v/2 \rceil}$.

The exact value of $\text{en}(v; G_3)$ is known for all values of v of the form $v = q^2 + q + 1$, where q is a power of 2 [Z. Füredi, Graphs without quadrilaterals, *JCT B* 34 (1983), 187–190], or a prime power exceeding 13 [Füredi, preprint], and it is equal to $q(q+1)^2/2$. The extremal graphs for these values of v are known. For $1 \leq v \leq 21$, the values of $\text{en}(v; G_3)$ and the corresponding extremal graphs can be found in [C.R.J. Clapham, A. Fleckhart, and J. Sheehan, Graphs without four-cycles, *JCT* 13 (1980), 29–47]. Apparently, the same results were obtained by W. McCuaig in 1984, but were not published. It is well known that $\text{en}(v; G_3) = (1/2 + o(1))v^{3/2}$.

It is important to note that attempts to construct extremal graphs for $\text{en}(v; G_3, G_3)$ by destroying all 4-cycles in the extremal graphs for $\text{en}(v; G_3)$, or by destroying all 3-cycles in the extremal graphs for $\text{en}(v; G_3)$, fail; neither method leads to graphs of order v with $f(v)$ edges.

The other results I wish to report on are in the following paper:

Extremal Graphs without Three-Cycles or Four-Cycles

David K. Garnick
Department of Computer Science
Bowdoin College
Brunswick, Maine 04011

Y.H. Harris Kwoong
Department of Mathematics and Computer Science
SUNY College at Fredonia
Fredonia, New York 14063

Felix Lazebnik
Department of Mathematical Sciences
University of Delaware
Newark, Delaware 19716

Abstract

We derive bounds for $f(v)$, the maximum number of edges in a graph on v vertices that contains neither three-cycles nor four-cycles. Also, we give the exact value of $f(v)$ for all v up to 24 and constructive lower bounds for all v up to 200.

5

In this section we present some theoretical results about $f(v)$ and the structure of extremal graphs. Many of them will be used in the subsequent sections. We call a graph G of order v extremal if $g(G) \geq 3$ and $e = e(G) = f(v)$. The following statement is some simple facts about extremal graphs.

Proposition 2.1. Let G be an extremal graph of order v . Then

- (a) G is connected and the diameter of G is at most 3.
- (b) If $d(v) = \delta(G) = 1$, then the graph $G - v$ has diameter at most 2.

It turns out that the extremal graphs of diameter 2 are very rare. In fact, it has shown that the only graphs of order v with no 4-cycles and of diameter 2 are:

The star $K_{1,v-1}$.

Moore graphs: C_6 , Petersen graph (the only 3-regular graph of order 10, diameter 2 and girth 3), Hoffman-Singleton graph (the only 7-regular graph of order 50, diameter 2 and girth 3), and a 57-regular graph of order 3260, diameter 2 and girth 3, if exists;

Polarity graphs.

Remark: The only graphs from the list above which in addition contain no triangles are regular are the Moore graphs. It is also known that a graph of diameter $k \geq 1$ and girth $2k+1$ must be regular.

We now derive an upper bound on $f(v)$.

Theorem 2.2. Let G be an extremal graph of order $v \geq 3$ and size e . Then

$$f(v) = e \leq \frac{1}{2}v\sqrt{v-1}$$

Furthermore, equality holds if and only if G is regular and of diameter 2, i.e. G is a Moore graph.

Corollary 2.3. Let G be an extremal graph of order v , size e , and diameter 3. If G is regular, then

$$f(v) = e \leq \frac{1}{2}\sqrt{v^2(v-1) - \frac{5}{2}v}$$

If, in addition, the average degree of G is an integer, then

$$f(v) = e \leq \frac{1}{2}\sqrt{v^2(v-1) - 4v}$$

Now we derive a lower bound for $f(v)$. Let q be a prime power, and let $v_q = q^2 + q + 1$, and $e_q = (q+1)v_q$. By B_q we denote the point-line incidence bipartite graph of the projective plane $PGL(2, q)$. More precisely, the partite sets of B_q represent the set of points and the set of lines of $PGL(2, q)$, and the edges of B_q correspond to the pairs of incident points and lines. Then B_q is a $(q+1)$ -regular bipartite graph of order $2v_q$ and size e_q . Also $g(B_q) \geq 5$: being bipartite B_q has no 3-cycles, and the existence of a 4-cycle in B_q would mean that in $PGL(2, q)$ there are two distinct lines passing through two distinct points.

Theorem 2.4. Let G be an extremal graph of order v and size e . Let q be the largest prime power such that $2v_q \leq v$. Then

$$f(v) = e \geq e_q + 2(v - 2v_q) = 2v + (q - 3)v_q$$

Proof. Consider the graph obtained from B_q by adding to $V(B_q)$ a set of $v - 2v_q$ isolated vertices and connecting each of these to two nonadjacent vertices of B_q , taken from different partite sets (such two vertices always exist, since B_q is not a complete bipartite graph). If the chosen pairs of vertices of B_q are distinct for distinct isolated vertices, then we obtain a graph H of order v , size $e_q + 2(v - 2v_q)$ and girth at least 5. There are $q^2 v_q$ pairs of disjoint vertices of B_q , in which two vertices in the pair belong to different partite sets. We claim that $q^2 v_q > v - 2v_q$. Indeed, if it is not the case and $q > 2$, then $v \geq (q^2 + 2)v_q > 8v_q$. According to Bertrand's Postulate, for any integer $n \geq 1$, there is at least one prime number p such that $n < p \leq 2n$. Let $n = q$, and let p be a prime satisfying the inequality $q < p \leq 2q$. Then

$$2v_q < 2v_p \leq 8q^2 + 4q + 2 < 8v_q < v$$

which contradicts our choice of q in the statement of the theorem. For $q = 2$, $2v_2 = 14 \leq v < 2v_2 = 26$ implies that $v - 2v_2 = v - 14 < 12 < 28 = 2^2 v_2$. Therefore, for all prime powers q , $q^2 v_q > v - 2v_q$, and the construction of H described above is possible.

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Theorem 2.2 states that $f(v) \leq \lfloor v\sqrt{v-1}/2 \rfloor$. For $1 \leq v \leq 10$, we have constructed (C_5, C_4) -free graphs with $\lfloor v\sqrt{v-1}/2 \rfloor$ edges. These graphs are shown in Figure 1. This yields the following theorem.

Theorem 3.1. For $1 \leq v \leq 10$, $f(v) = \lfloor v\sqrt{v-1}/2 \rfloor$.

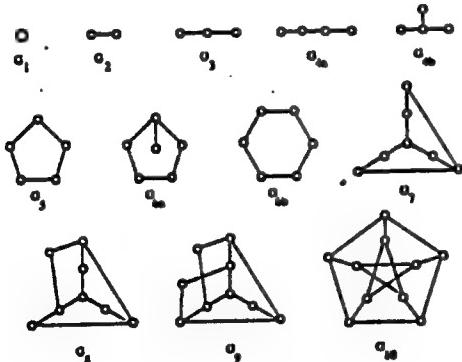


Figure 1: Extremal graphs with $v \leq 10$

Theorem 3.3. The values of $f(v)$ for $11 \leq v \leq 20$ are as follows:

$$\begin{aligned} f(11) &= 16 & f(12) &= 18 & f(13) &= 21 & f(14) &= 23 & f(15) &= 26 \\ f(16) &= 28 & f(17) &= 31 & f(18) &= 34 & f(19) &= 38 & f(20) &= 41 \end{aligned}$$

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We next define a restricted type of tree; many of the proofs in the next section rely on the presence of these trees in extremal graphs. Consider a vertex z of maximum degree Δ in a (C_5, C_4) -free graph G . Let the neighborhood of z be $N(z) = \{x_1, x_2, \dots, x_\Delta\}$. Clearly $N(z)$ is an independent set of vertices. Furthermore, the set of vertices $N(x_i) = \{z\mid 1 \leq i \leq \Delta\}$ are pairwise disjoint; otherwise there would be a quadrilateral in G . This motivates the notion of an (m, n) -star $S_{m,n}$, which is defined to be the tree in which the root (center) has m children, and each of the root's children has n children, all which are leaves. The subtree containing a child of the root and all its n children is called a branch of $S_{m,n}$. We will make use of the following easily established facts: (1) $|V(S_{m,n})| = 1 + m + mn$ and $|E(S_{m,n})| = m + mn$; (2) Every (C_5, C_4) -free graph G with at least 5 vertices, $\Delta(G) = \Delta$, and $f(G) = \delta$, contains $S_{\Delta, \Delta-1}$; (3) In any (C_5, C_4) -free graph G containing an (m, n) -star S , no vertex in $G - S$ can be adjacent to two siblings in S . In fact, every set of siblings in S has a unique common neighbor, namely, their parent.

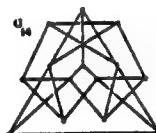
Proposition 2.6. For all (C_5, C_4) -free graphs G , $v \geq 1 + \Delta\delta \geq 1 + \delta^2$.

Proposition 2.7. For all (C_5, C_4) -free graphs G on $v \geq 1$ vertices and e edges, $\delta = f(v-1)$ and $\Delta \geq \lceil 2e/v \rceil$.

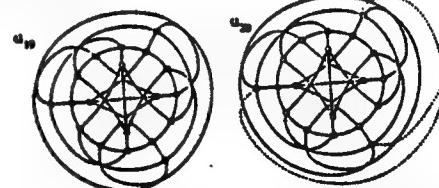
Proposition 2.8. For all $v \geq 1$, we have $v \geq 1 + [2f(v)/v](f(v) - f(v-1))$.

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Proof. We look at several specific cases to illustrate the techniques involved.



$f(14) = 22$. The graph G_{14} demonstrates that $f(14) \geq 23$. Assume there exists an extremal graph G with 24 edges. Propositions 2.6 and 2.7 imply that $\delta = 3$ and $\Delta = 4$. Thus G has 8 vertices of degree 3 and 6 vertices of degree 4. Further, G cannot contain a pair of adjacent vertices x and y each having degree 3, since $G - \{x, y\}$ would have 19 edges, contradicting $f(12) = 18$. We may then conclude that every edge of G joins a degree 3 vertex with a degree 4 vertex. If for each degree 3 vertex we represent its neighborhood as a triple, then the existence of G implies the existence of a design of 8 triples on 6 elements (the six vertices of degree 4), where each element occurs in 4 triples and each distinct pair of elements occurs in at most 1 triple. But since 6 elements constitute 15 distinct pairs and each triple specifies 3 pairs, such 8 triples will specify $8 \times 3 = 24$ pairs, and therefore cannot exist. Thus, $f(14) < 24$.



$f(18) = 36$ and $f(20) = 41$. The graph G_{19} shows that $f(19) \geq 38$. Since the outermost 3 vertices (the vertices outside the dodecagon) are mutually distance 3 apart, we can construct G_{20} by adding a vertex to G_{19} that is adjacent to the 3 outer vertices. (In the figure, dashed lines are used to show the edges from the 20th vertex to G_{19} .) Thus $f(20) \geq |E(G)| = 41$. The upper bounds are obtained by applying Proposition 2.8.

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It can be noted that G_{10} is the Robertson graph – the unique $(4, 5)$ -cage. It follows that G_{10} and G_{20} are the unique extremal graphs of orders 10 and 20, respectively.

Theorem 3.4. The values of $f(v)$ for $21 \leq v \leq 24$ are as follows:

$$f(21) = 44 \quad f(22) = 47 \quad f(23) = 50 \quad f(24) = 64$$

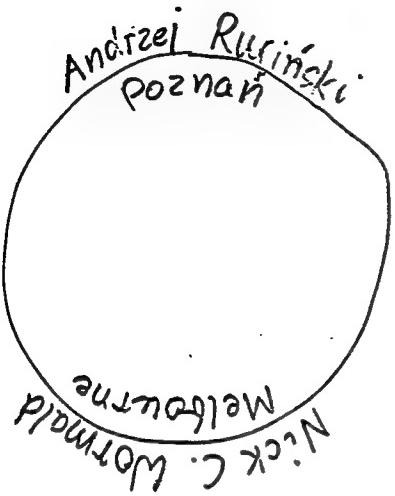
Proof. Again, to illustrate the techniques involved, we give the proof that $f(22) = 47$. First of all, a $\{C_5, C_6\}$ -free graph of order 22 and size 47 is constructed, showing that $f(22) \geq 47$. Suppose there exists a $\{C_5, C_6\}$ -free graph G with $v = 22$, $e = 48$. By Proposition 2.7, $\delta \geq 4$ and $\Delta \geq 5$. Then $\Delta = 5$, for otherwise there is a $(0, 3)$ star in G , and such a tree has more than 22 vertices; therefore, G contains 14 vertices of degree 4 and 8 vertices of degree 5. This in turn implies that there are at least 8 edges among the degree 4 vertices. Since any graph with 14 vertices and at least 8 edges must contain a path of length 3, there must be at least one degree 4 vertex adjacent to two other degree 4 vertices; thus there is a P_3 in G each of whose vertices has degree 4. However, if there is a path P on 3 vertices of degree 4, then $G - V(P)$ is the Robertson graph. Each of the pendant vertices in P , x and y , has 3 neighbours in the Robertson graph that are mutually distance 3 apart. But the Robertson graph has only one such set of 3 vertices. Therefore, G cannot contain a P_3 of degree 4 vertices, giving us a contradiction. Therefore, $f(22) \leq 47$.

This paper gives exact values of $f(v)$ for $1 \leq v \leq 24$. It is also noted that $f(50) = 175$, the extremal graph being the Hoffman-Singleton graph. The paper also gives constructive lower bounds for $f(v)$ for $25 \leq v \leq 200$; these are found using an algorithm that combines hill-climbing and backtracking techniques. For instance, at one point a $\{C_5, C_6\}$ -free graph of order 96 and size 397 was found; adding an isolated vertex gave a $\{C_5, C_6\}$ -free graph of order 97 and size 397. Backtracking applied to this graph yielded a $\{C_5, C_6\}$ -free graph of the same order with 403 edges. Hill-climbing from that point resulted in the addition of one more edge, giving a $\{C_5, C_6\}$ -free graph G of order 97 and size 404. Thus, $f(97) \geq 404$. Further hill-climbing rearranged the edges of G so that some vertex had degree 6; removing this vertex then gave a $\{C_5, C_6\}$ -free graph of order 96 and size 398, thereby improving the lower bound for $f(96)$.

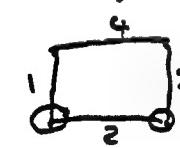
"Random Graph Processes with Degree Restrictions"

**Andrzej Rucinski, Emory University
Department of Mathematics and Computer Science, Atlanta, GA**

Random Graph Processes with degree restrictions



- 3 major differences:
- 1° many final stages
- 2° the length varies
- 3° not an equiprobable space



$\frac{1}{10} \frac{1}{3} \frac{1}{6} \frac{1}{3}$



$\frac{1}{10} \frac{1}{9} \frac{1}{8} \frac{1}{3}$

Focus on final stage:
a maximal graph with $\Delta(G)=d$
 d -maximal graph
saturated vts (degree d)
unsaturated vts (degree $< d$)

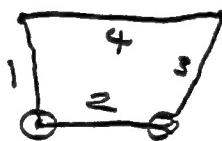
(1)

Random graph process

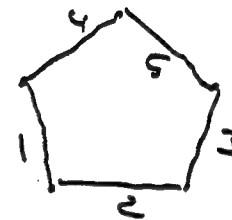
$$((n!) / (2^n))^{-1}$$

Degree restriction $\Delta(G) \leq d$

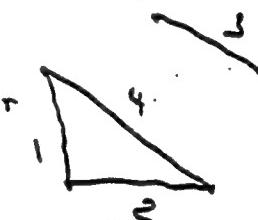
$d=2$



or



or



(E_1, \dots, E_n)

$$\frac{nd}{2} - \frac{d(d+1)}{8} \leq w \leq \left\lfloor \frac{dn}{2} \right\rfloor$$

(3)

Unsaturated vts form a clique,
so there are $\leq d$ of them.

Let $U = U(d, n) = \#$ unsaturated vts
at the end

Erdős asked

$$\lim_{n \rightarrow \infty} P(U=x), x=0, 1, \dots, d ?$$

Nontrivial even for $d=2$

$n=4 \quad n=5 \quad n=500 \quad n=30,000$

$$P(U=0) = \frac{11}{15} \rightarrow \frac{17}{27} \approx .879 \xrightarrow{\epsilon} \approx .9$$

$$P(U=0) \rightarrow 1 ?$$

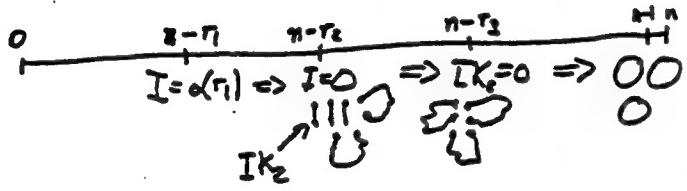
Theorem (R., Wormald, 1992) ⑤
 $\forall d$ the process saturates a.s.,
 i.e. $P(U=0) \rightarrow 1$ if n even
 $P(U=1) \rightarrow 1$ if n odd

It contrasts with the equiprobable space of d -maximal graphs, where
 $\forall d \geq 2$, n even,

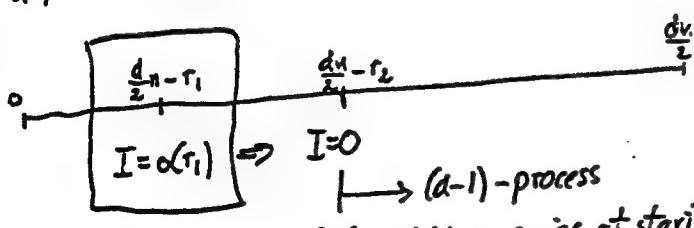
$$P(U=i) \rightarrow a_i > 0 \quad i=0, 1, 2, \\ a_0 + a_1 + a_2 = 1$$

The idea of proof:
 study # isolates I_t

$d=2$



$d \geq 2$ induction on d



Quite messy:
 1° forbidden pairs at start
 2° nonuniform degree bounds

structural results only for $d=2$



$$EC \leq \log n + 3$$

$C_L \xrightarrow{d} \text{Poisson}$

$$E\#C_3 \sim \frac{1}{2} \int_0^{\infty} \frac{(\log(1+x))^2}{xe^x} dx \approx$$

$$1.188735349357788830 \neq \frac{1}{6}$$

Lemma $P(u, v)$ - probability that vertex 1 remains isolated until time v , provided it was isolated at time u . Then

$$P(u, v) = O\left(\frac{n/2 - v}{n/2 - u}\right)$$

Proof $P(u, v) = \prod_{t=u}^{v-1} P(t, t+1)$

H_t - the event that 1 is isolated in

$$P(t, t+1) = E(E(Ind(H_{t+1}) | G_t) | H_t)$$

$$= E\left(1 - \frac{U_t - 1}{\binom{U_t}{2} - F_t} | H_t\right) \leq$$

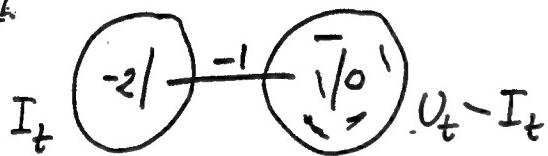
$$\leq \exp\left(-\frac{2}{n-2t} + O\left(\frac{1}{n^2}\right)\right)$$

Lemma

$$I_{\lfloor \ln/2 \rfloor - n^{9/10}} = O\left(\frac{n^{9/10}}{\log n}\right)$$

Outline of proof:

$$E(I_{t+1} - I_t | G_t) = ?$$



$$= \frac{-2\binom{I_t}{2} - I_t(U_t - I_t)}{\binom{U_t}{2} - F_t} \sim -\frac{2I_t}{U_t}$$

(8)

But

$$\alpha n - 2t \geq dI_t + (U_t - I_t)$$

$\stackrel{(d=2)}{=}$

$$U_t \leq \alpha n - 2t - (d-1)I_t$$

$\stackrel{(d=2)}{=}$

So

$$E(I_{t+\Delta t} - I_t | G_t) \leq -\frac{2\Delta t I_t}{\alpha n - 2t - (d-1)I_t}$$

Define Doob's martingale

(10)

$$X_i = E(I_{t+\Delta t} - I_t | G_t, F_{t+1}, \dots, F_{t+i})$$

$$i = 0, \dots, \Delta t$$

to show the sharp concentration

$$I_{t+\Delta t} - I_t \sim E(I_{t+\Delta t} - I_t | G_t)$$

by Azuma's inequality

Define $b = b(x)$, $0 \leq x < \frac{d}{2}$

by

$$(*) \quad b' = \frac{-2b}{d - 2x - (d-1)b}, \quad b(0) = 1$$

$b(x)$ should well approximate an upper bound on $\frac{I_t}{n}$, $x = \frac{t}{n}$

We justify this by partitioning,



and using induction

$$\Delta \sim n^{1/4}$$

(11)

The asymptotic solution
to $(*)$, as $x \rightarrow \frac{d}{2}$, is

$$b(x) \sim \frac{-(d-2x)}{(d-1)\log(\frac{d}{2}-x)}$$

Finally,

$$\begin{aligned} I_s &\leq nb\left(\frac{s}{n}\right) + O(n^{8/10}) \sim \\ &\sim \frac{-n(d-2\frac{s}{n})}{(d-1)\log(\frac{d}{2}-\frac{s}{n})} = \frac{-2n^{9/10}}{(d-1)\log n^{-1/10}} = \\ &= \frac{20n^{9/10}}{(d-1)\log n} \quad \square \end{aligned}$$

(12)

Open problem

How far apart are
max and min of

$$P(G_{L[\frac{dn}{2}]} = G)$$

- over all d -maximal G 's
- over all d -regular G 's

?

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Attendees

Kokou Abalo
Clemson University
Dept. of Math. Sci.
O-313 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Ed Allen
Wake Forest Univ.
Dept. of Math. & Comp. Sci.
Winston-Salem, NC 27107
E-Mail: allene@mthcsc.wfu.edu

Palsun Anand
Clemson University
252 Rockcreek Rd.
Clemson, SC 29631
E-Mail: panand@hubcap.clemson.edu

Alok Aurovillian
Clemson University
813 College Ave.
Clemson, SC 29631
E-Mail: alok@cs.clemson.edu

Frank Bartek
Clemson University
Dept. of Math. Sci.
O-7 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Bruce Berentsen
Clemson University
118 Pleasant View Dr.
Clemson, SC 29631
E-Mail:

Jean R.S. Blair
University of Tennessee
Dept. of Comp. Sci.
107 Ayres Hall
Knoxville, TN 37996-1301
E-Mail: blair@cs.utk.edu

Jay Boland
East TN State Univ.
Dept. of Math.
Johnson City, TN 37614
E-Mail:

Patricia Broadwell
Clemson University
Dept. of Math. Sci.
O-13 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Rod Canfield
University of GA
Dept. of Comp. Sci.
Athens, GA 30602
E-Mail: erc@pollux.cs.uga.edu

Steven Cater
University of GA
Dept. of Comp. Sci.
415 Boyd Grad Studies
Athens, GA 30602
E-Mail: cater@pollux.cs.uga.edu

Mark Cawood
Clemson University
Dept. of Math. Sci.
O-9 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Shuchi Chawla
Clemson University
202 Edgewood Ave., Apt. 11
Clemson, SC 29631
E-Mail: shuchic@cs.clemson.edu

David Cribb
Clemson University
Dept. of Math. Sci.
O-11 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Sandy Davis
Clemson University
Dept. of Math. Sci.
O-13 Martin Hall
Clemson, SC 29634-1907
E-Mail:

James E. Dawsey
Clemson University
14 Green Glenn Apts.
Pendleton, SC 29670
E-Mail:

Sixth Discrete Mathematics mini-Conference

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Attendees

Nate Dean
 Bellcore
 Room 2M-389
 445 South St.
 Morristown, NJ 07960-1910
 E-Mail: nate@bellcore.com

Nancy Eaton
 Emory University
 Dept. of Math & Comp. Sci.
 Atlanta, GA 30322
 E-Mail: nancy@mathcs.emory.edu

Donald Dearholt
 MS State Univ.
 Dept. of Comp. Sci.
 P.O. Drawer CS
 Mississippi State, MS 39762-5623
 E-Mail: dearholt@cs.msstate.edu

Markus Emsermann
 Clemson University
 Dept. of Math. Sci.
 E-8 Martin Hall
 Clemson, SC 29634-1907
 E-Mail:

Gopinath Devalcheruvi
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail: gopi@cs.clemson.edu

R.C. Entringer
 Univ. of New Mexico
 Dept. of Mathematics
 Albuquerque, NM 87131
 E-Mail: entring@gauss.unm.edu

Gayla Domke
 Georgia State Univ.
 Dept. of Math & Comp. Sci.
 University Plaza
 Atlanta, GA 30303
 E-Mail: matgsd@gsuvml.bitnet

Chris Fisher
 Univ. of Regina, CANADA
 Dept. of Math. Sci.
 O-12 Martin Hall
 Clemson, SC 29634-1907
 E-Mail:

Jean Dunbar
 Converse College
 Math Dept.
 Spartanburg, SC 29301
 E-Mail:

Sandy Fulmer
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail:

Ivy Dymacek
 Washington & Lee Univ.
 Dept. of Mathematics
 Lexington, VA 24450
 E-Mail:

Banumathi Ganesan
 Clemson University
 320 Sloan St. #15
 Clemson, SC 29631
 E-Mail:

Wayne M. Dymacek
 Washington & Lee Univ.
 Dept. of Mathematics
 Lexington, VA 24450
 E-Mail: dymacek.w.m@P9955.wlu.edu

M. Gopichand
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail:

Murali Earagolla
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail: mearago@cs.clemson.edu

Daniel Gordon
 University of GA
 Dept. of Comp. Sci.
 Athens, GA 30602
 E-Mail:

Sixth Discrete Mathematics mini-Conference

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Attendees

Ron Gould
 Emory University
 Dept. of Math & Comp. Sci.
 Atlanta, GA 30322
 E-Mail: rg@mathcs.emory.edu

Sandee Hedetniemi
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail:

Judith E. Green
 Clemson University
 P.O. Box 994
 Central, SC 29630
 E-Mail:

Steven T. Hedetniemi
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail: hedet@cs.clemson.edu

Raymond Griffith
 Clemson University
 Dept. of Math. Sci.
 O-313 Martin Hall
 Clemson, SC 29634-1907
 E-Mail:

Angela Holland
 Clemson University
 114 Wigington St.
 Clemson, SC 29631
 E-Mail: alholland@cs.clemson.edu

David Grose
 Clemson University
 413 Lindsay Rd., Apt. #2
 Clemson, SC 29631
 E-Mail:

Daryl Holoman
 Clemson University
 Dept. of Comp. Sci.
 G-31 Jordan Hall
 Clemson, SC 29634
 E-Mail: dholoma

J. Guldne
 Clemson University
 100 Riding Rd.
 Clemson, SC 29631
 E-Mail: jguldne@eng.clemson.edu

Fred Howard
 Wake Forest Univ.
 Dept. of Math. & Comp. Sci.
 Winston-Salem, NC 27107
 E-Mail:

William Hare
 Clemson University
 Dept. of Math. Sci.
 O-19 Martin Hall
 Clemson, SC 29634-1907
 E-Mail:

Michael S. Jacobson
 University of Louisville
 Dept. of Mathematics
 Louisville, KY 40292
 E-Mail: msjaco01@ulkyvx

April Haynes
 Clemson University
 Dept. of Math. Sci.
 O-102 Martin Hall
 Clemson, SC 29634-1907
 E-Mail: ahayne@clemson.edu

David John
 Wake Forest Univ.
 Dept. of Math. & Comp. Sci.
 Winston-Salem, NC 27107
 E-Mail:

Teresa W. Haynes
 East TN State Univ.
 Dept. of Comp. Sci.
 Johnson City, TN 37614
 E-Mail: i01twh@etsu

Kim Jonas
 Univeristy of SC
 Dept. of Math.
 Columbia, SC 29208
 E-Mail:

Sixth Discrete Mathematics mini-Conference

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Attendees

James A. Knisely
 Clemson University
 5B Christopher St.
 Greenville, SC 29609
 E-Mail:

Rainey Little
 MS State Univ.
 Dept. of Comp. Sci.
 P.O. Drawer CS
 Mississippi State, MS 39762-5623
 E-Mail: little@cs.msstate.edu

Debra Knisley
 East TN State Univ.
 Mathematics Dept.
 Johnson City, TN 37601
 E-Mail: gibi@etsu.bitnet

Dimitri Logofet
 USSR Academy of Sciences
 3 Pyzhensky Lane
 Moscow, 109107
 USSR,
 E-Mail:

Michael Kostreva
 Clemson University
 Dept. of Math. Sci.
 O-307 Martin Hall
 Clemson, SC 29634-1907
 E-Mail: flstgla@clemson

Robin Lougee-Heimer
 Clemson University
 Dept. of Math. Sci.
 O-8 Martin Hall
 Clemson, SC 29634-1907
 E-Mail:

Y. Amar Kumar
 Clemson University
 109-1 Earle St.
 Clemson, SC 29631
 E-Mail: amar@cs.clemson.edu

Lisa Markus
 Vanderbilt University
 Department of Mathematics
 Box 1543 Station B
 Nashville, TN 37235
 E-Mail:

Judy Lalani
 Tri County Tech
 9-C Daniel Dr.
 Clemson, SC 29631
 E-Mail:

Brad Martin
 Washington & Lee Univ.
 Dept. of Mathematics
 Lexington, VA 24450
 E-Mail: martin.b.d@P9955.wlu.edu

Renu Laskar
 Clemson University
 Dept. of Math. Sci.
 O-16 Martin Hall
 Clemson, SC 29634-1907
 E-Mail: rclsk@clemson.edu

Travis Mauldin
 Clemson University
 616 Railroad St.
 Pickens, SC 29671-2803
 E-Mail: rmauld@cs.clemson.edu

Linda M. Lawson
 East TN State Univ.
 Dept. of Math.
 Johnson City, TN 37614
 E-Mail:

Robert McDaniel
 Clemson University
 Dept. of Comp. Sci.
 College of Nursing Bldg.
 Clemson, SC 29634
 E-Mail:

Marc J. Lipman
 Office of Naval Research
 Code 1111
 Arlington, VA 22217-5000
 E-Mail: lipman@ocnr-hq.navy.mil

Buck McMorris
 University of Louisville
 Dept. of Mathematics
 Louisville, KY 40292
 E-Mail: frmcmo01@ulkyvx.bitnet

Sixth Discrete Mathematics mini-Conference

October 3-4, 1991

Attendees

Alice McRae
Clemson University
Dept. of Comp. Sci.
College of Nursing Bldg.
Clemson, SC 29634
E-Mail: amcrae@clemson.edu

Seiuivas Nithyanandam
Clemson University
320 Sloan St. #18
Clemson, SC 29631
E-Mail: snithya@engr.clemson.edu

M. Medikonda
Clemson University
411-4 Lindsay Rd.
Clemson, SC 29631
E-Mail: murali@cs.clemson.edu

Tim Niznik
Clemson University
Dept. of Math. Sci.
E-304 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Deborah Meilhamer
Clemson University
Dept. of Math. Sci.
E-8 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Susan Patterson
Clemson University
Dept. of Math. Sci.
E-304 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Ted Monroe
Wofford College
108 Woodcreek Dr.
Spartanburg, SC 29303
E-Mail:

Barry Piazza
Southern MS
Dept. of Math.
Southern Station Box 5045
Hattiesburg, MS 39406-5045
E-Mail: piazza@usmc6.bitnet

Donna Mouritzen
Clemson University
Dept. of Math. Sci.
O-10 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Dan Pillone
Clemson University
Knoll Apt. 7B, 123 Clg Heights Blvd
Clemson, SC 29631
E-Mail:

Nishad V. Mulye
Clemson University
115-1 Hill Crest Ave.
Clemson, SC 29631
E-Mail:

Carl Pomerance
University of GA
Dept. of Math
Athens, GA 30602
E-Mail:

Matthew Myers
Clemson University
Dept. of Math. Sci.
E-304 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Dorh. Potluri
Clemson University
113-3 Earle St.
Clemson, SC 29631
E-Mail: dpotlur@cs.clemson.edu

Jennifer Newton
Clemson University
Dept. of Math. Sci.
E-8 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Gil Proctor
Clemson University
Dept. of Math. Sci.
O-102 Martin Hall
Clemson, SC 29634-1907
E-Mail: proctor@clemson.edu

Sixth Discrete Mathematics mini-Conference

October 3-4, 1991

Attendees

Douglas F. Rall
Furman University
Dept. of Math.
Greenville, SC 29613
E-Mail: rall@frmnvax1.bitnet

Andrzej Rucinski
Emory University
Dept. of Math & Comp. Sci.
Atlanta, GA 30322
E-Mail: andrzej@mathcs.emory.edu

Bobby Rampey
Furman University
27 Meyers Ct.
Greenville, SC 29609
E-Mail:

Scott Ruzicki
Clemson University
Comp. Info. Sys.
Box 4677
Clemson, SC 29632
E-Mail: sruzyck

Amish Ray
Clemson University
211-2 Kelly Rd.
Clemson, SC 29631
E-Mail: amish@cs.clemson.edu

Matthew Saltzman
Clemson University
Dept. of Math. Sci.
O-223 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Ron Read
University of Waterloo
Dept. of Comb. & Optim.
Waterloo, Ontario
CANADA, N2L 3G1
E-Mail: rread@watdcs.uwaterloo.ca

Moitri Sarker
Clemson University
Dept. of Math. Sci.
M-303 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Virginia Rice
Kennesaw State College
Dept. of Mathematics
Marietta, GA 30061
E-Mail:

Dinesh Sarvate
College of Charleston
Math Dept.
Charleston, SC 29424
E-Mail:

Richard D. Ringeisen
Clemson University
Dept. of Math. Sci.
O-103 Martin Hall
Clemson, SC 29634-1907
E-Mail: rdrng@clemson.edu

Edward R. Scheinerman
Johns Hopkins Univ.
Mathematical Sciences Dept.
Baltimore, MD 21218
E-Mail: ers@cs.jhu.edu

Fred Roberts
DIMACS
Rutgers Univ.
P.O. Box 1179
Piscataway, NJ 08855-1179
E-Mail: froberts@dimacs.rutgers.edu

Mary Beth Searcy
Clemson University
Dept. of Math. Sci.
M-303 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Robert W. Robinson
University of GA
Compter Sci. Dept.
415 GSRC
Athens, GA 30602
E-Mail: rwr@pollux.cs.uga.edu

Franklin Shobe
Clemson University
Dept. of Math. Sci.
O-2 Martin Hall
Clemson, SC 29634-1907
E-Mail: fshobe@clemson.bitnet

Sixth Discrete Mathematics mini-Conference

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Attendees

Robert Simms
Clemson University
Dept. of Math. Sci.
E-304 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Kanupriya Tewary
Clemson University
Dept. of Comp. Sci.
G-16 Jordan Hall
Clemson, SC 29634
E-Mail: kanu@cs

M. Sinivadavao
Clemson University
Dept. of Comp. Sci.
College of Nursing Bldg.
Clemson, SC 29634
E-Mail: sriini

Cary Timar
Vanderbilt University
Mathematics Dept.
1326 Stevenson Center
Nashville, TN 37235
E-Mail:

Jim Soltys
Clemson University
Dept. of Math. Sci.
E-8 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Darol Timberlake
Clemson University
Dept. of Math. Sci.
E-8 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Michael Springfield
Clemson University
1016 Highland Rd.
Easley, SC 29640
E-Mail: mspring

Charles Wallis
Clemson University
Dept. of Math. Sci.
M-304 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Lionel Stewart
Clemson University
Dept. of Comp. Sci.
G-31 Jordan Hall
Clemson, SC 29634
E-Mail: lionels

Ruth Wassermann
Clemson University
Dept. of Math. Sci.
E-8 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Joseph Straight
SUNY at Fredonia
Dept. of Math. & Comp. Sci.
Fredonia, NY
E-Mail: straight@fredonia

Margaret Wiecek
Clemson University
Dept. of Math. Sci.
O-208 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Charles Suffel
Stevens Institute
730 Hudson St., Apt. 53
Hoboken, NJ 07030
E-Mail:

Ben Williams
Clemson University
Dept. of Math. Sci.
O-313 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Frances Sullivan
Clemson University
Dept. of Math. Sci.
O-18 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Jeff Williams
Clemson University
Dept. of Math. Sci.
E-8 Martin Hall
Clemson, SC 29634-1907
E-Mail:

Sixth Discrete Mathematics mini-Conference

October 3-4, 1991

Attendees

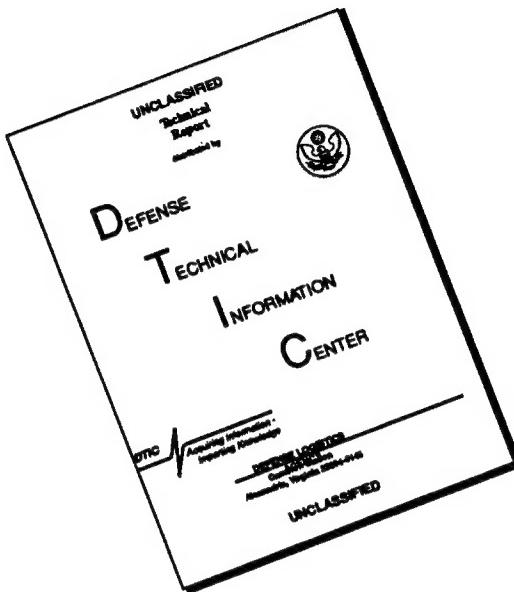
Jody Wilson
Clemson University
294 Brookwood Dr., Apt. J
Seneca, SC 29678-2451
E-Mail:

Loretta Winston
Clemson University
Dept. of Comp. Sci.
College of Nursing Bldg.
Clemson, SC 29634
E-Mail: lwinston@cs.clemson.edu

Ewa Wojcicka
College of Charleston
Math Dept.
Charleston, SC 29424
E-Mail:

Deda Zheng
Clemson University
Dept. of Math. Sci.
O-7 Martin Hall
Clemson, SC 29634-1907
E-Mail:

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